



# Board Agenda

## Compton Community College District

Tuesday, October 22, 2013  
Closed Session to Commence at 4:00 p.m.  
Open Session to Commence at 5:00 p.m.

**DISTRICT BOARD ROOM**  
1111 E. Artesia Boulevard  
Compton, California 90221

- I. **Call to Order at 4:00 p.m.**
- II. **Roll Call**
  - Lorraine Cervantes
  - Charles Davis
  - Deborah LeBlanc
  - John Hamilton
  - Andres Ramos
  - Miguel Quintero
  - Mr. Thomas E. Henry, Special Trustee
  - Dr. Keith Curry, Chief Executive Officer
- III. **Approval of Closed Session Agenda**
- IV. **Requests to Address the Board of Trustees – Closed Session Agenda Matters**
- V. **Recess to Closed Session in accordance with the Ralph M. Brown Act (Government Code Sections 54950 and following) and Education Code Section 72122 to discuss or take action on the following items:**
  - A. **PUBLIC EMPLOYEE DISCIPLINE/DISMISSAL/RELEASE, PURSUANT TO GOVERNMENT CODE SECTION 54957(B):**
    - Five Cases
  - B. **CONFERENCE WITH LEGAL COUNSEL - PENDING LITIGATION:**
    1. Conference with legal counsel--existing litigation (Subdivision (a) of Section 54956.9)
      - a. Deborah Henderson vs. Compton Community College District
      - b. Compton Community College Federation vs. Compton Community College District; Case No. LA-CE-5564-E
  - C. **CONFERENCE WITH LABOR NEGOTIATORS PURSUANT TO GOVERNMENT CODE SECTION 54957.6:**
    1. Agency designated representative: Dr. Keith Curry, CEO  
Employee organizations: Compton Community College Federation of Employees, Classified Employees Federation of Employees, Certificated Employees

**D. PUBLIC EMPLOYEE PERFORMANCE EVALUATION PURSUANT TO GOVERNMENT CODE SECTION 54957:**

1. Chief Executive Officer

**VI. Reconvene to Open Session at 5:00 p.m.**

**VII. Roll Call**

Lorraine Cervantes  
Charles Davis  
Deborah LeBlanc  
John Hamilton  
Andres Ramos  
Miguel Quintero  
Mr. Thomas E. Henry, Special Trustee  
Dr. Keith Curry, Chief Executive Officer

**VIII. The Pledge of Allegiance**

**IX. Report of Actions Taken in Closed Session Pursuant to Government Code Section 54957.1**

**X. Approval of Open Session Agenda**

**XI. Requests to Address the Board of Trustees – Agenda/Non-Agenda Items**

**XII. Minutes of August 20, 2013 (Revised)**

**Minutes of September 3, 2013**

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**XIII. Reports from Representatives and Employee Organizations**

1. Faculty Representative Report – Jerome Evans
2. Classified Representative Report – Gloria Hughes
3. Academic Senate President Report – Michael Odanaka
4. CCCFE Certificated Employees Report – Toni Wasserberger
5. CCCFE Classified Employees Report – Joseph Lewis
6. Associated Student Body Report

**XIV. Presentation**

Compton Community College District 7th Fiscal Crisis Management Assistance Team (FCMAT) Progress Report – Ms. Diane Branham, Chief Management Analyst.

**XV. Consent Agenda – Recommendation of the CEO**

**Page 16**

1. Administrative Services
  - A. Purchase Orders and Blanket Purchase Orders
  - B. California Department of Education – Agency Annual Report CCTR-2089
  - C. California Department of Education – Agency Annual Report CSPP-2172
2. Human Resources
  - A. Academic Employment and Personnel Changes
  - B. New Classified Positions
  - C. Eligibility List

- D. Classified Employees
- E. Temporary Non-Classified Service Employees

**XVI. Action Items**

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- 1. Office of the Special Trustee
  - A. Board of Trustees' Regularly Scheduled Meeting Dates July-December 2013 – Revised
- 2. Office of the CEO
  - A. Resolution #10-22-2013A – Authorizing the Issuance of Compton Community College District (Los Angeles County, California) General Obligation Bonds, Election of 2002, Series 2013D, and Actions Related Thereto.
  - B. Student Trustee Travel Request – CCLC Annual Convention
- 3. Administrative Services
  - A. Contracts
  - B. Budget Adjustments/Augmentations/Transfers 2013-2014
  - C. Proposition 30, Education Protection Account (EPA) Funding and Expenditures
- 4. Facilities Planning and Development
  - A. Contracts
  - B. Change Orders
- 5. Human Resources
  - A. Resolution #10-22-2013B - Resolution to Implement an Internal Revenue Code (IRC) Section 125 Flexible Fringe Benefits Plan

**XVII. Discussion/Information Items**

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- 1. Office of the Special Trustee
  - A. Special Trustee Advisory Committee Application
  - B. Special Trustee's Advisory Committee Meeting Dates
  - C. Special Trustee's Advisory Committee Meeting Minutes of June 17, 2013
- 2. Office of the CEO
  - A. Board of Trustees Presentations and Reports Schedule for 2013
  - B. BP 4245 – Academic Rank (First Reading)
  - C. AR 6450 – Wireless or Cellular Telephone Use
  - D. AR 6250 – Fixed Asset Security and Accounting
  - E. Measure CC Bond Oversight Committee Application
  - F. Measure CC Citizens' Bond Oversight Committee Meeting Dates
  - G. Measure CC Citizens' Bond Oversight Committee Meeting Minutes of June 27, 2013
- 3. Administrative Services
  - A. Actual Enrollment Fee Revenue Report 323, California Community Colleges
  - B. Measure CC Bond Fund Category Budgets and Balances

**XVIII. Oral Reports**

- 1. Compton Center
- 2. CEO Report
- 3. Student Trustee Report
- 4. Board of Trustees Report
- 5. Special Trustee Report

**XIX. Next regularly scheduled meeting date: Wednesday, November 13, 2013**

Closed Session begins at 4:00 p.m.

Open Session begins at 5:00 p.m.

**XX. Adjournment**

*Please note: If you would like a copy of any of the support documents/attachments, please contact Paula VanBrown at (310) 900-1600, Ext. 2001. Thank you.*

# COMPTON COMMUNITY COLLEGE DISTRICT

## BOARD OF TRUSTEES REGULAR MEETING

Tuesday, August 20, 2013

### MEETING MINUTES (Revised)

- I. The Board of Trustees Meeting was called to order at 4:00 p.m.
- II. Roll Call – Members Present
  - Mr. Thomas Henry, Special Trustee
  - Dr. Keith Curry, Chief Executive Officer
- III. Approval of Closed Session Agenda – **Approved**
- IV. Requests to address the Board of Trustees – Closed Session Agenda Matters
  - No Requests
- V. Recess to Closed Session at 4:02 p.m. in accordance with the Ralph M. Brown Act (Government Code Sections 54950 and following) and Education Code Section 72122, to discuss or take action on the following items:
  - A. Conference with Legal Counsel – Pending Litigation
  - B. Conference with Legal Counsel – Anticipated Litigation (Gov. Code Section 54956.9)
  - C. Conference with Legal Counsel – Anticipated Litigation (Gov. Code Section 54956.9 (b)(1))
  - D. Conference with Labor Negotiators pursuant to Government Code, Section 54957.6
  - E. Public Employee Performance Evaluation Pursuant to government Code Section 54957
- VI. Reconvene to Open Session of the Board of Trustees at 5:00 p.m.
- VII. Roll Call – Members Present
  - Lorraine Cervantes
  - Charles Davis
  - Deborah LeBlanc
  - Andres Ramos
  - Miguel Quintero
  - Keith Curry
  - Thomas Henry
- VIII. Pledge of Allegiance – Led by R. James
- IX. Report of Actions Taken by Special Trustee during closed session:

**The Special Trustee took action on:**

**Item V. A. 1: Conference with Legal Counsel – Pending Litigation**

  - a. Claim of Earline Woodard vs. Compton Community College District – **Claim Denied**
  - b. Claim of Jennifer Chua vs. Compton Community College District – **Claim Denied**

No other reportable action taken in closed session

- X. Approval of Open Session Agenda – Approved, with corrections  
Corrections: Section XV; Human Resources, page 14, Item #11b, ~~–\$37.21~~; s/b \$37.21per hour;  
Item 11c, ~~%57.08~~, s/b \$57.08 per hour;  
Page 16, Item 14, #85 – FT (range) ~~W~~, (step) 14 – s/b (range) V  
PT (range) ~~W~~, (step) 3 – s/b (range) V
- XI. Requests to address the Board of Trustees – Agenda/Non-Agenda Items  
Nehasi Lee, student: Commented on Section 6B of the Partnership Agreement and the increase in student parking fees.
- XII. Approval of Minutes of July 19, 2013 – **Approved, with amendment**  
Amendment: Page 8 of the agenda, Section XVII, Oral Report from Dr. LeBlanc: ‘Dr. LeBlanc acknowledged the presence of Satra Zurita, a member of Compton Unified School District’s Board of Trustees, who expressed her concerns about CCCD.’
- XIII. Reports from Representatives and Employee Organizations
- A. Faculty Representative Report – Jerome Evans: Absent
  - B. Classified Representative Report – Gloria Hughes: Commented on the Classified staff morale and perceptions.
  - C. Academic Senate President Report – Michael Odanaka: Mr. Odanaka stated that he was excited about meeting the newly hired faculty and is looking forward to this next year. He said he is happy about the progress being made on program assessment and the SLOs.
  - D. CCCFE Certificated Employees Report –Toni Wasserberger: Excited to meet the new faculty; concerned with part-time faculty workload limitation of hours; feels this should have been negotiated before implementation.
  - E. CCCFE Classified Employees Report – Joseph Lewis: Commented on the 10-hour, 4-day work week, and also remarked on the duties of the laid-off Employment Development Specialist and similarity of job duties of the Categoricaly Funded Program Specialist position.
  - F. Associated Student Body Report – Carlos ~~Ornales~~ s/b Ornelas, ASB President: Absent; Report read for him by Miguel Quintero. Mr. ~~Ornales~~,s/b Ornelas thanked Administration for the generous \$55,000 contribution to the ASB. Stated that it is unfortunate that the ASB Negative Check-Off was not granted at this time, but they are non-the-less grateful. ASB thanked Ms. Perez, Dr. Shabazz, Mr. Lopez and Mr. Lee for the retreat, and Dr. Curry. Mr. ~~Ornales~~, s/b Ornelas apologized for his absence.
  - G. Report by Miguel Quintero, Student Trustee – Thanked the CEO, the Board, and the Special Trustee in facilitating his attendance to the CCLC Student Trustee Workshop held last week. Mr. Quintero reported that he gained a lot of information, and networked with other student trustees.
- XIV. **Public Hearing** – Opened at 5:35 p.m. Opportunity for members of the public to comment on the Compton Community College District’s proposal to Compton Community College District Federation of Employees (Classified Unit) initial collective bargaining.

Public Comments:

1. Nehasi Lee, Student – Expressed his concern that Administration is not receptive and reflective of the needs and issues of their constituency groups. Reports from the Classified Union should be taken into consideration. He said his comments are directed to the Special Trustee Henry only. Mr. Lee stated that the law says the Special Trustee should be responsible for the Personnel Commission and that he is therefore responsible for Classified Union matters.

2. Trustee Lorraine Cervantes – Ms. Cervantes stated that she agrees with the Union President (Joseph Lewis) that you cannot eliminate and layoff a person, then create the same position at another level, another name, without hiring the same person who did that same job with the same job description, because it opens us up for a lawsuit. Asked the Special Trustee to review the job descriptions and be prudent.
3. Michael Odanaka – Commented on the District response regarding fringe benefit costs. Feels the District should try hard to invest more in employee benefits.
4. Joseph Lewis – Commented on fringe benefits costs; the District should invest more in their human capital and express appreciation to their employee by helping more with health insurance.
5. Toni Wasserberger – Concurs with Mr. Odanaka and Dr. Lewis; Feels it is a matter of morale and for employees to have to pay more than \$1,000 because of the increase in health cost. Please consider.
6. Trustee Charles Davis – Commented on the cost of health care to employees. Feels that paying more into health benefits will be more appreciated than raises.

Public Hearing closed @ 5:52 p.m.

The Special Trustee stated that he appreciates the input and candor of the speakers.

**XV. Consent Agenda – Approved**

1. **Administrative Services – Approved**
  - A. Purchase Orders and Blanket Purchase Orders
  - B. Stale Dated Warrant
2. **Human Resources – Approved**
  - A. Management Team Personnel Actions
  - B. Academic Employment and Personnel Changes
  - C. Eligibility List
  - D. Classified Employees
  - E. Temporary Non-Classified Service Employees

**XVI. Action Items**

1. Office of the Special Trustee – Approved
  - A. Board of Trustees Scheduled Meeting Dates July-December 2013 Revised
2. Office of the CEO – **Approved**
  - A. Absence of a Board Member
3. **Administrative Services – Approved**
  - A. Contracts
    1. Agreement with All About Kids: To provide part-time work for CalWORKs students majoring in Child Development hands on experience. To help foster growth and empower students to obtain their educational goals.
    2. Agreement with South Bay Workforce Investment Board (SBWIB)/City of Inglewood: To provide with the assistance of the South Bay Work Investment Board (SBWIB) and DPSS-GAIN, CalWORKs college students will be eligible to obtain work study employment on-campus and off-campus.
    3. Agreement with XAP Corporation: To participate in an Online Electronic Admission Application System for the California Community College Systems known as CCCApply BOG Waiver Fee Application, Operated by XAP.

- B. Chancellor of the California Community Colleges – District Participation in the 2014 Chancellor’s Office Tax Offset Program (COTOP)
  - C. Agreement between El Camino College District and Compton Community College District to provide El Camino College District with 96 hours of Welding Training for 11 trainees for 2013-2014 REVENUE. The Maximum Reimbursable Amount (MRA) of \$52,953.00
  - D. Authorize Signature Resolution (LACOE)
  - E. Budget Adjustments/Augmentations/Transfers 2012-2013
  - F. Budget Adjustments/Augmentations/Transfers 2013-2014
  - G. Recommendation of Approval of Surplus Property
4. Facilities Planning and Development – **Approved**
- A. Contracts
    - 1. Authorization of a professional services agreement with P.H. Hagopian Contractor, Inc. to proceed with Phase II construction services for the completion of the Learning Resource Center and execute Exhibit D – Site Lease, and Exhibit E – Facilities Lease.
    - 2. Agreement with MB Herzog - To provide the Music Building Project with all of the necessary materials, equipment and labor to complete three day metering based on the attached scope of work in proposal number 8401 dated July 11, 2013.
  - Amendments
    - 1. Amendment to Agreement with Heider Engineering Services - Original agreement was board approved October 16, 2012. This is the first amendment to the agreement, in the amount of \$75,000.00 and will increase the maximum approved compensation from \$82,652.91 to \$157,652.91. To provide soils and materials testing and inspection services for the Utility Infrastructure Phase II per the attached proposal dated July 30, 2013.
    - 2. Amendment to Agreement with Heider Engineering Services - Original agreement was board approved May 16, 2012. This is the first amendment to the agreement, in the amount of \$25,000.00 and will increase the maximum approved compensation from \$198,975.00 to \$223,975.00. To provide soils and materials testing and inspection services for the Utility Infrastructure Phase I and Central Plant Stadium Lighting projects per the attached proposal dated July 30, 2013.
    - 3. Amendment to Agreement with S & K ENGINEERS - Original agreement was board approved on October 25, 2008. This is the tenth amendment to the agreement, it is in the amount of \$114,500.00 and will increase the maximum approved compensation from \$5,092,049 to \$5,206,549. To provide design for retention basin in-fill as outlined in the attached report and as indicated in the revised JCAF-32 provided by the Chancellor’s Office. Revise appropriate drawings to incorporate the in-fill system and issue change order documents to the contractor.
  - B. Change Orders

XVII. **Discussion/Information Items – Received for information**

- 1. Office of the Interim CEO
  - A. Board Policy - BP 6200 Budget Preparation
  - B. Board Policy - BP 6750 Parking
  - C. Board of Trustees Presentations and Reports Schedule for 2013
  - D. Special Trustee’s Advisory Committee Meeting Dates
  - E. Measure CC Citizens’ Bond Oversight Committee Meeting Dates
- 2. Academic Affairs/Student Services
  - A. El Camino College Compton Center FTES Goals & Actuals 2013-2014



3. Administrative Services
  - A. Notice of Public Hearing – 2013-2014 Final Budget

XVIII. Oral Reports

1. Compton Center:

Barbara Perez, VP, Compton Center – Ms. Perez reported on the ASB Student Activity Fees. There have been two elections in which students voted on Student Activity Fees, but last spring only 4% of the Compton Center students actually voted to accept this fee. For the 2013-2014 year, President Fallo has set aside \$55,000 to support Compton Center student activities. This year, we will work on strategies to encourage more students to vote in the 2013-2014 elections, and ECC will continue to review the negative check-off request.

Ms. Perez reported that faculty is working diligently on SLO's, with only one program needing to finish their SLOs.

Currently we are at 83% filled on our courses, offering 574 sections; with most increases in English and Math courses. We are about 3% over last year in our FTES.

2. CEO Report:

Dr. Curry reported that the District has entered into an agreement with the Crystal Hotel to provide additional 150 parking spaces at no charge to the District for student parking. A shuttle holding 24 people will be available to transport students from the Crystal Hotel to the campus each day from 7:30 a.m. to 2:00 p.m.

Dr. Curry stated that he is in the process of scheduling CEO Roundtables at which he plans to provide an update on Accreditation for the Compton Center. Dr. Curry also stated that he will have the plaques in the back of the board room and the website updated in the near future.

Dr. Curry announced that on Wednesday, August 28, 2013 Congresswoman Janice Hahn will hold a special tribute celebrating the 50<sup>th</sup> Anniversary of Rev. Dr. Martin Luther King, Jr.'s "I Have a Dream" Speech, in the Student Lounge. Anyone planning to attend should RSVP to Congresswoman Janice Hahn's Office.

3. Board of Trustees Reports:

Trustee LeBlanc: Trustee LeBlanc reported that she attended the African American California Community College Trustees' 4<sup>th</sup> Regional Conference in Sacramento last month. Dr. Charles Ratliff was the keynote speaker. Dr. LeBlanc asked Dr. Curry and Mr. Henry to read the report by the U.S. Department of Education regarding the actions taken against the Accrediting Commission in regards to San Francisco City College and how this action may have implications or ramifications for Compton Community College District. Stated that the community has some interest in what this may mean for Compton Community College District.

Trustee Cervantes: Stated that she agrees with the action taken against the Accrediting Commission and she is still concerned with mandates they have given, such as putting El Camino's name on the MLB property, and taking the name of Compton Community College down.

Ms. Cervantes expressed concerns about the stipend for the student trustees, and also about the time of the board meeting sessions. She also inquired about the information sessions for the board candidates. Ms. Cervantes also further commented on the Accreditation Commission.

Trustee Ramos asked to be sent Board Policies regarding local vendors, and commented on the student service fees. He also stated that he disagrees with not implementing the ASB negative check-off request.

Student Trustee, Miguel Quintero – Asked Dr. Curry if he would hold a CEO Roundtable discussion for the campus community. He also asked that the District website be updated, and suggested that the board meetings be televised. Mr. Quintero commended Dr. Curry for the information on the student activity fee election, asked about the increase in student parking fees, and the status on the request to have a shuttle between the Torrance and Compton campuses.

Special Trustee Henry announced the next board meeting will be held on Tuesday, September 3, 2013 and adjourned the meeting in appreciation and memory of Mr. Phillip Glezer, who graciously served the students and faculty of Compton Community College District for over 15 years.

XIX. Next regularly scheduled meeting: **Tuesday, September 3, 2013**

Closed session begins at 4:00 p.m.;

Open session begins at 5:00 p.m.

XX. Meeting adjourned at 7:35 p.m.

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*Compton Community College District – 1111 East Artesia Boulevard -- Compton, California 90220*

# COMPTON COMMUNITY COLLEGE DISTRICT

## BOARD OF TRUSTEES REGULAR MEETING

Tuesday, September 3, 2013

### MEETING MINUTES

- I. The Board of Trustees Meeting was called to order at 4:05 p.m.
- II. Roll Call – Members Present
  - Mr. Thomas Henry, Special Trustee
  - Dr. Keith Curry, Chief Executive Officer
- III. Approval of Closed Session Agenda – **Approved**
- IV. Requests to address the Board of Trustees – Closed Session Agenda Matters
  - No Requests
- V. Recess to Closed Session at 4:06 p.m. in accordance with the Ralph M. Brown Act (Government Code Sections 54950 and following) and Education Code Section 72122, to discuss or take action on the following items:
  - A. Conference with Legal Counsel – Pending Litigation
  - B. Conference with Labor Negotiators pursuant to Government Code, Section 54957.6
  - C. Public Employee Performance Evaluation Pursuant to government Code Section 54957
- VI. Reconvene to Open Session of the Board of Trustees at 5:05 p.m.
- VII. Roll Call – Members Present
  - Lorraine Cervantes
  - Charles Davis
  - Deborah LeBlanc
  - Andres Ramos
  - Miguel Quintero
  - Keith Curry
  - Thomas Henry
- VIII. Pledge of Allegiance – Led by R. James
- IX. Report of Actions Taken by Special Trustee during closed session:
  - The Special Trustee took action on Item V. A.:**
    2. Conference with legal counsel--existing litigation (Subdivision (a) of Section 54956.9)
      - c. Chevron vs. Compton Community College District  
The special trustee has approved and executed a settlement agreement between Compton Community College District, as plaintiff and Chevron U.S.A., Inc., and Fidelity and Deposit Company of Maryland, as defendants. The case was filed in Los Angeles Superior Court as Case No. BC 494340. This settlement is related to HVAC work that was done at the Learning Resource Center by Chevron U.S.A. Under the terms of the settlement agreement the District shall receive \$480,000.00

from Chevron and in addition the District shall be entitled to retain all money the District has retained from Chevron during the project.

No other reportable action taken in closed session

X. Approval of Open Session Agenda – **Approved, with corrections**

Dr. Curry – Page 22, Item 2B: Fiscal Year 2013-2014 s/b 2012-2013

Page 29, General Fund Revenues – 2011-2012 Budget, s/b 2012-2013

Trustee LeBlanc requested the names of the elected board be added to the roll call

XI. Requests to address the Board of Trustees – Agenda/Non-Agenda Items

No requests

XII. Minutes of August 20 2013 – Not Approved – to be revised and returned on 10/22/2013;

Request to include public comments made during Public Hearing on 8/20/2013

Item XIII, F – Correction on Ornales, s/b Ornelas

XIII. Reports from Representatives and Employee Organizations

A. Faculty Representative Report – Jerome Evans: Apologized for missing two meetings, but has classes at 6:00 p.m. on Tuesdays and Thursdays. Hopes the union and District will make appropriate decisions on some contractual issues. Professor Evans mentioned upcoming visit from Accreditation team.

B. Classified Representative Report – Gloria Hughes: No report

C. Academic Senate President Report – Michael Odanaka: No report; deferred to Toni Wasserberger.

D. CCCFE Certificated Employees Report – Toni Wasserberger: Faculty distressed over not getting increases and feels there is money but it is not being equally distributed. She has requested a union meeting be held in two weeks to discuss signing off on an “all loss” contract so they can move forward. Faculty has worked very hard and their workloads have been increased. They need more than a “staff appreciation day” to acknowledge them.

E. CCCFE Classified Employees Report – Joseph Lewis: Absent

F. Associated Student Body Report – Laura Navarro, ASB Vice President: Thanked everyone who participated in the successful Martin Luther King program. Announced Latino Heritage Month activities.

XIV. 2013-2014 Compton Community College District’s Budget Presentation –

Felipe Lopez, Chief Business Officer, presented the CCCD 2013-2014 Final Budget, highlighting the state budget, budget assumptions, and final budget. The presentation was followed by a question and answer session.

XV. **Public Hearing 2013-2014 Compton Community College District Budget**

Opened at 6:08 p.m. by Special Trustee Henry. Opportunity for members of the public to comment on the 2013-2014 Compton Community College District Budget

Public Comments:

No comments from public.

Public Hearing closed @ 6:10 p.m.

Trustee Leblanc thanked Dr. Curry and the Special Trustee for an on-time budget this year.

**XVI. Adoption of the 2013-2014 Compton Community College District Budget**

The Special Trustee approved adoption of the 2013-2014 Compton Community College District Budget.

**XVII. Presentation – Community Survey Results**

The Community Survey Results was presented by Mr. Gene Ulm, Partner, of Public Opinion Strategies. Mr. Ulm distributed and reviewed copies of his report; he stated that this was a telephone survey of 500 residents in the CCCD service area.

**XVIII. Consent Agenda**

1. Administrative Services
  - A. Purchase Orders and Blanket Purchase Orders – **Approved**
  - B. California Department of Education – Agency Annual Report CCTR-2089 – **Removed from Agenda for further information**
  - C. California Department of Education – Agency Annual Report CSPP-2172 – **Removed from Agenda for further information**
2. Human Resources – **Approved**
  - A. Academic Employment and Personnel Changes
  - B. Classified Employees
  - C. Temporary Non-Classified Service Employees

**Action Items**

1. Office of the CEO – **Approved**
  - A. Board Policy – BP 6200 Budget Preparation
  - B. Board Policy – BP 6750 Parking
  - C. Absence of a Board Member
2. Administrative Services
  - A. Contracts
    1. Agreement with American Curvet Investment, DBA Crystal Hotel - To provide additional parking spaces for the District's overflow parking.
    2. Agreement with Cynosure New Media, Inc. – To design, develop, produce, and program an online orientation program for new students. This is part of the new SB1456 reporting requirements, which require us to track the number of students going through orientation.
    3. Agreement with Liebert Cassidy Whitmore – To provide expert training and consulting services to assist the District in its relations and negotiations with its employee organizations.
    4. Agreement with CCC Tech Center – To participate in an Online Electronic Admission Application System for the California Community College Systems known as CCCApply BOG Waiver Fee Application.
  - B. Budget Adjustments/Augmentations/Transfers 2013-2014
  - C. Declaration of Indefinite Salaries for Retroactive Pay 2013-2014

3. Facilities Planning and Development

A. Contracts

1. Agreement with Priest Construction Services, Inc. – To provide a DSA certified Class 1 inspector for the Learning Resource Center project for the duration of construction
2. Agreement with LCC3 Construction Services, Inc. – Original agreement was board approved May 16, 2012. This is the first amendment to the agreement, in the amount of \$47,472.00 and will increase the maximum approved compensation from \$166,152.00 to \$213,624.00. To provide DSA inspection services for the Utility Infrastructure Phase I and Central Plant Stadium Lighting projects.

XVII. **Discussion/Information Items – Received for Information/Discussion**

1. Office of the Special Trustee
  - A. Compton Community College District Elected Board of Trustees' Memberships
2. Office of the CEO
  - A. Compton Community College District Actuarial Study of Retiree Health Liabilities
  - B. Board of Trustees Presentations and Reports Schedule for 2013
  - C. Special Trustee's Advisory Committee Meeting Dates
  - D. Measure CC Citizens' Bond Oversight Committee Meeting Dates
3. Administrative Services
  - A. AB 2910 Quarterly Financial Status Report – Form CCFS – 311Q

XVIII. **Oral Reports**

1. Board of Trustees Reports:

Trustee LeBlanc: Trustee LeBlanc thanked Congresswoman Janice Hahn for the event she held here. She also suggested that Dr. Curry send her a thank-you letter on behalf of the elected board for holding the event here.

Trustee Cervantes: Announced that she attended a Labor Day Celebration for the Long Beach and South Bay areas. She felt Compton Community College District should have participated.

Student Trustee, Miguel Quintero – Asked for an update on the requests he made at the August Board Meeting (hold a CEO Roundtable discussion for the campus community; District website be updated; suggested that the board meetings be televised). Asked about various campus committees and student members' length of service. Commended Committee Chairs, and commended Lt. Box for being so approachable and Ms. Perez for helping him.

2. Special Trustee Henry – Thanked the elected board for their civil discourse and ACCJC's expectations in that regard. Mr. Henry announced that the next meeting will be on October 22, 2013.

Trustee LeBlanc asked that this meeting be adjourned in memory of Dr. Lois Hill-Haley, Sr. Deputy to Congresswoman Diane Watson, and an Inglewood Trustee.

- XIX. Next regularly scheduled meeting: **Tuesday, October 22, 2013** Closed session begins at 4:00 p.m.;  
Open session begins at 5:00 p.m.

- xx. Meeting adjourned at 8:22 p.m. in memory of Dr. Lois Hill-Haley.

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**Agenda for the Compton Community College District Board of Trustees**  
**from**  
**Office of the Chief Executive Officer (CEO)**  
**Dr. Keith Curry**

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**XIV. PRESENTATION – 7<sup>TH</sup> FISCAL CRISIS MANAGEMENT ASSISTANCE TEAM (FCMAT) PROGRESS REPORT**

It is recommended the Special Trustee receive a presentation on the 7<sup>TH</sup> FCMAT Progress Report. The 7<sup>th</sup> FCMAT Progress Report is posted online at [www.district.compton.edu/fcmat.asp](http://www.district.compton.edu/fcmat.asp). The presentation will be provided by Ms. Diane Branham, Chief Management Analyst.

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**Agenda for the Compton Community College District Board of Trustees**  
**from**  
**Office of the Chief Executive Officer**  
**Dr. Keith Curry**

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**XV. CONSENT AGENDA – RECOMMENDATIONS OF THE CEO**

1. Administrative Services
  - A. Purchase Orders and Blanket Purchase Orders
  - B. California Department of Education – Agency Annual Report CCTR-2089
  - C. California Department of Education – Agency Annual Report CSPP-2172
  
2. Human Resources
  - A. Academic Employment and Personnel Changes
  - B. New Classified Positions
  - C. Eligibility Lists
  - D. Classified Employees
  - E. Temporary Non-Classified Service Employees



**Agenda for the Compton Community College District Board of Trustees**  
**from**  
**Administrative Services**  
**Felipe Lopez, CBO**

**1A. PURCHASE ORDERS AND BLANKET PURCHASE ORDERS FOR SEPTEMBER 2013**

**Compton Community College District**  
**BOARD OF TRUSTEES PURCHASE ORDER LISTING**  
**Meeting Date: 10/22/2013**

The following purchase orders have been issued in accordance with the District's purchasing policy and authorization of the Special Trustee. It is recommended that the following purchase orders be approved and that payment be authorized upon delivery and acceptance of the items ordered.

<b>P.O. Number</b>	<b>Vendor Name</b>	<b>Site Name</b>	<b>Description</b>	<b>P.O. Cost</b>
<b>Fund 01 Unrestricted-Compton Ed Center</b>				
P0700649	Oasis Business Supply	Academic Programs	Equipment	\$327.18
P0700650	President's Round Table	Office of the CEO	Dues and Memberships	\$500.00
P0700652	Compton Chamber of	Office of the CEO	Dues and Memberships	\$250.00
P0700653	Kool Running Air	Academic Affairs	Repairs Non-instructional	\$595.00
P0700699	Soccer.Com	Athletics	Non-Instruct Supplies	\$1,640.53
P0700700	Soccer.Com	Athletics	Non-Instruct Supplies	\$3,905.67
P0700719	Complete Coach Works	Operations	Repairs Non-instructional	\$878.46
P0700720	D Lewis Company	Operations	Repairs Non-instructional	\$450.00
P0700721	Villa Flowers	Student Recruitment	Non-Instruct Supplies	\$347.00
P0700740	Ovid Technologies	Div Office Instr.	License Fee/Site Licenses -ITS	\$14,063.00
P0700741	XAP Inc.	Admissions/Records	Contract Services	\$7,500.00
P0700742	Waxie Sanitary Supply	Operations	Custodial Supplies	\$645.28
P0700754	Cccbca	Athletics	Dues and Memberships	\$115.00
P0700766	GST Inc	Information Technology	Equipment	\$653.64
P0700768	Medco Sports Medicine	Athletics	Non-Instruct Supplies	\$1,255.93
P0700773	Ellucian Inc	Information Technology	Maintenance Contracts	\$14,460.00
P0700775	Gaumard Scientific	Nursing	Instructional Supplies	\$1,174.52
P0700777	Community College	Div. Office Instr.	License Fee/Site Licenses -ITS	\$3,306.00
P0700778	CCLC	Div. Office Instr.	License Fee/Site Licenses -ITS	\$25,324.00
P0700793	Vizion Marketing	Fiscal Services	Parking Permits	\$3,613.35
P0700812	Computerland of Silicon	Information Technology	Maintenance Contracts	\$31,500.00
P0700825	Ellucian Inc	Information Technology	Maintenance Contracts	\$3,545.00
P0700841	El Camino College	Financial Aid	Institutional Return of Funds	\$11,260.00
P0700842	El Camino College	Financial Aid	Institutional Return of Funds	\$4,904.00
P0700843	Greentree Systems, Inc.	Human Resources	Contract Services	\$5,000.00
P0700844	4IMPRINT, INC.	Counseling Office	Non-Instruct Supplies	\$481.19
P0700861	Office Solutions	Fiscal Services	New Equip – Non-instructional \$5k less	\$295.51
<b>Fund 01 Total: 27</b>				<b>\$137,990.26</b>
<b>Fund 10 Restricted-Compton Ed Center</b>				
P0700758	Eureka - California	TRIO	General Office Supplies	\$975.55
P0700894	Expressions to Wear	BFAP Augmentation	Special Events-Direct Costs	\$411.60
<b>Fund 10 Total: 2</b>				<b>\$1,387.15</b>
<b>PO Funds Total: 29</b>				<b>139,377.41</b>

<b>Fund 01</b>	<b>Unrestricted-Compton Ed Center</b>			
B0710568	Department of	Human Resources	Fingerprinting fee	\$6,200.00
B0710569	TAJ Office & School	Student Affairs	General Office Supplies	\$1,000.00
B0710570	La Opinion	Fiscal Services	Multi Media Advertising	\$1,000.00
B0710571	Press Telegram	Fiscal Services	Multi Media Advertising	\$2,000.00
B0710573	El Camino College	Campus Police	Contract Services	\$1,400,000.00
B0710574	El Camino College	Office of the CEO	Personal and Consultant Svcs	\$160,000.00
B0710576	Airport Van Rental	Fiscal Services	Student Transportation Rental	\$10,000.00
B0710577	Enterprise Rent-A-Car	Fiscal Services	Student Transportation Rental	\$1,500.00
B0710585	Cintas Uniform Corp.	Operations	Laundry	\$15,000.00
B0710586	El Camino College Cash	Fiscal Services	Visa/MasterCard Fees	\$10,000.00
B0710587	Kool Running Air	Operations	Repairs Non-instructional	\$6,000.00
B0710590	Iron Mountain	Information Technology	Maintenance Contracts	\$4,800.00
B0710592	Tigerdirect.Com	Information Technology	Equipment	\$5,000.00
B0710593	Ellucian Inc	Information Technology	Maintenance Contracts	\$87,000.00
B0710597	Office Xpress	Transfer Center	General Office Supplies	\$500.00
B0710615	Tracy J. Breshears	Public Relations &	Contract Services	\$13,000.00
B0710622	CubSMART	Fiscal Services	Other Rentals	\$4,250.00
B0710623	Xerox Education	Fiscal Services	Other Services and Expenses	\$5,000.00
		<b>Fund 01 Total: 18</b>		<b>\$1,732,250.00</b>
<b>Fund 10</b>	<b>Restricted-Compton Ed Center</b>			
B0710567	EDMAC	Board of Trustees	Contract Services	\$250,000.00
B0710596	TAJ Office & School	TRIO	General Office Supplies	\$1,000.00
		<b>Fund 10 Total: 2</b>		<b>\$251,000.00</b>
<b>Fund 46</b>	<b>Bond Fund-Series 2012C-Compton</b>			
B0710624	Heider Engineering	Infrastructure Phase II	Testing & Inspection	\$102,416.00
		<b>Fund 46 Total: 1</b>		<b>\$102,416.00</b>
<b>Fund 64</b>	<b>Property/Liability Ins-Compton</b>			
B0710603	CCCD Prop & Liab Trust	Human Resources	Insurance	\$15,558.96
		<b>Fund 64 Total: 1</b>		<b>\$15,558.96</b>
		<b>BPO Funds Total: 22</b>		<b>2,101,224.96</b>
		<b>Grand Total POs and BPOs: 51</b>		<b>2,240,602.37</b>

**1B. CALIFORNIA DEPARTMENT OF EDUCATION – AGENCY ANNUAL REPORT  
CCTR-2089**

The Child Development Program is required by the State Department of Education – Child Development Division to conduct an Annual Evaluation of its program and submit findings to the Board and State to assure program quality and improvement.

This report is to inform the Board of the programs status of the Agency Annual Report.

DESCRIPTION: Fiscal Year July 1, 2012 to June 30, 2013 – Agency Annual Report CCTR-2089

It is recommended that the Special Trustee approves the California Department of Education Agency Annual Report.

**1C. CALIFORNIA DEPARTMENT OF EDUCATION – AGENCY ANNUAL REPORT  
CSPP-2172**

The Child Development Program is required by the State Department of Education – Child Development Division to conduct an Annual Evaluation of its program and submit findings to the Board and State to assure program quality and improvement.

This report is to inform the Board of the programs status of the Agency Annual Report.

DESCRIPTION: Fiscal Year July 1, 2012 to June 30, 2013 – Agency Annual Report CSPP-2172

It is recommended that the Special Trustee approves the California Department of Education Agency Annual Report.

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**Agenda for the Compton Community College District Board of Trustees**  
**from**  
**Human Resources Division**  
**Rachelle Sasser, Dean, Human Resources**

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**2A. ACADEMIC EMPLOYMENT AND PERSONNEL CHANGES**

It is recommended that the Special Trustee ratify/approve the employment and personnel changes for Academic employees as shown below.

1. Employment –Rodney Scott, part-time Theater Instructor, Class VI, Step 2, effective September 24, 2013 – June 30, 2014.
2. Employment –Kenneth Lee, part-time EMT Instructor, Class II, Step 1, effective September 10, 2013 – June 30, 2014.
3. Employment –Fabiola Vaclin, part-time Nursing Instructor, Class III, Step 2, effective October 21, 2013 – June 30, 2014.
4. Employment –Stacy Lacaillade, part-time Nursing Instructor, Class III, Step 2, effective October 21, 2013 – June 30, 2014.
5. Limited Term Assignment –Danielle Medina-Hartsell, Welding Instructor, Class V, Step 1, effective August 24, 2013 – December 13, 2013.
6. Limited Term Assignment – Ali Adabzadeh, Automotive Instructor, Class VI, Step 3, effective August 24, 2013 – December 13, 2013.
7. Special Assignment –Tonya Harrington, Class VI, Step 2, effective October 1, 2013 – June 30, 2014, to attend a mandatory orientation at Harbor-UCLA Medical Center, NTE 24 hours.
8. Special Assignment – Fabiola Vaclin, Class III, Step 2, effective October 1, 2013 – June 30, 2014, to attend a mandatory orientation at Harbor-UCLA Medical Center, NTE 24 hours.
9. Special Assignment – Stacy Lacaillade, Class III, Step 2, effective October 1, 2013 – June 30, 2014, to attend a mandatory orientation at St. Frances Medical Center, NTE 24 hours.
10. Stipend Assignment – Estina Pratt, to assist in the coordination of the Library for the 2013 – 2014 academic year for \$1000.00 stipend per month.
11. Special Assignment: The following full-time mathematics faculty to participate in professional development in the Graduation Initiative Great Teachers Seminar on November 1, 2013 of which 10 from the list below will be paid for 6 hours at a rate of \$45.14 for a total of \$270.84 each, effective October 23, 2013 through December 31, 2013.
  - a. Ali Abbassi
  - b. Ronny Alpern
  - c. Gayathri Manikandan
  - d. Jose M. Martinez
  - e. Joe Mediza
  - f. Miguel Ornelas

- g. Donald Roach
- h. Patricia Stoddard
- i. Abigail Tatliloglu
- j. Mohamad Tavakkoli
- k. Jose Villalobos
- l. Ruth Zambrano

12. Special Assignment: The following part-time mathematics faculty to participate in professional development in the Graduation Initiative Great Teachers Seminar on November 1, 2013 of which 10 part-time math faculty from the list below will be paid for 6 hours at a rate of \$45.14 for a total of \$270.84 each, effective October 23, 2013 through December 31, 2013:

- a. Mihn Can
- b. Sekou Carter
- c. Jack Gill
- d. Cynthia Hernandez-Saul
- e. Mahbub Khan
- f. Ziauddin Khwaja
- g. Emmanuel Ndoumna
- h. Babacar Niang
- i. Ann Pham
- j. Charmain Raffel
- k. Mohammad Rahnavard
- l. Alireza Roshanaei
- m. Azzam Shihabi
- n. Evan Skorka
- o. Clark Vanish
- p. Tim Vu
- q. Robert Webb

## **2B. NEW CLASSIFIED POSITIONS**

It is requested that the Special Trustee approve the following new classified job descriptions:

- **Research Associate**
- **Student Services Advisor**
- **Coordinator of Student Success Services and Programs**

### **RESEARCH ASSOCIATE**

**RANGE 28**  
**FLSA: NON-EXEMPT**

#### **DEFINITION**

Under the general supervision of the assigned Administrator, perform a variety of analytical functions for Compton Community College District and El Camino College Compton Center. Gather data and perform statistical and analytical institutional research; conduct demographic, regional, and labor market analyses; and calculate baseline and outcomes data for grant applications and reports. Scope of duties may include special emphases on student achievement and goal-completion, basic skills research, and career and technical outcomes.

#### **SUPERVISION RECEIVED AND EXERCISED**

Receives direct or general supervision from the assigned Administrator. Exercises no supervision of staff.

#### **EXAMPLES OF ESSENTIAL FUNCTIONS (Illustrative Only)**

Management reserves the right to add, modify, change, or rescind the work assignments of different positions and to make reasonable accommodations so that qualified employees can perform the essential functions of the job.

- Provide data extractions and analytical support to the areas of student demographics, course enrollment, student engagement and service usage, academic performance, and outcomes of programs and services.
- Conduct studies to determine the effectiveness of both instructional and non-instructional programs and services implemented as a part of various College projects.
- Perform analyses using appropriate and sound research methods and statistical techniques.
- Conducts literature reviews in support of research projects.
- Utilize and query specialized databases and information systems to support research.
- Organize and present research-based information, analysis, and interpretation clearly and effectively, in narrative, tabular, graphical and oral modes.
- Assist in the design of paper and online student and workforce surveys. Administer surveys and apply appropriate computer tools, and data collection techniques to draw conclusions about target populations based on survey samples.
- Work with requesters to clarify their needs and optimize the utility of research results for them and for the College.
- Coordinate activities with the Research Analyst and other campus representatives.
- Organize, prioritize, and schedule work on a multitude of research-related requests from College constituents.
- Performs other duties as assigned.

## **QUALIFICATIONS**

### **Knowledge of:**

- Research theory, methodology and applied statistics.
- Survey design.
- Student learning outcomes.
- Technical report writing.
- Personal, mainframe and client server computer systems.
- State and federal accountability reporting requirements.
- Microsoft Excel and Access preferred.

### **Ability to:**

- Participate in analytical studies.
- Establish and maintain cooperative working relationships with others.
- Analyze and interpret complex data.
- Communicate effectively, orally and in writing.
- Approach problems objectively.
- Present findings and recommendations clearly.
- Exercise good judgment, diplomacy, tact and patience.
- Operate research and analytic-related computer application programs such as database systems and statistical software such as SPSS.
- Meet schedules and timeframes.
- Reason logically and creatively and apply that logic to research topics.

### **Education and Experience:**

Any combination of training and experience that would provide the required knowledge, skills, and abilities is qualifying. A typical way to obtain the required qualifications would be:

Must have a bachelor's degree in a specialization involving social science, economics, higher education, social or educational research, psychology or a related field and at least two years of experience that demonstrates the ability to conduct complex analyses. Experience at a community college preferred. Master's degree or higher may be substituted for relevant experience.

### **Licenses and Certifications:**

Possession of, or ability to obtain, a valid California Driver's License by time of appointment.

## **PHYSICAL DEMANDS**

Incumbents in this position may sit for extended periods of time using a personal computer with a Vision Display Terminal (VDT) screen. Frequent telephone use. Ability to move from one work area to another as needed. Occasional independent travel to and from the El Camino College Torrance/Crenshaw Blvd campus.

## **ENVIRONMENTAL ELEMENTS**

Employees work in an office environment with moderate noise levels, controlled temperature conditions, and no direct exposure to hazardous physical substances. Employees may interact with upset staff and/or public and private representatives in interpreting and enforcing departmental policies and procedures.

## **STUDENT SERVICES ADVISOR**

**RANGE 25  
FLSA: NON-EXEMPT**

### **DEFINITION**

Under general supervision of the assigned administrator, performs a variety of student services duties including: advising and retention of current and prospective students, academic planning, career planning, transfer planning, admissions and records, financial aid and scholarships, foster youth, veterans, and other assigned student support services for students.

### **SUPERVISION RECEIVED AND EXERCISED**

Receives direct or general supervision from the assigned Administrator. Exercises no supervision of staff.

### **EXAMPLES OF ESSENTIAL FUNCTIONS (Illustrative Only)**

Management reserves the right to add, modify, change, or rescind the work assignments of different positions and to make reasonable accommodations so that qualified employees can perform the essential functions of the job.

- Provide specialized advisement regarding student services to new and continuing students in specialty fields such as career or education planning, admissions and records, Financial Aid and Scholarships, Extended Opportunities and Services (EOP&S), YESS Foster Youth Services, Veterans, and/or other assigned areas.
- Interview individual students to determine program eligibility and obtain information required to develop student educational, financial and career plans.
- Process applications and forms according to established procedures; request transcripts, records and other information needed to determine status of applications and forms.
- Analyze student data and certify eligibility for program participation as required; interpret, apply and explain complex rules, regulations, requirements and restrictions.
- Work with SARS and Datatel to assist students with scheduling counseling and workshop appointments.
- Select, administer, score and interpret assessment tests and assessment instruments; analyze alternative courses of action and assist students in developing appropriate plans.
- Communicate with academic counselors, Center personnel, business leaders, social service agencies, community resources, educational institutions and others to coordinate activities, workshops, and/or exchange information and refer students for further assistance.
- Maintain current knowledge of a variety of complex regulations, requirements and policies related to assigned specialty area.
- Prepare and maintain records, reports, lists and files related to assigned function.
- Develop forms, spreadsheets, databases, handbooks, manuals and other written materials to facilitate student advisement.
- Plan, develop and conduct workshops to provide students with specialized assistance and information in admission, orientation, transfer, financial aid, scholarships, graduation, retention, career searches, student success, and other related student service areas.
- Train and provide work direction to assigned personnel and student assistants; recruit and select hourly employees as needed.
- Perform related duties as assigned.



## **QUALIFICATIONS**

### **Knowledge of:**

- Specialized functions, operation and activities in an assigned student advisement area.
- Interview techniques.
- District services and community resources available to students.
- Oral and written communication skills.
- Spreadsheets and databases.
- Interpersonal skills using tact, patience and courtesy.
- Applicable sections of State Education Code and other applicable laws.
- District organization, operations, policies and objectives.
- Technical aspects of field of specialty.
- Financial and statistical record-keeping techniques.
- Correct English usage, grammar, spelling, punctuation and vocabulary.
- Modern office practices, procedures, and equipment.

### **Ability to:**

- Provide specialized paraprofessional duties and advisement to students in a specialty field. Assess student needs and interests and develop viable plans and alternatives.
- Interview students to determine program eligibility and obtain relevant data.
- Plan, develop and conduct informational workshops.
- Communicate effectively both orally and in writing.
- Read, interpret, apply and explain rules, regulations, policies and procedures.
- Work independently with little direction.
- Establish and maintain cooperative and effective working relationships with others.
- Train and provide work direction to others.
- Analyze situations accurately and adopt an effective course of action.
- Work confidentially with discretion.
- Maintain records and prepare records.
- Lift 30 pounds.
- Work nights and weekends.

### **Education and Experience:**

Any combination of training and experience that would provide the required knowledge, skills, and abilities is qualifying. A typical way to obtain the required qualifications would be:

Bachelor degree in Social Sciences and Human Service AND three years of increasingly responsible experience in a student services or related field.

### **Licenses and Certifications:**

- Possession of, or ability to obtain, a valid California Driver's License by time of appointment.

## **ENVIRONMENTAL ELEMENTS**

- Typical office setting.
- Extensive computer work.
- Long periods of standing and sitting.
- Adjustable work schedule to include nights and weekends.
- Lifting boxes and storage up to 30 pounds.
- Direct contact with students with various health conditions (AIDS, HIV, Hepatitis, etc.)

## **COORDINATOR OF STUDENT SUCCESS SERVICES AND PROGRAMS (SSSP)**

**RANGE 29**  
**FLSA: EXEMPT**

### **DEFINITION**

Under general supervision of the Dean of Student Services, coordinates a variety of student success services, initiatives and programs to fully implement core matriculation services on campus. Responsible for coordinating an array of student success services that provide a pathway to degree/certificate completion and retention which includes: coordinating new student orientation, assessment on and off campus, recruitment/outreach, academic and career advising, assisting students in declaring a major or certificate program early, coordinates retention of current and prospective students, and follows up with students who are on probation.

### **SUPERVISION RECEIVED AND EXERCISED**

Receives direct or general supervision from the assigned Administrator. Supervises full-time and part-time classified staff.

### **EXAMPLES OF ESSENTIAL FUNCTIONS (Illustrative Only)**

Management reserves the right to add, modify, change, or rescind the work assignments of different positions and to make reasonable accommodations so that qualified employees can perform the essential functions of the job.

- Coordinates the implementation of SB1456 and Student Success Services and Programs (SSSP) on and off campus.
- Coordinates student success services to prospective, new and continuing students in specialty fields such as assessment, career and/or educational planning, registration, financial aid and scholarships, Extended Opportunities and Services (EOP&S), foster youth services, veterans, and/or other areas within student services.
- Coordinates, plans, develops and conducts workshops to provide students with specialized assistance and information in admission/records, assessment, orientation, transfer, financial aid, scholarships, graduation, retention/probation, job/career searches, and other related student service areas.
- Assists students in determining program eligibility and obtaining information required to develop student educational, financial and career plans.
- Process applications and forms according to established procedures; request transcripts, records and other information needed to determine status of applications and forms-adhere to the requirements of FERPA as it applies to student records.
- Analyze student data and certify eligibility for program participation as required; interpret, apply and explain complex rules, regulations, requirements and restrictions.
- Coordinates SARS, Datatel and MIS data collection to assist students with scheduling participation in student success services.
- Select, administer, score and interpret assessment tests and assessment instruments; analyze alternative courses of action and assist students in developing appropriate plans.
- Assist faculty with student academic course planning and degree completion plans.
- Communicate with academic/career counselors, Center personnel, business leaders, social service agencies, community resources, educational institutions and others to coordinate outreach/recruitment activities, workshops, and/or exchange information and refer students for further assistance.

- Maintain current knowledge of a variety of complex regulations, requirements and policies related to assigned specialty area.
- Prepare and maintain records, reports, lists and files related to assigned function.
- Develop forms, spreadsheets, databases, handbooks, manuals and other written materials to facilitate student coordination.
- Train and provide work direction to assigned personnel and student assistants; recruit and select hourly employees as needed.
- Performs other related duties as assigned.

## **QUALIFICATIONS**

### **Knowledge of:**

- SB1456 and SSSP policies and procedures.
- Title 5 regulations.
- Specialized functions, operation and activities in an assigned student coordination area.
- Interview techniques.
- District services and community resources available to students.
- Oral and written communication skills.
- Spreadsheets and databases.
- Interpersonal skills using tact, patience and courtesy.
- Applicable sections of State Education Code and other applicable laws.
- District organization, operations, policies and objectives.
- Technical aspects of field of specialty.
- Financial and statistical record-keeping techniques.
- Correct English usage, grammar, spelling, punctuation and vocabulary.
- Modern office practices, procedures, and equipment.

### **Ability to:**

- Provide specialized paraprofessional duties and coordination to students in a specialty field. Assess student needs and interests and develop viable plans and alternatives.
- Interview students to determine program eligibility and obtain relevant data.
- Plan, develop and conduct informational workshops.
- Communicate effectively both orally and in writing.
- Read, interpret, apply and explain rules, regulations, policies and procedures.
- Work independently with little direction.
- Establish and maintain cooperative and effective working relationships with others.
- Train and provide work direction to others.
- Analyze situations accurately and adopt an effective course of action.
- Work confidentially with discretion.
- Maintain records and prepare records.
- Lift 30 pounds.
- Work nights and weekends.

### **Education and Experience:**

Any combination of training and experience that would provide the required knowledge, skills, and abilities is qualifying. A typical way to obtain the required qualifications would be:

Bachelor degree in Social Sciences and Human Service and three years of increasingly responsible experience in a student services or related field.

Licenses and Certifications:

Possession of, or ability to obtain, a valid California Driver's License by time of appointment.

ENVIRONMENTAL ELEMENTS

- Typical office setting.
- Extensive computer work.
- Long periods of standing and sitting.
- Adjustable work schedule to include nights and weekends.
- Lifting boxes and storage up to 30 pounds.
- Direct contact with students with various health conditions (AIDS, HIV, Hepatitis, etc.)

**2C. ELIGIBILITY LIST**

Pursuant to Personnel Commission Rule 50.100.1, that the Special Trustee serving in the capacity of the Personnel Commission hereby approves the following Eligibility List:

Athletic Specialist

Established: 09/20/13

Expires: 09/20/14

RANK	NAME
1.	Ayala, Lydia
2.	Williams, Matthew
3.	Martinez, Amanda
4.	Dawdy, Laurie
5.	Johnson, Alexa
6.	Johnson, Jaclyn

Financial Aid Supervisor

Established: 09/06/13

Expires: 09/06/14

RANK	NAME
1.	Steels, LaJuan
2.	Avila, Jason
3.	Ross, Henry
4.	Velazquez, Marisol
5.	Burgess, Martha
6.	Williams, Naim
7.	Becerra, Nadia
8.	Walker, Johnisha
9.	Pila, Coleen

Human Resources Representative

Established: 09/10/13

Expires: 09/10/14

RANK	NAME
1.	Grace-Hall, Mary
2.	Coward, Nakia
3.	Mayo, Dora
4.	Chang, Feng
5.	Pleze, Coquiese

Vocational Tool Room Attendant

Established: 10/03/13

Expires: 10/03/14

RANK	NAME
1.	Jason Wilborne
2.	Bennie Morgan
3.	Scott Freeman
4.	Aaron Yanez
5.	James Morgan
6.	Ryan Walker
7.	Deon Powell
8.	Williams Sampson

**2D. CLASSIFIED EMPLOYEES:**

It is recommended that the Special Trustee ratify/approve the employment and personnel changes for Classified employees as shown below.

a) Employment

1. Lydia Ayala, Athletic Specialist, Range 27, Step B, Athletics, Student Affairs, effective November 1, 2013.
2. Mary Grace-Hall, Human Resources Representative, Range N, Step E, Human Resources, Administrative Affairs, effective October 7, 2013.
3. LaJuan Steels, Financial Aid Supervisor, Range 33, Step F, Financial Aid, Student Affairs, effective October 7, 2013.
4. Jason Wilborne, Vocational Tool Room Attendant, Range 25, Step A, Career Technical Educational, Academic Affairs, effective October 14, 2013.
5. Dr. Joseph Lewis, Student Services Advisor, Range 25, Step G, Student Affairs, effective October 23, 2013.

b) Provisional Employment

1. Patricia Witrigo, Children's Center Aide, Range 15, Step A, Child Development Center, Academic Affairs, effective September 1, 2013 through June 30, 2014, not to exceed 120 days (NTE 25 hours per week).
2. Juan Diaz, Certified Athletic Trainer, Range 33, Step A, Athletics, Student Affairs, effective August 12, 2013 through June 30, 2014, not to exceed 120 days (NTE 25 hours per week).
3. Lester Green, Utility Maintenance worker, Range 19, Step G, Maintenance & Operations, Business Affairs, effective October 23, 2013 through June 30, 2014, not to exceed 120 days (NTE 25 hours per week).

**2E. TEMPORARY NON-CLASSIFIED SERVICE EMPLOYEES:**

It is recommended that the special Trustee ratify/approve the employment and personnel changes for non-classified employees as shown below.

1. Frank Aguilar, Student Worker I, Rate C, \$10.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective July 1, 2013 through August 23, 2013, Financial Aid, Student Affairs (NTE 25 hours per week).
2. Jonathan Bowers, Professional Expert, \$32.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective October 1, 2013 through June 30, 2014, Career Technical Education, Academic Affairs. Public Safety trainer is responsible for working with full-time faculty in the areas of police, fire and emergency medical training programs (NTE 25 hours per week).
3. Esmeralda Cortez, Student Worker I, Rate A, \$8.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective September 23, 2013 through December 13, 2013, Math/Science, Academic Affairs (NTE 20 hours per week).
4. Katherine Cortez, Student Worker I, Rate A, \$8.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective September 23, 2013 through December 13, 2013, Math/Science, Academic Affairs (NTE 20 hours per week).
5. Corey Davis, Student Worker I, Rate E, \$10.50 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective July 1, 2013 through August 23, 2013, Financial Aid, Student Affairs (NTE 25 hours per week).
6. Cheny Dominguez, Tutor, Rate B, \$8.75 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective September 20, 2013 through December 12, 2013, Learning Resource Center, Academic Affairs (NTE 15 hours per week).
7. Keahna Graddy, Student Worker I, Rate E, \$10.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective July 1, 2013 through August 23, 2013, Financial Aid, Student Affairs (NTE 25 hours per week).
8. Demontray Hankins, Professional Expert, \$40.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective September 1, 2013 through June 30, 2014, Foster Care Education, Student Affairs. To provide the following workshops: Being in Foster Care and The Run Away Project (NTE 25 hours per week).
9. Oliverio Topete, Student Worker I, Rate E, \$9.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective September 20, 2013 through December 12, 2013, Learning Resource Center, Academic Affairs (NTE 15 hours per week).
10. Anntonette Piggue, Student Worker I, Rate E, \$9.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective September 20, 2013 through December 12, 2013, Learning Resource Center, Academic Affairs (NTE 15 hours per week).
11. Daisy Pimentel, Tutor, Rate B, \$8.75 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective October 23, 2013 through

December 12, 2013, Upward Bound Math/Science, Student Affairs (NTE 20 hours per week).

12. Jessica Pino, Tutor, Rate B, \$8.75 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective October 23, 2013 through December 12, 2013, Upward Bound Math/Science, Student Affairs (NTE 20 hours per week).
13. Stephanie Pinzon, Tutor, Rate B, \$8.75 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective September 20, 2013 through December 12, 2013, Learning Resource Center, Academic Affairs (NTE 15 hours per week).
14. Julio Reyes, Student Worker I, Rate E, \$9.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective August 1, 2013 through December 13, 2013, Outreach, Student Affairs (NTE 25 hours per week).
15. Rosie Reyes, Student Worker II, Rate C, \$10.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective July 1, 2013 through August 16, 2013, Financial Aid, Student Affairs (NTE 25 hours per week).
16. James Lopez, Teacher Associate, \$22.80 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective October 8, 2013 through December 13, 2013, Learning Resource Center, Academic Affairs (NTE 25 hour per week).
17. Luis Cerda, Assistant Coach (Men's Soccer), \$8.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective August 12, 2013 through November 15, 2013, Athletics, Student Affairs (NTE 25 hours per week; total 281 hours).
18. Alexander Rodriguez, Assistant Coach (Women's Soccer), \$8.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective August 15, 2013 through December 15, 2013, Athletics, Student Affairs (NTE 25 hours per week; total 375 hours).
19. Christian King, Assistant Coach (Women's Soccer), \$8.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective August 12, 2013 through November 15, 2013, Athletics, Student Affairs (NTE 25 hours per week; total 281 hours).
20. Gedi Butrimavicus, Assistant Coach (Men's Soccer), \$8.00 per hour, Monday through Friday (days vary), hours between 8:00 a.m. to 8:00 p.m. (hours vary), effective August 15, 2013 through December 15, 2013, Athletics, Student Affairs (NTE 25 hours per week; total 187 hours).



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**Agenda for the Compton Community College District Board of Trustees**  
**from**  
**Office of the CEO**  
**Keith Curry**

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**XVI. ACTION ITEMS**

1. Office of the Special Trustee
  - A. Board of Trustees' Regularly Scheduled Meeting Dates July-December 2013 – Revised
  
2. Office of the CEO
  - A. Resolution #10-22-2013A – Issuance of Compton Community College District (Los Angeles County, California) General Obligation Bonds, Election of 2002, Series 2013d, and Actions Related Thereto.
  - B. Student Trustee Travel Request – CCLC Annual Convention
  
3. Administrative Services
  - A. Contracts
  - B. Budget Adjustments/Augmentations/Transfers 2013-2014
  - C. Proposition 30, Education Protection Account (EPA) Funding and Expenditures
  
4. Facilities Planning and Development
  - A. Contracts
  - B. Change Orders
  
5. Human Resources
  - A. Resolution #10-22-2013B - Resolution to Implement an Internal Revenue Code (IRC) Section 125 Flexible Fringe Benefits Plan

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**Agenda for the Compton Community College District Board of Trustees**  
**from**  
**Office of the Special Trustee**  
**Thomas Henry**

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**1A. BOARD OF TRUSTEES' REGULARLY SCHEDULED MEETING DATES  
JULY – DECEMBER 2013 – REVISED**

It is recommended that the Special Trustee approve the Revised Board of Trustees Regularly Scheduled Meeting Dates for July-December 2013.

**Board of Trustees Regularly Scheduled Meeting Dates  
July-December 2013**

Tuesday, July 16, 2013	4:00 p.m. (Closed Session) 5:00 p.m. (Open Session)
Tuesday, August 20, 2013	4:00 p.m. (Closed Session) 5:00 p.m. (Open Session)
Tuesday, September 3, 2013	4:00 p.m. (Closed Session) 5:00 p.m. (Open Session)
Tuesday, October 22, 2013	4:00 p.m. (Closed Session) 5:00 p.m. (Open Session)
<b>Wednesday, November <del>12</del> 13, 2013</b>	4:00 p.m. (Closed Session) 5:00 p.m. (Open Session)
Tuesday, December 17, 2013	4:00 p.m. (Closed Session) 5:00 p.m. (Open Session)

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**Agenda for the Compton Community College District Board of Trustees  
from  
Office of the CEO  
Keith Curry**

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**2A. RESOLUTION #10-22-2013A – AUTHORIZING THE ISSUANCE OF COMPTON COMMUNITY COLLEGE DISTRICT, LOS ANGELES COUNTY, CALIFORNIA GENERAL OBLIGATION BONDS, ELECTION OF 2002, SERIES 2013D**

It is recommended that the Special Trustee approve the Resolution of the Board of Trustees of the Compton Community College District Authorizing the Issuance of Compton Community College District (Los Angeles County, California) General Obligation Bonds Election of 2002, Series 2013D.

**COMPTON COMMUNITY COLLEGE DISTRICT  
RESOLUTION NO. 10-22-2013A**

**A RESOLUTION OF THE SPECIAL STATE TRUSTEE OF THE COMPTON COMMUNITY COLLEGE DISTRICT, LOS ANGELES COUNTY, CALIFORNIA, AUTHORIZING THE ISSUANCE OF COMPTON COMMUNITY COLLEGE DISTRICT (LOS ANGELES COUNTY, CALIFORNIA) GENERAL OBLIGATION BONDS, ELECTION OF 2002, SERIES 2013D, AND ACTIONS RELATED THERETO**

**WHEREAS**, a duly called municipal election was held in the Compton Community College District (the “District”), Los Angeles County (the “County”), State of California, on November 5, 2002 (the “Election”) and thereafter canvassed pursuant to law;

**WHEREAS**, at the Election there was submitted to and approved by the requisite fifty five percent or more vote of the qualified electors of the District a question as to the issuance and sale of general obligation bonds of the District for the various purposes set forth in the ballot submitted to the voters, in the maximum amount not-to-exceed \$100,000,000, payable from the levy of an *ad valorem* tax against the taxable property in the District (the “Authorization”);

**WHEREAS**, on January 30, 2004, the District caused the issuance of the first series of bonds under the Authorization in the aggregate principal amount of \$41,000,000, styled as “Compton Community College District, Los Angeles County, California, General Obligation Bonds, Election of 2002, Series 2004A (the “Series A Bonds”);

**WHEREAS**, on September 10, 2009, the District caused the issuance of the second series of bonds under the Authorization in the aggregate principal amount of \$15,000,000, styled as “Compton Community College District, Los Angeles County, California, General Obligation Bonds, Election of 2002, Series 2009B (the “Series B Bonds”);

**WHEREAS**, on April 10, 2012, the District caused the issuance of the third series of bonds under the Authorization in the aggregate principal amount of \$15,001,122.25, styled as “Compton Community College District, Los Angeles County, California, General Obligation Bonds, Election of 2002, Series 2012C (the “Series C Bonds”)

**WHEREAS**, pursuant to State Assembly Bill 318 (Stats. 2006, Chapter 50) (“AB 318”), the Chancellor of the California Community Colleges (the “Chancellor”) has assumed the exercise of all powers and responsibilities of the District’s Board of Trustees (the “Board”) necessary for the management of the District, including such powers and responsibilities as are relevant and necessary to carry out the transactions contemplated hereby;

**WHEREAS**, pursuant to AB 318, the Chancellor has delegated the exercise of such powers and responsibilities to a Special State Trustee (the “State Trustee”);

**WHEREAS**, at this time the State Trustee has determined that it is necessary and desirable to issue the fourth series of bonds under the Authorization in an aggregate principal amount not-to-exceed \$20,000,000, and to be styled as “Compton Community College District (Los Angeles County, California) General Obligation Bonds, Election of 2002, Series 2013D” (the “Bonds”);

**WHEREAS**, pursuant to Article 4.5 of Chapter 3 of Part 1 of Division 2 of Title 5 of the Government Code of the State of California (the “Government Code”), the Bonds are authorized to be issued by the District for purposes set forth in the ballot submitted to the voters at the Election;

**WHEREAS**, the State Trustee desires to authorize the issuance of the Bonds in one or more series of taxable or tax-exempt bonds, and further as any combination of current interest bonds, capital appreciation bonds, or convertible capital appreciation bonds;

**WHEREAS**, the State Trustee desires to appoint certain professionals to provide services related to the issuance of the Bonds; and

**WHEREAS**, all acts, conditions and things required by law to be done or performed have been done and performed in strict conformity with the laws authorizing the issuance of general obligation bonds of the District, and the indebtedness of the District, including this proposed issue of Bonds, is within all limits prescribed by law;

**NOW, THEREFORE, BE IT FOUND, DETERMINED AND RESOLVED BY THE BOARD OF TRUSTEES OF THE COMPTON COMMUNITY COLLEGE DISTRICT, LOS ANGELES, COUNTY CALIFORNIA, AS FOLLOWS:**

**SECTION 1 Authorization for Issuance of the Bonds.** To raise money for the purposes authorized by the voters of the District at the Election, and to pay all necessary legal, financial, engineering and contingent costs in connection therewith, the State Trustee hereby authorizes the issuance of the Bonds pursuant to Article 4.5 of Chapter 3 of Part 1 of Division 2 of Title 5 of the Government Code in one or more series of taxable or tax-exempt bonds, with appropriate designation if more than one series is issued, and as any combination of Current Interest, Capital Appreciation and Convertible Capital Appreciation Bonds (as defined herein), as set forth in the fully executed Bond Purchase Contract (as defined herein). The State Trustee further orders such Bonds sold such that the Bonds shall be dated as of a date to be determined by an Authorized Officer (defined herein), shall be payable upon such terms and provisions as shall be set forth in the Bonds, and shall be in an aggregate principal amount not-to-exceed \$20,000,000.

**SECTION 2 Paying Agent.** The State Trustee hereby appoints the Paying Agent, as defined herein, to serve as the paying agent, bond registrar, transfer agent and authentication agent for the Bonds on behalf of the District. The State Trustee hereby approves the payment of the reasonable fees and expenses of the Paying Agent as they shall become due and payable. The fees and expenses of the Paying which are not paid as a cost of issuance of the Bonds may be paid in each year from *ad valorem* Board of Trustees Meeting – October 22, 2013

taxes levied and collected for the payment thereof, insofar as permitted by law, including specifically by Section 15232 of the Education Code.

**SECTION 3 Terms and Conditions of Sale.** The Bonds shall be sold upon the direction of the State Trustee, the Chief Executive Officer of the District, or the Chief Business Officer of the District, or such other officers or employees of the District as the State Trustee or the Chief Executive Officer may designate (collectively, the “Authorized Officers”). The State Trustee hereby authorizes the sale of the Bonds at a negotiated sale, which is determined to provide more flexibility in the timing of the sale, an ability to implement the sale in a shorter time period, an increased ability to structure the Bonds to fit the needs of particular purchasers, and a greater opportunity for the Underwriters (as defined herein) to pre-market the Bonds to potential purchasers prior to the sale, all of which will contribute to the District’s goal of achieving the lowest overall cost of funds. The Bonds shall be sold pursuant to the terms and conditions set forth in the Purchase Contract, as described below.

**SECTION 4 Approval of Purchase Contract.** The form of contract for purchase of the Bonds (the “Purchase Contract”) by and between the District and the Underwriters, for the purchase and sale of the Bonds, substantially in the form on file with the State Trustee, is hereby approved and the Authorized Officers, each alone, are hereby authorized and requested to acknowledge the execution of such Purchase Contract; provided, however, that the maximum interest rate on the Bonds shall not exceed the maximum rate permitted by law and the underwriting discount thereon, excluding original issue discount and expenses of the Underwriters, shall not exceed 1.0% of the aggregate principal amount of Bonds issued. The Authorized Officers, each alone, are further authorized to determine the principal amount of the Bonds to be specified in the Purchase Contract for sale by the District up to \$20,000,000 and to enter into and execute the Purchase Contract with the Underwriters, if the conditions set forth in this Resolution are satisfied. The State Trustee estimates that the costs associated with the issuance of the Bonds, including compensation to the Underwriters (but excluding fees of the Bond Insurer, if any), will equal approximately 2.5% of the principal amount of the Bonds.

**SECTION 5 Certain Definitions.** As used in this Resolution, the terms set forth below shall have the meanings ascribed to them (unless otherwise set forth in the Purchase Contract):

(a) **“Accreted Interest”** means, with respect to Capital Appreciation Bonds and Convertible Capital Appreciation Bonds prior to the Conversion Date, the Accreted Value thereof minus the Denominational Amount thereof as of the date of calculation.

(b) **“Accretion Rate”** means, unless otherwise provided by the Purchase Contract, that rate which, when applied to the Principal Amount of a Capital Appreciation Bond or a Convertible Capital Appreciation Bond prior to the Conversion Date, and compounded semiannually on each February 1 and August 1, (commencing on February 1, 2014), produces the Maturity Value on the maturity date (with respect to Capital Appreciation Bonds) and the Conversion Value on the Conversion Date (with respect to Convertible Capital Appreciation Bonds).

(c) **“Accreted Value”** means, as of the date of calculation, with respect to Capital Appreciation Bonds and Convertible Capital Appreciation Bonds prior to the Conversion Date, the Denominational Amount thereof plus Accreted Interest thereon to such date of calculation, compounded semiannually on each February 1 and August 1, commencing on February 1, 2014 (unless otherwise provided in the Purchase Contract) at the stated Accretion Rate to maturity thereof, assuming in any such semiannual period that such Accreted Value increases in equal daily amounts on the basis of a 360-day year of 12, 30-day months.

(d) **“Beneficial Owner”** means, when used with reference to book-entry Bonds registered pursuant to Section 6 hereof, the person who is considered the beneficial owner of such Bonds pursuant to the arrangements for book entry determination of ownership applicable to the Depository.

(e) **“Bond Insurer”** means any insurance company which issues a municipal bond insurance policy insuring the payment of Principal, Conversion Value and Maturity Value of and interest on the Bonds.

(f) **“Bond Payment Date”** means, as applicable (and unless otherwise provided by the Purchase Contract), (i) with respect to the Current Interest Bonds, February 1 and August 1 of each year commencing February 1, 2014 with respect to interest thereon, and the stated maturity dates thereof with respect to the Principal payments on the Current Interest Bonds, (ii) with respect to interest on the Convertible Capital Appreciation Bonds, February 1 and August 1 of each year, commencing on the first February 1 or August 1 following the respective Conversion Dates thereof, and the stated maturity dates thereof with respect to the Conversion Value of the Convertible Capital Appreciation Bonds, and (iii) with respect to the Capital Appreciation Bonds, the stated maturity dates thereof.

(g) **“Capital Appreciation Bonds”** means the Bonds the interest component of which is compounded semiannually on each February 1 and August 1 (commencing on February 1, 2014 (unless otherwise provided in the Purchase Contract)) to maturity as shown in the table of Accreted Value for such Bonds in the Official Statement or Purchase Contract, as the case may be.

(h) **“Code”** means the Internal Revenue Code of 1986, as amended. Reference to any particular section of the Code shall be deemed to be a reference to any successor to any such section.

(i) **“Continuing Disclosure Certificate”** means that certain Continuing Disclosure Certificate executed by the District pursuant to paragraph (b)(5) of Rule 15c2-12 adopted by the Securities and Exchange Commission under the Securities Exchange Act of 1934, dated as of the date of issuance of the Bonds, as amended from time to time in accordance with the provisions thereof.

(j) **“Conversion Date”** means, with respect to Convertible Capital Appreciation Bonds, the date from which such Bonds bear interest on a current, periodic basis.

(k) **“Conversion Value”** means, with respect to Convertible Capital Appreciation Bonds, the Accreted Value as of the Conversion Date.

(l) **“Convertible Capital Appreciation Bonds”** means the Bonds the interest component of which is compounded semiannually to the respective Conversion Dates thereof as shown in the table Accreted Values for the Bonds in the Official Statement or Purchase Contract, as the case may be, and which bear interest from such respective Conversion Dates on the Conversion Value thereof, payable semiannually on each Bond Payment Date.

(m) **“Current Interest Bonds”** means the Bonds the interest on which is payable semiannually on each Bond Payment Date specified for each such Bond as designated and maturing in the years and in the amounts set forth in the Purchase Contract.

(n) **“Dated Date”** means the date of initial issuance and delivery of the Bonds, or such other date as shall appear in the Purchase Contract or Official Statement.

(o) **“Denominational Amount”** means the initial Principal Amount of any Capital Appreciation Bond or Convertible Capital Appreciation Bond.

(p) **“Depository”** means the entity acting as securities depository for the Bonds pursuant to Section 6(c) hereof.

(q) **“DTC”** means The Depository Trust Company, New York, New York, a limited purpose trust company organized under the laws of the State of New York, in its capacity as the initial Depository for the Bonds.

(r) **“Fair Market Value”** means the price at which a willing buyer would purchase the investment from a willing seller in a bona fide, arm's length transaction (determined as of the date the contract to purchase or sell the investment becomes binding) if the investment is traded on an established securities market (within the meaning of section 1273 of the Code) and, otherwise, the term “Fair Market Value” means the acquisition price in a bona fide arm's length transaction (as referenced above) if (i) the investment is a certificate of deposit that is acquired in accordance with applicable regulations under the Code, (ii) the investment is an agreement with specifically negotiated withdrawal or reinvestment provisions and a specifically negotiated interest rate (for example, a guaranteed investment contract, a forward supply contract or other investment agreement) that is acquired in accordance with applicable regulations under the Code, (iii) the investment is a United States Treasury Security—State and Local Government Series that is acquired in accordance with applicable regulations of the United States Bureau of Public Debt, or (iv) any commingled investment fund in which the District and related parties do not own more than a ten percent (10%) beneficial interest therein if the return paid by the fund is without regard to the source of the investment.

(s) **“Holder” or “Owner”** means the registered owner of a Bond as set forth on the registration books maintained by the Paying Agent pursuant to Section 6 hereof.

(t) **“Information Services”** means Financial Information, Inc.’s Financial Daily Called Bond Service; Mergent, Inc.’s Called Bond Department; or Standard & Poor’s J.J. Kenny Information Services’ Called Bond Service.

(u) **“Maturity Value”** means the Accreted Value of any Capital Appreciation Bond on its maturity date.

(v) **“Nominee”** means the nominee of the Depository, which may be the Depository, as determined from time to time pursuant to Section 6(c) hereof.

(w) **“Non-AMT Bonds”** means obligations the interest on which is excludable from gross income for federal income tax purposes under Section 103(a) of the Code and not treated as an item of tax preference under Section 57(a)(5)(C) of the Code, that are legal investments pursuant to Section 53601 of the Government Code of the State of California.

(x) **“Official Statement”** means the Official Statement for the Bonds, as described in Section 17 hereof.

(y) **“Outstanding”** means, when used with reference to the Bonds, as of any date, Bonds theretofore issued or thereupon being issued under this Resolution except:

- (i) Bonds canceled at or prior to such date;
- (ii) Bonds in lieu of or in substitution for which other Bonds shall have been delivered pursuant to Section 8 hereof; or
- (iii) Bonds for the payment or redemption of which funds or Government Obligations in the necessary amount shall have been set aside (whether on or prior to the maturity or redemption date of such Bonds), in accordance with Section 19 of this Resolution

(z) **“Participants”** means those broker-dealers, banks and other financial institutions from time to time for which the Depository holds book-entry certificates as securities depository.

(aa) **“Paying Agent”** means, initially the Treasurer, or such other Paying Agent as shall be named in the Purchase Contract or Official Statement, and afterwards any successor financial institution. The Treasurer is authorized to contract with third parties for the provision of Paying Agent services hereunder.

(bb) **“Permitted Investments”** means (i) any lawful investments permitted by Section 16429.1 and Section 53601 of the Government Code, including Non-AMT Bonds and Qualified Non-AMT Mutual Funds, (ii) shares in a California common law trust established pursuant to Title 1, Division 7, Chapter 5 of the Government Code which invests exclusively in investments permitted by Section 53635 of the Government Code, but without regard to any limitations in such Section concerning the percentage of moneys available for investment being invested in a particular type of security, (iii) a guaranteed investment contract with a provider rated in at least the second highest category by each Rating Agency then rating the Bonds and approved by the Bond Insurer, if any, (iv) the Local Agency Investments Fund of the California State Treasurer, (v) the county investment pool maintained by the Treasurer of the County, and (vi) State and Local Government Series Securities.

(cc) **“Principal”** or **“Principal Amount”** means, with respect to any Current Interest Bond, the Principal Amount thereof, with respect to any Capital Appreciation Bond or Convertible Capital Appreciation Bond, the Denominational Amount thereof.

(dd) **“Qualified Non-AMT Mutual Fund”** means stock in a regulated investment company to the extent that at least 95% of the income of such regulated investment company is interest that is excludable from gross income under Section 103 of the Code and not an item of tax preference under Section 57(a)(5)(C) of the Code.

(ee) **“Qualified Permitted Investments”** means (i) Non-AMT Bonds, (ii) Qualified Non-AMT Mutual Funds, (iii) other Permitted Investments authorized by an opinion of Bond Counsel to the effect that such investment would not adversely affect the tax-exempt status of the Bonds, and (iv) Permitted Investments of proceeds of the Bonds, and interest earned on such proceeds, held not more than thirty days pending reinvestment or Bond redemption. A guaranteed investment contract or similar investment agreement (e.g. a forward supply contract, GIC, repo, etc.) does not constitute a Qualified Permitted Investment.

(ff) **“Record Date”** means the close of business on the 15th day of the month preceding each Bond Payment Date.

(gg) **“Securities Depository”** means The Depository Trust Company, 55 Water Street, New York, New York 10041, Tel: (212) 855-1000 or Fax: (212) 855-7320.



(hh) **“Taxable Bonds”** means any Bonds not issued as Tax-Exempt Bonds.

(ii) **“Tax-Exempt Bonds”** means any Bonds the interest in which is excludable from gross income for federal income tax purposes and is not treated as an item of tax preference for purposes of calculating the federal alternative minimum tax, as further described in an opinion of Bond Counsel supplied to the original purchasers of such Bonds.

(jj) **“Term Bonds”** means those Bonds for which mandatory redemption dates have been established in the Purchase Contract.

(kk) **“Transfer Amount”** means, (i) with respect to any Outstanding Current Interest Bond, the Principal Amount, (ii) with respect to any Outstanding Capital Appreciation Bond, the Maturity Value, and (iii) with respect to any Outstanding Convertible Capital Appreciation Bonds, the Conversion Value.

(ll) **“Treasurer”** means the Treasurer and Tax Collector of the County, or other comparable officer of the County.

## **SECTION 6 Terms of the Bonds.**

(a) **Denomination, Interest, Dated Dates.** The Bonds shall be issued as fully registered bonds registered as to both Principal and interest, in the following denominations: (i) with respect to the Current Interest Bonds, \$5,000 Principal Amount or any integral multiple thereof, (ii) with respect to the Capital Appreciation Bonds, \$5,000 Maturity Value, or any integral multiple thereof, and (iii) with respect to Convertible Capital Appreciation Bonds, \$5,000 Conversion Value or any integral multiple thereof. The Bonds shall bear or accrete interest at a rate or rates such that the interest rate shall not exceed that authorized at the Election. The Bonds will initially be registered to “Cede & Co.”, the Nominee of the Depository Trust Company, New York, New York.

Each Current Interest Bond shall be dated as of the Dated Date, and shall bear interest from the Bond Payment Date next preceding the date of authentication thereof unless it is authenticated during the period from the 16<sup>th</sup> day of the month next preceding any Bond Payment Date to that Bond Payment Date, inclusive, in which event it shall bear interest from such Bond Payment Date, or unless it is

authenticated on or before the first Record Date, in which event it shall bear interest from its Dated Date. Interest shall be payable on the respective Bond Payment Dates and shall be calculated on the basis of a 360-day year of 12, 30-day months.

The Capital Appreciation Bonds shall mature in the years, shall be issued in aggregate Principal Amounts, shall have Accretion Rates and shall have denominations per each \$5,000 in Maturity Value as shown in the Accreted Value Table attached to the Official Statement or Purchase Contract. The Convertible Capital Appreciation Bonds shall mature in the years, shall be issued in the aggregate Principal Amounts, shall have Accretion Rates and shall have denominations per each \$5,000 in Conversion Value as shown in such Accreted Value Table; provided, that in the event that the amount shown in such Accreted Value Table and the Accreted Value caused to be calculated by the District and approved by the Bond Insurer, if any, by application of the definition of Accreted Value set forth in Section 5 differ, the latter amount shall be the Accreted Value of such Capital Appreciation Bond or Convertible Capital Appreciation Bond, as applicable.

Each Capital Appreciation Bond shall be dated, and shall accrete interest from, its date of initial delivery. Capital Appreciation Bonds will not bear interest on a current basis.

Prior to their respective Conversion Dates, each Convertible Capital Appreciation Bond shall not bear current interest but will accrete in value through the Conversion Date thereof, from its Denominational Amount on the Date of Delivery thereof to its Conversion Value on the applicable Conversion Date. From and after its Conversion Date, each Convertible Capital Appreciation Bond will bear current, periodic interest, and such interest will accrue based upon the Conversion Value of such Bonds at the Conversion Date. No payment will be made to the Owners of Convertible Capital Appreciation Bonds on the respective Conversion Dates thereof.

(b) Redemption.

(i) Terms of Redemption. The Bonds shall be subject to optional or mandatory sinking fund redemption prior to maturity as provided in the Purchase Contract or the Official Statement.

(ii) Selection of Bonds for Redemption. Whenever provision is made in this Resolution for the optional redemption of Bonds and less than all Outstanding Bonds are to be redeemed, the Paying Agent, upon written instruction from the District, shall select Bonds for redemption as so directed and if not directed, in inverse order of maturity. Within a maturity, the Paying Agent shall select Bonds for redemption by lot. Redemption by lot shall be in such manner as the Paying Agent shall determine; provided, however, that (A) the portion of any Current Interest Bond to be redeemed in part shall be in the Principal Amount of \$5,000 or any integral multiple thereof, (B) the portion of any Capital Appreciation Bond to be redeemed in part shall be in integral multiples of the Accreted Value per \$5,000 Maturity Value thereof, (C) and the portion of any Convertible Capital Appreciation Bond to be redeemed in part shall be in integral multiples of the Accreted Value per \$5,000 Conversion Value thereof.

The Purchase Contract may provide that (i) in the event that any portion of Bonds subject to mandatory sinking fund redemption are optionally redeemed prior to maturity, the remaining mandatory sinking fund payments with respect to such Bonds shall be reduced proportionately, in integral multiples of \$5,000 principal amount, in respect of the portion of such Bonds optionally redeemed, and (ii) within a maturity, Bonds shall be selected for redemption on a “Pro Rata Pass-Through Distribution of Principal” basis in accordance with DTC procedures, provided further that, such redemption is made in accordance with the operational arrangements of DTC then in effect.

(iii) Redemption Notice. When redemption is authorized or required pursuant to Section 6(b) hereof, the Paying Agent, upon written instruction from the District, shall give notice (a “Redemption Notice”) of the redemption of the Bonds. Such Redemption Notice shall specify: the Bonds or designated portions thereof (in the case of redemption of the Bonds in part but not in whole) which are to be redeemed, the date of redemption, the place or places where the redemption will be made, including the name and address of the Paying Agent, the redemption price, the CUSIP numbers (if any) assigned to the Bonds to be redeemed, the Bond numbers of the Bonds to be redeemed in whole or in part and, in the case of any Bond to be redeemed in part only, the Principal Amount, Conversion Value or Accreted Value of such Bond to be redeemed, and the original issue date, interest rate or Accretion Rate and stated maturity date of each Bond to be redeemed in whole or in part. Such Redemption Notice shall further state that on the specified date there shall become due and payable upon each Bond or portion thereof being redeemed at the redemption price thereof, together with the interest accrued or accreted to the redemption date, and that from and after such date, interest with respect thereto shall cease to accrue or accrete.

The Paying Agent shall take the following actions with respect to each such Redemption Notice:

(a) At least 20 but not more than 45 days prior to the redemption date, such Redemption Notice shall be given to the respective Owners of Bonds designated for redemption by registered or certified mail, postage prepaid, at their addresses appearing on the Bond Register.

(b) At least 20 but not more than 45 days prior to the redemption date, such Redemption Notice shall be given by (i) registered or certified mail, postage prepaid, (ii) telephonically confirmed facsimile transmission, or (iii) overnight delivery service, to the Securities Depository.

(c) At least 20 but not more than 45 days prior to the redemption date, such Redemption Notice shall be given by (i) registered or certified mail, postage prepaid, or (ii) overnight delivery service, to one of the Information Services.

A certificate of the Paying Agent or the District that a Redemption Notice has been given as provided herein shall be conclusive as against all parties. Neither failure to receive any Redemption Notice nor any defect in any such Redemption Notice so given shall affect the sufficiency of the proceedings for the redemption of the affected Bonds. Each check issued or other transfer of funds made by the Paying Agent for the purpose of redeeming Bonds shall bear or include the CUSIP number identifying, by issue and maturity, the Bonds being redeemed with the proceeds of such check or other transfer.

With respect to any notice of optional redemption of Bonds (or portions thereof) pursuant to Section 6(b)(i) hereof, unless upon the giving of such notice such Bonds or portions thereof shall be deemed to have been defeased pursuant to Section 19 hereof, such notice shall state that such redemption shall be conditional upon the receipt by an independent escrow agent selected by the District on or prior to the date fixed for such redemption of the moneys necessary and sufficient to pay the Principal, Conversion Value and Maturity Value of, and premium, if any, and interest on, such Bonds (or portions thereof) to be redeemed, and that if such moneys shall not have been so received said notice shall be of no force and effect, no portion of the Bonds shall be subject to redemption on such date and such Bonds shall not be required to be redeemed on such date. In the event that such Redemption Notice contains such a condition and such moneys are not so received, the redemption shall not be made and the Paying Agent shall within a reasonable time thereafter (but in no event later than the date originally set for redemption) give notice to the persons to whom and in the manner in which the Redemption Notice was given that such moneys were not so received. In addition, the District shall have the right to rescind any

Redemption Notice, by written notice to the Paying Agent, on or prior to the date fixed for such redemption. The Paying Agent shall distribute a notice of the rescission of such notice in the same manner as such notice was originally provided.

(iv) Partial Redemption of Bonds. Upon the surrender of any Bond redeemed in part only, the Paying Agent shall execute and deliver to the Owner thereof a new Bond or Bonds of like tenor and maturity and of authorized denominations equal in Transfer Amounts to the unredeemed portion of the Bond surrendered. Such partial redemption shall be valid upon payment of the amount required to be paid to such Owner, and the District shall be released and discharged thereupon from all liability to the extent of such payment.

(v) Effect of Redemption Notice. Notice having been given as aforesaid, and the moneys for the redemption (including the interest to the applicable date of redemption) having been set aside as provided in Section 19 hereof, the Bonds to be redeemed shall become due and payable on such date of redemption.

If on such redemption date, money for the redemption of all the Bonds to be redeemed as provided in Section 6(b) hereof, together with interest accrued to such redemption date, shall be held in trust so as to be available therefor on such redemption date, and if a Redemption Notice thereof shall have been given as aforesaid, then from and after such redemption date, interest with respect to the Bonds to be redeemed shall cease to accrue or accrete and become payable. All money held for the redemption of Bonds shall be held in trust for the account of the Owners of the Bonds so to be redeemed.

(vi) Bonds No Longer Outstanding. When any Bonds (or portions thereof), which have been duly called for redemption prior to maturity under the provisions of this Resolution, or with respect to which instructions to call for redemption prior to maturity at the earliest redemption date have been given to the Paying Agent, in form satisfactory to it, and sufficient moneys shall be held irrevocably in trust for the payment of the redemption price of such Bonds or portions thereof, and, in the case of Current Interest Bonds and Convertible Capital Appreciation Bonds after the Conversion Date, accrued interest with respect thereto to the date fixed for redemption, all as provided in this Resolution, then such Bonds shall no longer be deemed Outstanding and shall be surrendered to the Paying Agent for cancellation.

All Bonds paid at maturity or redeemed prior to maturity pursuant to the provisions of this Section 6 shall be cancelled upon surrender thereof and be delivered to or upon the order of the District. All or any portion of a Bond purchased by the District shall be cancelled by the Paying Agent.

(c) Book-Entry System.

(i) Election of Book-Entry System. The Bonds shall initially be delivered in the form of a separate single fully-registered bond (which may be typewritten) for each maturity date of such Bonds in an authorized denomination (except for any odd denomination Bond). The ownership of each such Bond shall be registered in the Bond Register (as defined below) in the name of the Nominee, as nominee of the Depository and ownership of the Bonds, or any portion thereof may not thereafter be transferred except as provided in Section 6(c)(i)(4).

With respect to book-entry Bonds, the District and the Paying Agent shall have no responsibility or obligation to any Participant or to any person on behalf of which such a Participant holds an interest in such book-entry Bonds. Without limiting the immediately preceding sentence, the District and the Paying Agent shall have no responsibility or obligation with respect to (i) the accuracy of the records of the Depository, the Nominee, or any Participant with respect to any ownership interest in book-entry

Bonds, (ii) the delivery to any Participant or any other person, other than an Owner as shown in the Bond Register, of any notice with respect to book-entry Bonds, including any Redemption Notice, (iii) the selection by the Depository and its Participants of the beneficial interests in book-entry Bonds to be prepaid in the event the District redeems the Bonds in part, or (iv) the payment by the Depository or any Participant or any other person, of any amount with respect to Accreted Value, Conversion Value, and Principal of, and premium, if any, or interest on the book-entry Bonds. The District and the Paying Agent may treat and consider the person in whose name each book-entry Bond is registered in the Bond Register as the absolute Owner of such book-entry Bond for the purpose of payment of Accreted Value, Conversion Value, or Principal of and premium and interest on and to such Bond, for the purpose of giving notices of redemption and other matters with respect to such Bond, for the purpose of registering transfers with respect to such Bond, and for all other purposes whatsoever. The Paying Agent shall pay all Accreted Value, Conversion Value, or Principal of and premium, if any, and interest on the Bonds only to or upon the order of the respective Owner, as shown in the Bond Register, or his respective attorney duly authorized in writing, and all such payments shall be valid and effective to fully satisfy and discharge the District's obligations with respect to payment of Accreted Value, Conversion Value, or Board of Trustees Meeting – October 22, 2013

Principal of, and premium, if any, and interest on the Bonds to the extent of the sum or sums so paid. No person other than an Owner, as shown in the Bond Register, shall receive a certificate evidencing the obligation to make payments of Accreted Value, Conversion Value, or Principal of, and premium, if any, and interest on the Bonds. Upon delivery by the Depository to the Owner and the Paying Agent, of written notice to the effect that the Depository has determined to substitute a new nominee in place of the Nominee, and subject to the provisions herein with respect to the Record Date, the word Nominee in this Resolution shall refer to such nominee of the Depository.

1. Delivery of Letter of Representations. In order to qualify the book-entry Bonds for the Depository's book-entry system, the District and the Paying Agent shall execute and deliver to the Depository a Letter of Representations. The execution and delivery of a Letter of Representations shall not in any way impose upon the District or the Paying Agent any obligation whatsoever with respect to persons having interests in such book-entry Bonds other than the Owners, as shown on the Bond Register. By executing a Letter of Representations, the Paying Agent shall agree to take all action necessary at all times so that the District will be in compliance with all representations of the District in such Letter of Representations. In addition to the execution and delivery of a Letter of Representations, the District and the Paying Agent shall take such other actions, not inconsistent with this Resolution, as are reasonably necessary to qualify book-entry Bonds for the Depository's book-entry program.

2. Selection of Depository. In the event (i) the Depository determines not to continue to act as securities depository for book-entry Bonds, or (ii) the District determines that continuation of the book-entry system is not in the best interest of the Beneficial Owners of the Bonds or the District, then the District will discontinue the book-entry system with the Depository. If the District determines to replace the Depository with another qualified securities depository, the District shall prepare or direct the preparation of a new single, separate, fully registered bond for each maturity date of such Outstanding book-entry Bond, registered in the name of such successor or substitute qualified securities depository or its Nominee as provided in subsection (4) hereof. If the District fails to identify another qualified securities depository to replace the Depository, then the Bonds shall no longer be restricted to being registered in such Bond Register in the name of the Nominee, but shall be registered in whatever name or names the Owners transferring or exchanging such Bonds shall designate, in accordance with the provisions of this Section 6(c).

3. Payments and Notices to Depository. Notwithstanding any other provision of this Resolution to the contrary, so long as all Outstanding Bonds are held in book entry form and registered in the name of the Nominee, all payments by the District or the Paying Agent with respect to Accreted Value, Conversion Value or Principal of and premium, if any, or interest on the Bonds and all notices with respect to such Bonds, including notices of redemption, shall be made and given, respectively to the Nominee, as provided in the Letter of Representations or as otherwise required or instructed by the Depository and agreed to by the Paying Agent notwithstanding any inconsistent provisions herein.

4. Transfer of Bonds to Substitute Depository.

(A) The Bonds shall be initially issued as described in the Official Statement described herein. Registered ownership of such Bonds, or any portions thereof, may not thereafter be transferred except:

(1) to any successor of DTC or its nominee, or of any substitute depository designated pursuant to Section 6(c)(i)(4)(A)(2) (“Substitute Depository”); provided that any successor of DTC or Substitute Depository shall be qualified under any applicable laws to provide the service proposed to be provided by it;

(2) to any Substitute Depository, upon (1) the resignation of DTC or its successor (or any Substitute Depository or its successor) from its functions as depository, or (2) a determination by the District that DTC (or its successor) is no longer able to carry out its functions as depository; provided that any such Substitute Depository shall be qualified under any applicable laws to provide the services proposed to be provided by it; or

(3) to any person as provided below, upon (1) the resignation of DTC or its successor (or any Substitute Depository or its successor) from its functions as depository, or (2) a determination by the District that DTC or its successor (or Substitute Depository or its successor) is no longer able to carry out its functions as depository.

(B) In the case of any transfer pursuant to Section 6(c)(i)(4)(A)(1) or (2), upon receipt of all Outstanding Bonds by the Paying Agent, together with a written request of the District to the Paying Agent designating the Substitute Depository, a single new Bond, which the District shall prepare or cause to be prepared, shall be executed and delivered for each maturity of Bonds then Outstanding, registered in the name of such successor or such Substitute Depository or their Nominees, as the case may be, all as specified in such written request of the District. In the case of any transfer pursuant to Section 6(c)(i)(4)(A)(3), upon receipt of all Outstanding Bonds by the Paying Agent, together with a written request of the District to the Paying Agent, new Bonds, which the District shall prepare or cause to be prepared, shall be executed and delivered in such denominations and registered in the names of such persons as are requested in such written request of the District, provided that the Paying Agent shall not be required to deliver such new Bonds within a period of less than sixty (60) days from the date of receipt of such written request from the District.

(C) In the case of a partial redemption or an advance refunding of any Bonds evidencing a portion of the Maturity Value, Conversion Value or Principal maturing in a particular year, DTC or its successor (or any Substitute Depository or its successor) shall make an appropriate notation on such Bonds indicating the date and amounts of such reduction in Conversion Value, Maturity Value or Principal, in form acceptable to the Paying Agent, all in

accordance with the Letter of Representations. The Paying Agent shall not be liable for such Depository’s failure to make such notations or errors in making such notations.

(D) The District and the Paying Agent shall be entitled to treat the person in whose name any Bond is registered as the Owner thereof for all purposes of this Resolution and any applicable laws, notwithstanding any notice to the contrary received by the Paying Agent or the District; and the District and the Paying Agent shall not have responsibility for transmitting payments to, communicating with, notifying, or otherwise dealing with any Beneficial Owners of the Bonds. Neither the District nor the Paying Agent shall have any responsibility or obligation, legal or otherwise, to any such Beneficial Owners or to any other party, including DTC or its successor (or Substitute Depository or its successor), except to the Owner of any Bonds, and the Paying Agent may rely conclusively on its records as to the identity of the Owners of the Bonds.

**SECTION 7 Execution of the Bonds.** The Bonds shall be signed by the State Trustee, or such other officer of the District as shall be designated by the State Trustee, by their manual or facsimile signature and countersigned by the manual or facsimile signature of the Chief Executive Officer, or such other officer of the District as shall be designated thereby, all in their official capacities. No Bond shall be valid or obligatory for any purpose or shall be entitled to any security or benefit under this Resolution unless and until the certificate of authentication printed on the Bond is signed by the Paying Agent as authenticating agent. Authentication by the Paying Agent shall be conclusive evidence that the Bond so authenticated has been duly issued, signed and delivered under this Resolution and is entitled to the security and benefit of this Resolution.

**SECTION 8 Paying Agent; Transfer and Exchange.** So long as any of the Bonds remains Outstanding, the District will cause the Paying Agent to maintain and keep at its principal office all books and records necessary for the registration, exchange and transfer of the Bonds as provided in this Section. Subject to the provisions of Section 9 below, the person in whose name a Bond is registered on the Bond Register shall be regarded as the absolute Owner of that Bond for all purposes of this Resolution. Payment of or on account of the Principal, Conversion Value, or Accreted Value of and premium, if any, and interest on any Bond shall be made only to or upon the order of such Owner; neither the District nor the Paying Agent shall be affected by any notice to the contrary, but the registration may be changed as provided in this Section. All such payments shall be valid and effectual to satisfy and discharge the District's liability upon the Bonds, including interest, to the extent of the amount or amounts so paid.

Any Bond may be exchanged for Bonds of like series, tenor, maturity and Transfer Amount upon presentation and surrender at the principal office of the Paying Agent, together with a request for exchange signed by the Owner or by a person legally empowered to do so in a form satisfactory to the Paying Agent. A Bond may be transferred on the Bond Register only upon presentation and surrender of the Bond at the principal office of the Paying Agent together with an assignment executed by the Owner or by a person legally empowered to do so in a form satisfactory to the Paying Agent. Upon exchange or transfer, the Paying Agent shall complete, authenticate and deliver a new bond or bonds of like tenor and of any authorized denomination or denominations requested by the Owner equal to the Transfer Amount of the Bond surrendered and bearing or accruing interest at the same rate and maturing on the same date. Capital Appreciation Bonds, Convertible Capital Appreciation Bonds and Current Interest Bonds may not be exchanged for one another.

If any Bond shall become mutilated, the District, at the expense of the Owner of said Bond, shall execute, and the Paying Agent shall thereupon authenticate and deliver, a new Bond of like series, tenor, maturity and Transfer Amount in exchange and substitution for the Bond so mutilated, but only upon

surrender to the Paying Agent of the Bond so mutilated. If any Bond issued hereunder shall be lost, destroyed or stolen, evidence of such loss, destruction or theft may be submitted to the Paying Agent and, if such evidence be satisfactory to the Paying Agent and indemnity for the Paying Agent and the District satisfactory to the Paying Agent shall be given by the Owner, the District, at the expense of the Owner, shall execute, and the Paying Agent shall thereupon authenticate and deliver, a new Bond of like series, tenor, maturity and Transfer Amount in lieu of and in substitution for the Bond so lost, destroyed or stolen (or if any such Bond shall have matured or shall have been called for redemption, instead of issuing a substitute Bond the Paying Agent may pay the same without surrender thereof upon receipt of indemnity satisfactory to the Paying Agent and the District). The Paying Agent may require payment of a reasonable fee for each new Bond issued under this paragraph and of the expenses which may be incurred by the District and the Paying Agent.

If signatures on behalf of the District are required in connection with an exchange or transfer, the Paying Agent shall undertake the exchange or transfer of Bonds only after the new Bonds are signed by the authorized officers of the District. In all cases of exchanged or transferred Bonds, the District shall sign and the Paying Agent shall authenticate and deliver Bonds in accordance with the provisions of this Resolution. All fees and costs of transfer shall be paid by the requesting party. Those charges may be required to be paid before the procedure is begun for the exchange or transfer. All Bonds issued upon any exchange or transfer shall be valid obligations of the District, evidencing the same debt, and entitled to the same security and benefit under this Resolution as the Bonds surrendered upon that exchange or transfer.

Any Bond surrendered to the Paying Agent for payment, retirement, exchange, replacement or transfer shall be cancelled by the Paying Agent. The District may at any time deliver to the Paying Agent for cancellation any previously authenticated and delivered Bonds that the District may have acquired in any manner whatsoever, and those Bonds shall be promptly cancelled by the Paying Agent. Written reports of the surrender and cancellation of Bonds shall be made to the District by the Paying Agent as requested by the District. The cancelled Bonds shall be retained for three years, then returned to the District or destroyed by the Paying Agent as directed by the District.

Neither the District nor the Paying Agent will be required (a) to issue or transfer any Bonds during a period beginning with the opening of business on the 16th business day next preceding either any Bond Payment Date or any date of selection of Bonds to be redeemed and ending with the close of business on the Bond Payment Date or any day on which the applicable Redemption Notice is given or (b) to transfer any Bonds which have been selected or called for redemption in whole or in part.

**SECTION 9 Payment.** Payment of interest on any Current Interest Bond or Convertible Capital Appreciation Bond after its respective Conversion Date, shall be made on any Bond Payment Date to the person appearing on the registration books of the Paying Agent as the Owner thereof as of the Record Date immediately preceding such Bond Payment Date, such interest to be paid by wire transfer or check mailed to such Owner on the Bond Payment Date at his address as it appears on such registration books or at such other address as he may have filed with the Paying Agent for that purpose on or before the Record Date. The Owner in an aggregate Principal Amount, Conversion Value or Maturity Value of One Million Dollars (\$1,000,000) or more may request in writing to the Paying Agent that such Owner be paid interest by wire transfer to the bank and account number on file with the Paying Agent as of the Record Date. The Principal, and redemption premiums, if any, payable on the Current Interest Bonds, the Accreted Value and redemption premiums, if any, on the Capital Appreciation Bonds, and the Accreted Value, Conversion Value and redemption premiums, if any, on Convertible Capital Appreciation Bonds shall be payable upon maturity or redemption upon surrender at the principal office of the Paying Agent. The Accreted Value, Conversion Value and Principal of, and premiums, if any, and

interest on, the Bonds shall be payable in lawful money of the United States of America. The Paying Agent is hereby authorized to pay the Bonds when duly presented for payment at maturity, and to cancel all Bonds upon payment thereof. The Bonds are general obligations of the District and do not constitute an obligation of the County except as provided in this Resolution. No part of any fund of the County is pledged or obligated to the payment of the Bonds.

**SECTION 10 Forms of Bonds.** The Bonds shall be in substantially the forms as set forth in Exhibit A hereto, allowing those officials executing the Bonds to make the insertions and deletions necessary to conform the Bonds to this Resolution and the Purchase Contract.

**SECTION 11 Delivery of Bonds.** The proper officials of the District shall cause the Bonds to be prepared and, following their sale, shall have the Bonds signed and delivered, together with a true



transcript of proceedings with reference to the issuance of the Bonds, to the Underwriters upon payment of the purchase price therefor.

**SECTION 12 Deposit of Proceeds of Bonds.** (a) The purchase price received from the Underwriters pursuant to the Purchase Contract, to the extent of the Principal Amount thereof, shall be paid to the County to the credit of the fund hereby authorized to be created to be known as the “Compton Community College District General Obligation Bonds, Election of 2002, Series 2013D Building Fund” (the “Building Fund”) of the District, shall be kept separate and distinct from all other District and County funds, and those proceeds shall be used solely for the purpose for which the Bonds are being issued and provided further that such proceeds shall be applied solely to the purposes authorized by the voters of the District at the Election. The County shall have no responsibility for assuring the proper use of the Bond proceeds by the District. The Building Fund may contain subaccounts if the Bonds are issued in more than one series. The purchase price received from the Underwriters pursuant to the Purchase Contract, to the extent of any accrued interest and any net original issue premium, shall be kept separate and apart in the fund hereby authorized to be created and designated as the “Compton Community College District General Obligation Bonds, Election of 2002, Series 2013D” (the “Debt Service Fund”) for the Bonds and used for payment of Accreted Value, Conversion Value, or Principal of and interest on the Bonds, and for no other purpose. The Debt Service Fund may contain subaccounts if the Bonds are issued in more than one series. Interest earnings on monies held in the Building Fund shall be retained in the Building Fund. Interest earnings on monies held in the Debt Service Fund shall be retained in the Debt Service Fund. Any excess proceeds of the Bonds not needed for the authorized purposes set forth herein for which the Bonds are being issued upon written notice from the District shall be transferred to the Debt Service Fund and applied to the payment of Accreted Value, Conversion Value or Principal of and interest on the Bonds. If, after payment in full of the Bonds, there remain excess proceeds, any such excess amounts shall be transferred to the general fund of the District.

The costs of issuance of the Bonds are hereby authorized to be paid either from premium withheld by the Underwriters upon the sale of the Bonds, or from the Principal Amount of the Bonds received from the Underwriters. To the extent costs of issuance are paid from such Principal Amount, the District, may direct that a portion thereof, in an amount not-to-exceed 2.0% of such Principal Amount, in lieu of being deposited into the Building Fund, be deposited in a costs of issuance account to be held by a fiscal agent of the District appointed for such purpose.

(b) Moneys in the Debt Service Fund and the Building Fund shall be invested, after consultation with the County, in Permitted Investments. If at the time of issuance the District determines to issue the Bonds as Tax-Exempt Bonds without regard to the Internal Revenue Code “temporary period” restrictions, all investment of Bond proceeds shall be subject to paragraph (1) below; and the District, in consultation with the County, may provide for an agent to assist the County in investing funds pursuant to paragraph (1) below. If the District fails to direct the County or its agent, as the case may be,

the County or its agent shall invest or cause the funds in the Building Fund to be invested in Qualified Permitted Investments, subject to the provisions of paragraph (1) below, until such time as the District provides written direction to invest such funds otherwise. Neither the County nor its officers and agents, as the case may be, shall have any responsibility or obligation to determine the tax consequences of any investment. The interest earned on the moneys deposited to the Building Fund shall be applied as set forth in subparagraph (1)(C) below:

(1) Covenant Regarding Investment of Proceeds.

(A) Permitted Investments. Beginning on the delivery date, and at all times until expenditure for authorized purposes, not less than 95% of the proceeds of the Bonds

deposited in the Building Fund, including investment earnings thereon, will be invested in Qualified Permitted Investments. Notwithstanding the preceding provisions of this Section, for purposes of this paragraph, amounts derived from the disposition or redemption of Qualified Permitted Investments and held pending reinvestment or redemption for a period of not more than 30 days may be invested in Permitted Investments. The District hereby authorizes investments made pursuant to this Resolution with maturities exceeding five years.

(B) Recordkeeping and Monitoring Relating to Building Fund.

i. Information Regarding Permitted Investments. The District hereby covenants that it will record or cause to be recorded with respect to each Permitted Investment in the Building Fund the following information: purchase date; purchase price; information establishing the Fair Market Value of such Permitted Investment; face amount; coupon rate; periodicity of interest payments; disposition price; disposition date; and any accrued interest received upon disposition.

ii. Information in Qualified Non-AMT Mutual Funds. The District hereby covenants that, with respect to each investment of proceeds of the Bonds in a Qualified Non-AMT Mutual Fund pursuant to paragraph (1)(A) above, in addition to recording, or causing to be recorded, the information set forth in paragraph (1)(B)(i) above, it will retain a copy of each IRS information reporting form and account statement provided by such Qualified Non-AMT Mutual Fund.

iii. Monthly Investment Fund Statements. The District covenants that it will obtain, at the beginning of each month following the delivery date, a statement of the investments in the Building Fund detailing the nature, amount and value of each investment as of such statement date.

iv. Retention of Records. The District hereby covenants that it will retain the records referred to in paragraph (1)(B)(i) and each IRS information reporting form referred to in paragraph (1)(B)(ii) with its books and records with respect to the Bonds until three years following the last date that any obligation comprising the Bonds is retired.

(C) Interest Earned on Permitted Investments. The interest earned on the moneys deposited in the Building Fund shall be deposited in the Building Fund and used for the purposes of that fund.

Except as required to satisfy the requirements of Section 148(f) of the Code, interest earned on the investment of moneys held in the Debt Service Fund shall be retained in the Debt Service Fund and

used by the County to pay the Accreted Value, Conversion Value or Principal of and interest on the Bonds when due.

**SECTION 13 Rebate Fund.** The following provisions shall apply to any Bonds issued as Tax-Exempt Bonds.

(a) The District shall create and establish a special fund designated the “Compton Community College District General Obligation Bonds, Election of 2002, Series 2013D Rebate Fund” (the “Rebate Fund”). All amounts at any time on deposit in the Rebate Fund shall be held in trust, to the

extent required to satisfy the requirement to make rebate payments to the United States (the “Rebate Requirement”) pursuant to Section 148 of the Code, and the Treasury Regulations promulgated thereunder (the “Treasury Regulations”). Such amounts shall be free and clear of any lien hereunder and shall be governed by this Section and by the Tax Certificate to be executed by the District in connection with the Tax-Exempt Bonds (the “Tax Certificate”).

(b) Within 45 days of the end of each fifth Bond Year (as such term is defined in the Tax Certificate), (1) the District shall calculate or cause to be calculated with respect to the Bonds the amount that would be considered the “rebate amount” within the meaning of Section 1.148-3 of the Treasury Regulations, using as the “computation date” for this purpose the end of such Bond Year, and (2) the District shall deposit to the Rebate Fund from amounts on deposit in the other funds established hereunder or from other District funds, if and to the extent required, amounts sufficient to cause the balance in the Rebate Fund to be equal to the “rebate amount” so calculated. The District shall not be required to deposit any amount to the Rebate Fund in accordance with the preceding sentence, if the amount on deposit in the Rebate Fund prior to the deposit required to be made under this subsection (b) equals or exceeds the “rebate amount” calculated in accordance with the preceding sentence. Such excess may be withdrawn from the Rebate Fund to the extent permitted under subsection (g) of this Section. The District shall not be required to calculate the “rebate amount” and shall not be required to deposit any amount to the Rebate Fund in accordance with this subsection (b), with respect to all or a portion of the proceeds of the Bonds (including amounts treated as proceeds of the Bonds) (1) to the extent such proceeds satisfy the expenditure requirements of Section 148(f)(4)(B) or Section 148(f)(4)(C) of the Code or Section 1.148-7(d) of the Treasury Regulations, whichever is applicable, and otherwise qualify for the exception to the Rebate Requirement pursuant to whichever of said sections is applicable, (2) to the extent such proceeds are subject to an election by the District under Section 148(f)(4)(C)(vii) of the Code to pay a one and one-half percent (1½%) penalty in lieu of arbitrage rebate in the event any of the percentage expenditure requirements of Section 148(f)(4)(C) are not satisfied, or (3) to the extent such proceeds qualify for the exception to arbitrage rebate under Section 148(f)(4)(A)(ii) of the Code for amounts in a “bona fide debt service fund.” In such event, and with respect to such amounts, the District shall not be required to deposit any amount to the Rebate Fund in accordance with this subsection (b).

(c) Any funds remaining in the Rebate Fund after redemption of all the Bonds and any amounts described in paragraph (2) of subsection (d) of this Section, or provision made therefor satisfactory to the District, including accrued interest, shall be remitted to the District.

(d) Subject to the exceptions contained in subsection (b) of this Section to the requirement to calculate the “rebate amount” and make deposits to the Rebate Fund, the District shall pay to the United States, from amounts on deposit in the Rebate Fund,

(1) not later than 60 days after the end of (i) the fifth Bond Year, and (ii) each fifth Bond Year thereafter, an amount that, together with all previous rebate payments, is equal to at

least 90% of the “rebate amount” calculated as of the end of such Bond Year in accordance with Section 1.148-3 of the Treasury Regulations; and

(2) not later than 60 days after the payment of all Bonds, an amount equal to 100% of the “rebate amount” calculated as of the date of such payment (and any income attributable to the “rebate amount” determined to be due and payable) in accordance with Section 1.148-3 of the Treasury Regulations.

(e) In the event that, prior to the time any payment is required to be made from the Rebate Fund, the amount in the Rebate Fund is not sufficient to make such payment when such payment is due, the District shall calculate (or have calculated) the amount of such deficiency and deposit an amount equal to such deficiency into the Rebate Fund prior to the time such payment is due.

(f) Each payment required to be made pursuant to subsection (d) of this Section shall be made to the Internal Revenue Service, on or before the date on which such payment is due, and shall be accompanied by Internal Revenue Service Form 8038-T, such form to be prepared or caused to be prepared by the District.

(g) In the event that immediately following the calculation required by subsection (b) of this Section, but prior to any deposit made under said subsection, the amount on deposit in the Rebate Fund exceeds the "rebate amount" calculated in accordance with said subsection, the District may withdraw the excess from the Rebate Fund and credit such excess to the Debt Service Fund.

(h) The District shall retain records of all determinations made hereunder until three years after the complete retirement of the Bonds.

(i) Notwithstanding anything in this Resolution to the contrary, the Rebate Requirement shall survive the payment in full or defeasance of the Bonds.

**SECTION 14 Security for the Bonds.** There shall be levied on all the taxable property in the District, in addition to all other taxes, a continuing direct *ad valorem* tax annually during the period the Bonds are Outstanding in an amount sufficient to pay the Principal, Conversion Value and Accreted Value of and interest on the Bonds when due, which moneys when collected will be placed in the Debt Service Fund of the District, which fund is hereby designated for the payment of the Principal, Conversion Value and Accreted Value of and interest on the Bonds when and as the same fall due, and for no other purpose.

The moneys in the Debt Service Fund, to the extent necessary to pay the Principal, Conversion Value and Accreted Value of and interest on the Bonds as the same become due and payable, shall be transferred by the Treasurer to the Paying Agent which, in turn, shall pay such moneys to DTC to pay such Principal, Conversion Value Accreted Value and interest. DTC will thereupon make payments of Principal, Conversion Value and Accreted Value of and interest on the Bonds to the DTC Participants who will thereupon make payments of such Principal, Conversion Value, Accreted Value and interest to the Beneficial Owners of the Bonds. Any moneys remaining in the Debt Service Fund after the Bonds and the interest thereon have been paid in full, or provision for such payment has been made, shall be transferred to the general fund of the District, pursuant to the Education Code Section 15234.

**SECTION 15 Arbitrage Covenant.** The District covenants that it will restrict the use of the proceeds of the Bonds in such manner and to such extent, if any, as may be necessary, so that the Bonds will not constitute arbitrage bonds under Section 148 of the Code and the applicable regulations

prescribed thereunder or any predecessor section. Calculations for determining arbitrage requirements are the sole responsibility of the District.

**SECTION 16 Conditions Precedent.** The State Trustee determines that all acts and conditions necessary to be performed thereby or to have been met precedent to and in the issuing of the Bonds in order to make them legal, valid and binding general obligations of the District have been performed and have been met, or will at the time of delivery of the Bonds have been performed and have

been met, in regular and due form as required by law; and that no statutory or constitutional limitation of indebtedness or taxation will have been exceeded in the issuance of the Bonds.

**SECTION 17 Official Statement.** The Preliminary Official Statement relating to the Bonds, substantially in the form on file with the State Trustee is hereby approved and the Authorized Officers, each alone, are hereby authorized and directed, for and in the name and on behalf of the District, to deliver such Preliminary Official Statement to the Underwriters to be used in connection with the offering and sale of the Bonds. The Authorized Officers, each alone, are hereby authorized and directed, for and in the name and on behalf of the District, to deem the Preliminary Official Statement “final” pursuant to 15c2-12 of the Securities Exchange Act of 1934, prior to its distribution and to execute and deliver to the Underwriters a final Official Statement, substantially in the form of the Preliminary Official Statement, with such changes therein, deletions therefrom and modifications thereto as the Authorized Officer executing the same shall approve. The Underwriters are hereby authorized to distribute copies of the Preliminary Official Statement to persons who may be interested in the purchase of the Bonds, and such Underwriters are directed to deliver copies of any final Official Statement to the purchasers of the Bonds. Execution of the Official Statement shall conclusively evidence the District’s approval of the Official Statement.

**SECTION 18 Insurance.** In the event the District purchases bond insurance for the Bonds, and to the extent that the Bond Insurer makes payment of the Principal, Conversion Value or Accreted Interest or of interest on the Bonds, it shall become the Owner of such Bonds with the right to payment of such Principal, Conversion Value, Accreted Interest or interest, and shall be fully subrogated to all of the Owners’ rights, including the Owners’ rights to payment thereof. To evidence such subrogation (i) in the case of subrogation as to claims that were past due interest components, the Paying Agent shall note the Bond Insurer’s rights as subrogee on the registration books for the Bonds maintained by the Paying Agent upon receipt of a copy of the cancelled check issued by the Bond Insurer for the payment of such interest to the Owners of the Bonds, and (ii) in the case of subrogation as to claims for past due Principal, Conversion Value or Accreted Value, the Paying Agent shall note the Bond Insurer as subrogee on the registration books for the Bonds maintained by the Paying Agent upon surrender of the Bonds by the Owners thereof to the Bond Insurer or the insurance trustee for the Bond Insurer.

**SECTION 19 Defeasance.** All or any portion of the Outstanding maturities of the Bonds may be defeased prior to maturity in the following ways:

(a) Cash: by irrevocably depositing with an independent escrow agent selected by the District an amount of cash which, together with amounts transferred from the Debt Service Fund, is sufficient to pay all Bonds Outstanding and designated for defeasance (including all Principal thereof, accreted or accrued interest thereon and redemption premiums, if any) at or before their maturity date; or

(b) Government Obligations: by irrevocably depositing with an independent escrow agent selected by the District noncallable Government Obligations together with amounts transferred from the Debt Service Fund and any other cash, if required, in such amount as will,

together with interest to accrue thereon, in the opinion of an independent certified public accountant, be fully sufficient to pay and discharge all Bonds Outstanding and designated for defeasance (including all Principal thereof, accreted or accrued interest thereon and redemption premiums, if any) at or before their maturity date; then, notwithstanding that any of such Bonds shall not have been surrendered for payment, all obligations of the District with respect to all such designated Outstanding Bonds shall cease and terminate, except only the obligation of the independent escrow agent selected by the District to pay or cause to be paid from funds deposited

pursuant to paragraphs (a) or (b) of this Section, to the Owners of such designated Bonds not so surrendered and paid all sums due with respect thereto.

For purposes of this Section, Government Obligations shall mean:

Direct and general obligations of the United States of America, or obligations that are unconditionally guaranteed as to principal and interest by the United States of America (which may consist of obligations of the Resolution Funding Corporation that constitute interest strips), or “prerefunded” municipal obligations rated in the highest rating category by Moody’s Investors Service (“Moody’s”) or Standard & Poor’s Ratings Services, a Standard & Poor’s Financial Services LLC business (“S&P”). In the case of direct and general obligations of the United States of America, Government Obligations shall include evidences of direct ownership of proportionate interests in future interest or principal payments of such obligations. Investments in such proportionate interests must be limited to circumstances where (i) a bank or trust company acts as custodian and holds the underlying United States obligations; (ii) the owner of the investment is the real party in interest and has the right to proceed directly and individually against the obligor of the underlying United States obligations; and (iii) the underlying United States obligations are held in a special account, segregated from the custodian’s general assets, and are not available to satisfy any claim of the custodian, any person claiming through the custodian, or any person to whom the custodian may be obligated; provided that such obligations are rated or assessed at least as high as direct and general obligations of the United States of America by either Moody’s or S&P.

**SECTION 20 Nonliability of County.** Notwithstanding anything to the contrary contained herein, in the Bonds or in any other document mentioned herein, neither the County, nor its officials, officers, employees or agents shall have any liability hereunder or by reason hereof or in connection with the transactions contemplated hereby, the Bonds are not a debt of the County or a pledge of the County’s full faith and credit, and the Bonds and any liability in connection therewith shall be paid solely from *ad valorem* taxes lawfully levied to pay the Principal, Conversion Value and Maturity Value of or interest on the Bonds.

**SECTION 21 Indemnification of County.** The District shall defend, indemnify and hold harmless the County, its officials, officers, agents and employees (“Indemnified Parties”) against any and all losses, claims, damages or liabilities, joint or several, to which such Indemnified Parties may become subject based in whole or in part upon any acts or omission related to the Bonds, except with regard to the County’s responsibilities under Section 23 hereof. The District shall also reimburse the Indemnified Parties for any legal or other costs and expenses incurred in connection with investigating or defending any such claims or liabilities, except with regard to the County’s responsibilities under Section 23 hereof.

**SECTION 22 Reimbursement of County Costs.** The District shall reimburse the County for all costs and expenses incurred by the County, its officials, officers, agents and employees in issuing or otherwise in connection with the issuance of the Bonds.

**SECTION 23 Request to County to Levy Tax.** The Board of Supervisors and officers of the County are obligated by statute to provide for the levy and collection of *ad valorem* property taxes in each year sufficient to pay all Principal, Maturity Value, Conversion Value of and interest coming due on the Bonds in such year, and to pay from such taxes all amounts due on the Bonds. The District hereby requests the Board of Supervisors to annually levy a tax upon all taxable property in the District sufficient to pay all such Principal, Maturity Value, Conversion Value and interest coming due on the Bonds in such year, and to pay from such taxes all amounts due on the Bonds.

**SECTION 24 Other Actions.** (a) Officers, officials and staff of the District are hereby authorized and directed, jointly and severally, to do any and all things and to execute and deliver any and all documents which they may deem necessary or advisable in order to proceed with the issuance of the Bonds and otherwise carry out, give effect to and comply with the terms and intent of this Resolution. Such actions heretofore taken by such officers, officials and staff are hereby ratified, confirmed and approved.

(b) The State Trustee hereby appoints RBC Capital Markets LLC and Backstrom, McCarley, Berry & Co., LLC, as the Underwriters, Keygent LLC as Financial Advisor and Stradling Yocca Carlson & Rauth, a Professional Corporation, as Bond Counsel and Disclosure Counsel, all with respect to the issuance of the Bonds.

(c) Notwithstanding any other provisions contained herein, the provisions of this Resolution as they relate to the Bonds may be amended by the Purchase Contract and the Official Statement.

**SECTION 25 Resolution to County Treasurer-Tax Collector.** The Secretary or Clerk to the Board is hereby directed to provide a certified copy of this Resolution to the Treasurer immediately following its adoption.

**SECTION 26 Continuing Disclosure.** The District hereby covenants and agrees that it will comply with and carry out all of the provisions of that certain Continuing Disclosure Certificate executed by the District and dated as of the Dated Date, as originally executed and as it may be amended from time to time in accordance with the terms thereof. Any Bond Holder may take such actions as may be necessary and appropriate, including seeking mandate or specific performance by court order, to cause the District to comply with its obligations under this Section. Noncompliance with this Section shall not result in acceleration of the Bonds.

**SECTION 27 Effective Date.** This Resolution shall take effect immediately upon its passage.

**SECTION 28 Further Actions Authorized.** It is hereby covenanted that the District, and its appropriate officials, have duly taken all actions necessary to be taken by them, and will take any additional actions necessary to be taken by them, for carrying out the provisions of this Resolution.

[REMAINDER OF PAGE LEFT BLANK]

**SECTION 29 Recitals.** All the recitals in this Resolution above are true and correct and the State Trustee so finds, determines and represents.

PASSED, ADOPTED AND APPROVED this 22nd day of October 2013, by the following vote:

---

Thomas E. Henry, Special Trustee  
Compton Community College District



SECRETARY'S CERTIFICATE

I, \_\_\_\_\_, Chief Executive Officer of the Compton Community College District, Los Angeles County, California, hereby certify as follows:

The foregoing is a full, true and correct copy of a Resolution duly adopted at a regular meeting of the Special State Trustee and the Board of Trustees of said District duly and regularly and legally held at the regular meeting place thereof on October 22, 2013, of which meeting all of the State Trustee and members of the Board of said District had due notice and at which a quorum was present.

I have carefully compared the same with the original minutes of said meeting on file and of record in my office and the foregoing is a full, true and correct copy of the original Resolution adopted at said meeting and entered in said minutes.

Said Resolution has not been amended, modified or rescinded since the date of its adoption, and the same is now in full force and effect.

Dated: October 22, 2013

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Keith Curry, Chief Executive Officer  
Compton Community College District

**EXHIBIT A  
FORMS OF BONDS**

(Form of Current Interest Bond)

REGISTERED  
NO.

REGISTERED  
\$

COMPTON COMMUNITY COLLEGE DISTRICT  
(LOS ANGELES COUNTY, CALIFORNIA)  
GENERAL OBLIGATION BONDS, ELECTION OF 2002, SERIES 2013D

<u>INTEREST RATE:</u> ___% per annum	<u>MATURITY DATE:</u> August 1, ____	<u>DATED AS OF:</u> _____, 2013	<u>CUSIP</u>
---	---	------------------------------------	--------------

REGISTERED OWNER: CEDE & CO.

PRINCIPAL AMOUNT:

The Compton Community College District (the "District") in Los Angeles County, California (the "County"), for value received, promises to pay to the Registered Owner named above, or registered assigns, the Principal Amount on the Maturity Date, each as stated above, and interest thereon until the Principal Amount is paid or provided for at the Interest Rate stated above, on February 1 and August 1 of each year (the "Bond Payment Dates"), commencing February 1, 2014. This bond will bear interest from the Bond Payment Date next preceding the date of authentication hereof unless it is authenticated as of a day during the period from the 16th day of the month next preceding any Bond Payment Date to the Bond Payment Date, inclusive, in which event it shall bear interest from such Bond Payment Date, or unless it is authenticated on or before January 15, 2014 in which event it shall bear interest from the Date of Delivery. Interest shall be computed on the basis of a 360-day year of 12, 30-day months. Principal and interest are payable in lawful money of the United States of America, without deduction for the paying agent services, to the person in whose name this bond (or, if applicable, one or more predecessor bonds) is registered, such owner being the Registered Owner, on the Register maintained by the Paying Agent, initially U.S. Bank National Association, as agent of the Treasurer and Tax Collector of Los Angeles County. Principal is payable upon presentation and surrender of this bond at the principal office of the Paying Agent. Interest is payable by check or draft mailed by the Paying Agent on each Bond Payment Date to the Registered Owner of this bond (or one or more predecessor bonds) as shown and at the address appearing on the Register at the close of business on the 15th day of the calendar month next preceding that Bond Payment Date (the "Record Date"). The Owner of Current Interest Bonds in the aggregate principal amount of \$1,000,000 or more may request in writing to the Paying Agent that the Owner be paid interest by wire transfer to the bank and account number on file with the Paying Agent as of the Record Date.

This bond is one of an authorization of bonds approved to raise money for the purposes authorized by voters of the District at the Election (defined herein) and to pay all necessary legal, financial, engineering and contingent costs in connection therewith under authority of and pursuant to the

laws of the State of California, and the requisite vote of the electors of the District cast at a general election held on November 5, 2002 (the "Election"), upon the question of issuing bonds in the amount of \$100,000,000 and the resolution of the Special State Trustee of the District adopted on October 22, 2013 (the "Bond Resolution"). This bond is being issued under the provisions of Article 4.5 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code. This bond and the issue of which this bond is one are payable as to both principal and interest solely from the proceeds of the levy of *ad valorem* taxes on all property subject to such taxes in the District, which taxes are unlimited as to rate or amount in accordance with California Education Code Sections 15250 and 15252.

[The bonds of this issue comprise (i) \$\_\_\_\_\_ principal amount of Current Interest Bonds, of which this bond is a part, (ii) Capital Appreciation Bonds of which \$\_\_\_\_\_ represents the Denominational Amount and \$\_\_\_\_\_ represents the Maturity Value, and (iii) Convertible Capital Appreciation Bonds, of which \$\_\_\_\_\_ represents the Denominational Amount and \$\_\_\_\_\_ represents the Conversion Value.]

This bond is exchangeable and transferable for bonds of like tenor, maturity and Transfer Amount (as defined in the Bond Resolution) and in authorized denominations at the designated office of the Paying Agent in Los Angeles, California, by the Registered Owner or by a person legally empowered to do so, in a form satisfactory to the Paying Agent, all subject to the terms, limitations and conditions provided in the Bond Resolution. All fees and costs of transfer shall be paid by the transferor. The District and the Paying Agent may deem and treat the Registered Owner as the absolute owner of this bond for the purpose of receiving payment of or on account of principal or interest and for all other purposes, and neither the District nor the Paying Agent shall be affected by any notice to the contrary.

Neither the District nor the Paying Agent will be required (a) to issue or transfer any bond during a period beginning with the opening of business on the 16th business day next preceding either any Bond Payment Date or any date of selection of bonds to be redeemed and ending with the close of business on the Bond Payment Date or day on which the applicable notice of redemption is given or (b) to transfer any bond which has been selected or called for redemption in whole or in part.

The Current Interest Bonds maturing on or before August 1, 20\_\_ are not subject to redemption prior to their fixed maturity dates. The Current Interest Bonds maturing on or after August 1, 20\_\_ are subject to redemption at the option of the District, as a whole or in part, on any date on or after August 1, 20\_\_ at a redemption price equal to the principal amount of the Current Interest Bonds to be redeemed, plus interest thereon to the date fixed for redemption, without premium.

The Current Interest Bonds maturing on August 1, 20\_\_, are subject to redemption prior to maturity from mandatory sinking fund payments on August 1 of each year, on and after August 1, 20\_\_, at a redemption price equal to the principal amount thereof, together with accrued interest to the date fixed for redemption, without premium. The principal amount represented by such Bonds to be so redeemed and the dates therefor and the final principal payment date is as indicated in the following table:

Redemption Dates

Principal Amounts

TOTAL

If less than all of the bonds of any one maturity shall be called for redemption, the particular bonds or portions of bonds of such maturity to be redeemed shall be selected by lot by Paying as in such

manner as the Paying Agent may determine; provided, however, that the portion of any bond to be redeemed shall be in the principal amount of \$5,000 or some multiple thereof. If less than all of the bonds stated to mature on different dates shall be called for redemption, the particular bonds or portions thereof to be redeemed shall be called by the Paying Agent in any order directed by the District and, if not so directed, in the inverse order of maturity.

Reference is made to the Bond Resolution for a more complete description of certain defined terms used herein, as well as the provisions, among others, with respect to the nature and extent of the security for the bonds of this series, the rights, duties and obligations of the District, the Paying Agent and the Registered Owners, and the terms and conditions upon which the bonds are issued and secured. The Registered Owner of this bond assents, by acceptance hereof, to all of the provisions of the Bond Resolution.

It is certified and recited that all acts and conditions required by the Constitution and laws of the State of California to exist, to occur and to be performed or to have been met precedent to and in the issuing of the bonds in order to make them legal, valid and binding general obligations of the District, have been performed and have been met in regular and due form as required by law; that no statutory or constitutional limitation on indebtedness or taxation has been exceeded in issuing the bonds; and that due provision has been made for levying and collecting *ad valorem* property taxes on all of the taxable property within the District in an amount sufficient to pay principal and interest when due.

This bond shall not be valid or obligatory for any purpose and shall not be entitled to any security or benefit under the Bond Resolution until the Certificate of Authentication below has been signed.

IN WITNESS WHEREOF, the Compton Community College District, Los Angeles County, California, has caused this bond to be executed on behalf of the District and in their official capacities by the manual or facsimile signature of the Special State Trustee of the District, and to be countersigned by the manual or facsimile signature of the Chief Executive Officer of the District, all as of the date stated above.

COMPTON COMMUNITY COLLEGE DISTRICT

By: \_\_\_\_\_  
Thomas E. Henry, Special Trustee

COUNTERSIGNED:

\_\_\_\_\_  
Keith Curry, Chief Executive Officer

CERTIFICATE OF AUTHENTICATION

This bond is one of the bonds described in the Bond Resolution referred to herein which has been authenticated and registered on \_\_\_\_\_, 2013.

U.S. BANK NATIONAL ASSOCIATION, AS AGENT OF  
THE TREASURER AND TAX COLLECTOR OF LOS  
ANGELES COUNTY, as Paying Agent

\_\_\_\_\_  
Authorized Officer

ASSIGNMENT

For value received, the undersigned sells, assigns and transfers to (print or typewrite name, address and zip code of Transferee): \_\_\_\_\_ this bond and irrevocably constitutes and appoints attorney to transfer this bond on the books for registration thereof, with full power of substitution in the premises.

Dated: \_\_\_\_\_

Signature Guaranteed:

\_\_\_\_\_

Notice: The assignor’s signature to this assignment must correspond with the name as it appears upon the within bond in every particular, without alteration or any change whatever, and the signature(s) must be guaranteed by an eligible guarantor institution.

Social Security Number, Taxpayer Identification Number or other identifying number of Assignee: \_\_\_\_\_

Unless this certificate is presented by an authorized representative of The Depository Trust Company to the issuer or its agent for registration of transfer, exchange or payment, and any certificate issued is registered in the name of Cede & Co. or such other name as requested by an authorized representative of The Depository Trust Company and any payment is made to Cede & Co., ANY TRANSFER, PLEDGE OR OTHER USE HEREOF FOR VALUE OR OTHERWISE BY OR TO ANY PERSON IS WRONGFUL since the registered owner hereof, Cede & Co., has an interest herein.

LEGAL OPINION

The following is a true copy of the opinion rendered by Stradling Yocca Carlson & Rauth, a Professional Corporation in connection with the issuance of, and dated as of the date of the original delivery of, the bonds. A signed copy is on file in my office.

\_\_\_\_\_  
Thomas E. Henry, Special Trustee

(Form of Capital Appreciation Bond)

REGISTERED  
NO.

REGISTERED  
\$

COMPTON COMMUNITY COLLEGE DISTRICT  
(LOS ANGELES COUNTY, CALIFORNIA)  
GENERAL OBLIGATION BONDS, ELECTION OF 2002, SERIES 2013D

ACCRETION RATE:      MATURITY DATE:      DATED AS OF:      CUSIP  
   August 1, \_\_\_\_\_      \_\_\_\_\_, 2013

REGISTERED OWNER:      CEDE & CO.

DENOMINATIONAL AMOUNT:

MATURITY VALUE:

The Compton Community College District (the "District") in Los Angeles County, California (the "County"), for value received, promises to pay to the Registered Owner named above, or registered assigns, the Maturity Value on the Maturity Date, each as stated above, such Maturity Value comprising the Denominational Amount and interest accreted thereon. This bond will not bear current interest but will accrete interest, compounded on each February 1 and August 1, commencing February 1, 2014, at the Accretion Rate specified above to the Maturity Date, assuming that in any such semiannual period the sum of such compounded accreted interest and the Denominational Amount (such sum being herein called the "Accreted Value") increases in equal daily amounts on the basis of a 360-day year consisting of 12, 30-day months. Accreted Value and redemption premium, if any, are payable in lawful money of the United States of America, without deduction for the paying agent services, to the person in whose name this bond (or, if applicable, one or more predecessor bonds) is registered, such owner being the Registered Owner, on the Register maintained by the Paying Agent, initially U.S. Bank National Association, as agent of the Treasurer and Tax Collector of Los Angeles County. Accreted Value and redemption premium, if any, are payable upon presentation and surrender of this bond at the principal office of the Paying Agent.

This bond is one of an authorization of bonds approved to raise money for the purposes authorized by voters of the District at the Election (defined herein) and to pay all necessary legal, financial, engineering and contingent costs in connection therewith under authority of and pursuant to the laws of the State of California, and the requisite vote of the electors of the District cast at a general election held on November 5, 2002 (the "Election"), upon the question of issuing bonds in the amount of \$100,000,000 and the resolution of the Special State Trustee of the District adopted on October 22, 2013 (the "Bond Resolution"). This bond is being issued under the provisions of Article 4.5 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code. This bond and the issue of which this bond is one are payable as to both principal and interest solely from the proceeds of the levy of ad valorem taxes on all property subject to such taxes in the District, which taxes are unlimited as to rate or amount in accordance with California Education Code Sections 15250 and 15252.

[The bonds of this issue comprise (i) \$\_\_\_\_\_ principal amount of Current Interest Bonds, (ii) Capital Appreciation Bonds, of which this bond is a part, and of which \$\_\_\_\_\_ represents the Denominational Amount and \$\_\_\_\_\_ represents the Maturity Value, and (iii) Convertible Capital Appreciation Bonds, and of which \$\_\_\_\_\_ represents the Denominational Amount and \$\_\_\_\_\_ represents the Conversion Value.]

This bond is exchangeable and transferable for bonds of like tenor, maturity and Transfer Amount (as defined in the Bond Resolution) and in authorized denominations at the principal office of the Paying Agent, located in Los Angeles, California, by the Registered Owner or by a person legally empowered to do so, in a form satisfactory to the Paying Agent, all subject to the terms, limitations and conditions provided in the Bond Resolution. All fees and costs of transfer shall be paid by the transferor. The District and the Paying Agent may deem and treat the Registered Owner as the absolute owner of this bond for the purpose of receiving payment of or on account of principal or interest and for all other purposes, and neither the District nor the Paying Agent shall be affected by any notice to the contrary.

Neither the District nor the Paying Agent will be required (a) to issue or transfer any bond during a period beginning with the opening of business on the 16th business day next preceding either any Bond Payment Date or any date of selection of bonds to be redeemed and ending with the close of business on the Bond Payment Date or day on which the applicable notice of redemption is given or (b) to transfer any bond which has been selected or called for redemption in whole or in part.

[The Capital Appreciation Bonds maturing on or before August 1, 20\_\_ are not subject to redemption prior to their fixed maturity dates. The Capital Appreciation Bonds maturing on or after August 1, 20\_\_ are subject to redemption at the option of the District, as a whole or in part, on any date on or after August 1, 20\_\_ at a redemption price equal to the Accreted Value of such Capital Appreciation Bonds to be redeemed as of the date set for such redemption, without premium.]

[The Capital Appreciation Bonds maturing on August 1, 20\_\_, are subject to redemption prior to maturity from mandatory sinking fund payments on August 1 of each year, on and after August 1, 20\_\_, at a redemption price equal to the Accreted Value of such Capital Appreciation Bonds as of the dates set for such redemption, without premium. The Accreted Value represented by such Bonds to be so redeemed and the dates therefor and the final payment date is as indicated in the following table:]

Redemption Dates

Accreted Value

TOTAL

If less than all of the bonds of any one maturity shall be called for redemption, the particular bonds or portions of bonds of such maturity to be redeemed shall be selected by lot by Paying as in such manner as the Paying Agent may determine; provided, however, that the portion of any bond to be redeemed shall be in the Maturity Value of \$5,000 or some multiple thereof. If less than all of the bonds stated to mature on different dates shall be called for redemption, the particular bonds or portions thereof to be redeemed shall be called by the Paying Agent in any order directed by the District and, if not so directed, in the inverse order of maturity.



Reference is made to the Bond Resolution for a more complete description of certain defined terms used herein, as well as the provisions, among others, with respect to the nature and extent of the security for the bonds of this series, the rights, duties and obligations of the District, the Paying Agent and the Registered Owners, and the terms and conditions upon which the bonds are issued and secured. The Registered Owner of this bond assents, by acceptance hereof, to all of the provisions of the Bond Resolution.

It is certified and recited that all acts and conditions required by the Constitution and laws of the State of California to exist, to occur and to be performed or to have been met precedent to and in the issuing of the bonds in order to make them legal, valid and binding general obligations of the District, have been performed and have been met in regular and due form as required by law; that no statutory or constitutional limitation on indebtedness or taxation has been exceeded in issuing the bonds; and that due provision has been made for levying and collecting *ad valorem* property taxes on all of the taxable property within the District in an amount sufficient to pay principal and interest when due.

This bond shall not be valid or obligatory for any purpose and shall not be entitled to any security or benefit under the Bond Resolution until the Certificate of Authentication below has been signed.

IN WITNESS WHEREOF, the Compton Community College District, Los Angeles County, California, has caused this bond to be executed on behalf of the District and in their official capacities by the manual or facsimile signature of the Special State Trustee of the District, and to be countersigned by the manual or facsimile signature of the Chief Executive Officer of the District, all as of the date stated above.

COMPTON COMMUNITY COLLEGE DISTRICT

By: \_\_\_\_\_  
Thomas E. Henry, Special Trustee

COUNTERSIGNED:

\_\_\_\_\_  
Keith Curry, Chief Executive Officer

CERTIFICATE OF AUTHENTICATION

This bond is one of the bonds described in the Bond Resolution referred to herein which has been authenticated and registered on \_\_\_\_\_, 2013.

U.S. BANK NATIONAL ASSOCIATION, AS AGENT OF  
THE TREASURER AND TAX COLLECTOR OF LOS  
ANGELES COUNTY, as Paying Agent

\_\_\_\_\_  
Authorized Officer

ASSIGNMENT

For value received, the undersigned sells, assigns and transfers to (print or typewrite name, address and zip code of Transferee): \_\_\_\_\_ this bond and irrevocably constitutes and appoints attorney to transfer this bond on the books for registration thereof, with full power of substitution in the premises.

Dated: \_\_\_\_\_

Signature Guaranteed:  
  
\_\_\_\_\_

Notice: The assignor’s signature to this assignment must correspond with the name as it appears upon the within bond in every particular, without alteration or any change whatever, and the signature(s) must be guaranteed by an eligible guarantor institution.

Social Security Number, Taxpayer Identification Number or other identifying number of Assignee: \_\_\_\_\_

Unless this certificate is presented by an authorized representative of The Depository Trust Company to the issuer or its agent for registration of transfer, exchange or payment, and any certificate issued is registered in the name of Cede & Co. or such other name as requested by an authorized representative of The Depository Trust Company and any payment is made to Cede & Co., ANY TRANSFER, PLEDGE OR OTHER USE HEREOF FOR VALUE OR OTHERWISE BY OR TO ANY PERSON IS WRONGFUL since the registered owner hereof, Cede & Co., has an interest herein.

LEGAL OPINION

The following is a true copy of the opinion rendered by Stradling Yocca Carlson & Rauth, a Professional Corporation in connection with the issuance of, and dated as of the date of the original delivery of, the bonds. A signed copy is on file in my office.

\_\_\_\_\_  
Thomas E. Henry, Special Trustee

(Form of Convertible Capital Appreciation Bond)

REGISTERED  
NO.

REGISTERED  
\$

COMPTON COMMUNITY COLLEGE DISTRICT  
(LOS ANGELES COUNTY, CALIFORNIA)  
GENERAL OBLIGATION BONDS, ELECTION OF 2002, SERIES 2013D

ACCRETION RATE

TO		INTEREST RATE			
<u>CONVERSION</u>	<u>CONVERSION</u>	<u>AFTER THE</u>	<u>MATURITY</u>	<u>DATED AS OF:</u>	<u>CUSIP</u>
<u>DATE</u>	<u>DATE</u>	<u>CONVERSION DATE</u>	<u>DATE:</u>	<u>DATE:</u>	<u>DATE:</u>
_____	_____, 20__	_____	_____, 20__	_____, 2013	_____

REGISTERED OWNER: CEDE & CO.

DENOMINATIONAL AMOUNT:

CONVERSION VALUE :

The Compton Community College District (the "District") in Los Angeles County, California (the "County"), for value received, promises to pay to the Registered Owner named above, or registered assigns, the Conversion Value on the Maturity Date, each as stated above, such Conversion Value comprising the Denominational Amount and interest accreted thereon to the Conversion Date. Prior to the Conversion Date, this bond will not bear current interest but will accrete interest, compounded on each February 1 and August 1, commencing February 1, 2014, at the Accretion Rate specified above to the Conversion Date, assuming that in any such semiannual period the sum of such compounded accreted interest and the Denominational Amount (such sum being herein called the "Accreted Value") increases in equal daily amounts on the basis of a 360-day year consisting of 12, 30-day months. After the Conversion Date, the District promises to pay to the Registered Owner named above, interest on the Conversion Value from the Conversion Date until the Conversion Value is paid or provided for at the Interest Rate stated above, on February 1 and August 1 of each year, commencing \_\_\_\_\_, 20\_\_ (the "Bond Payment Dates"). This bond will bear such interest from the Bond Payment Date next preceding the date of authentication hereof unless it is authenticated as of a day during the period from the 15<sup>th</sup> day of the month next preceding any Bond Payment Date to the Bond Payment Date, inclusive, in which event it shall bear interest from such Bond Payment Date, or unless it is authenticated on or before \_\_\_\_\_ 15, 20\_\_, in which event it will bear interest from the Conversion Date. Conversion Value and interest are payable in lawful money of the United States of America, without deduction for the paying agent services, to the person in whose name this bond (or, if applicable, one or more predecessor bonds) is registered (the "Registered Owner") on the Register maintained by the Paying Agent, initially U.S. Bank National Association, as agent of the Treasurer and Tax Collector of Los Angeles County. Accreted Value or Conversion Value and redemption premium, if any, are payable upon presentation and surrender of this bond at the principal office of the Paying Agent. Interest following the Conversion Date is payable by check or draft mailed by the Paying Agent on each Bond Payment Date to the Registered Owner of this bond (or one or more predecessor bonds) as shown and at the address appearing on the Register at the close of business on the 15th day of the calendar month next preceding that Bond Payment Date (the "Record Date"). The Owner of Convertible Capital Appreciation Bonds in the

aggregate Conversion Value of \$1,000,000 or more may request in writing to the Paying Agent that the Owner be paid interest by wire transfer to the bank and account number on file with the Paying Agent as of the Record Date.

This bond is one of an authorization of bonds approved to raise money for the purposes authorized by voters of the District at the Election (defined herein) and to pay all necessary legal, financial, engineering and contingent costs in connection therewith under authority of and pursuant to the laws of the State of California, and the requisite vote of the electors of the District cast at a general election held on November 5, 2002 (the "Election"), upon the question of issuing bonds in the amount of \$100,000,000 and the resolution of the Special State Trustee of the District adopted on October 22, 2013 (the "Bond Resolution"). This bond is being issued under the provisions of Article 4.5 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code. This bond and the issue of which this bond is one are payable as to both principal and interest solely from the proceeds of the levy of ad valorem taxes on all property subject to such taxes in the District, which taxes are unlimited as to rate or amount in accordance with California Education Code Sections 15250 and 15252.

[The bonds of this issue comprise (i) \$\_\_\_\_\_ principal amount of Current Interest Bonds, (ii) Capital Appreciation Bonds of which \$\_\_\_\_\_ represents the Denominational Amount and \$\_\_\_\_\_ represents the Maturity Value, and (iii) Convertible Capital Appreciation Bonds, of which this bond is a part, and of which \$\_\_\_\_\_ represents the Denominational Amount and \$\_\_\_\_\_ represents the Conversion Value.]

This bond is exchangeable and transferable for bonds of like tenor, maturity and Transfer Amount (as defined in the Bond Resolution) and in authorized denominations at the designated office of the Paying Agent in Los Angeles, California, by the Registered Owner or by a person legally empowered to do so, in a form satisfactory to the Paying Agent, all subject to the terms, limitations and conditions provided in the Bond Resolution. All fees and costs of transfer shall be paid by the transferor. The District and the Paying Agent may deem and treat the Registered Owner as the absolute owner of this bond for the purpose of receiving payment of or on account of principal or interest and for all other purposes, and neither the District nor the Paying Agent shall be affected by any notice to the contrary.

Neither the District nor the Paying Agent will be required (a) to issue or transfer any bond during a period beginning with the opening of business on the 16th business day next preceding either any Bond Payment Date or any date of selection of bonds to be redeemed and ending with the close of business on the Bond Payment Date or day on which the applicable notice of redemption is given or (b) to transfer any bond which has been selected or called for redemption in whole or in part.

The Convertible Capital Appreciation Bonds maturing on or before August 1, 20\_\_ are not subject to redemption prior to their fixed maturity dates. The Convertible Capital Appreciation Bonds maturing on or after August 1, 20\_\_ are subject to redemption at the option of the District, as a whole or in part, on any date on or after August 1, 20\_\_ at a redemption price equal to the Accreted Value of such Convertible Capital Appreciation Bonds to be redeemed [as of the date set for such redemption,/together with interest accrued thereon to the date set for redemption] without premium.

The Capital Appreciation Bonds maturing on August 1, 20\_\_, are subject to redemption prior to maturity from mandatory sinking fund payments on August 1 of each year, on and after August 1, 20\_\_, at a redemption price equal to the Accreted Value of such Convertible Capital Appreciation Bonds to be redeemed [as of the date set for such redemption,/together with interest accrued thereon to the date set for redemption] without premium. The Accreted Value represented by such Bonds to be so redeemed and the dates therefor and the final payment date is as indicated in the following table:

TOTAL

If less than all of the bonds of any one maturity shall be called for redemption, the particular bonds or portions of bonds of such maturity to be redeemed shall be selected by lot by Paying as in such manner as the Paying Agent may determine; provided, however, that the portion of any bond to be redeemed shall be in the Conversion Value of \$5,000 or some multiple thereof. If less than all of the bonds stated to mature on different dates shall be called for redemption, the particular bonds or portions thereof to be redeemed shall be called by the Paying Agent in any order directed by the District and, if not so directed, in the inverse order of maturity.

Reference is made to the Bond Resolution for a more complete description of certain defined terms used herein, as well as the provisions, among others, with respect to the nature and extent of the security for the bonds of this series, the rights, duties and obligations of the District, the Paying Agent and the Registered Owners, and the terms and conditions upon which the bonds are issued and secured. The Registered Owner of this bond assents, by acceptance hereof, to all of the provisions of the Bond Resolution.

It is certified and recited that all acts and conditions required by the Constitution and laws of the State of California to exist, to occur and to be performed or to have been met precedent to and in the issuing of the bonds in order to make them legal, valid and binding general obligations of the District, have been performed and have been met in regular and due form as required by law; that payment in full for the bonds has been received; that no statutory or constitutional limitation on indebtedness or taxation has been exceeded in issuing the bonds; and that due provision has been made for levying and collecting ad valorem property taxes on all of the taxable property within the District in an amount sufficient to pay principal and interest when due.

This bond shall not be valid or obligatory for any purpose and shall not be entitled to any security or benefit under the Bond Resolution until the Certificate of Authentication below has been signed.

[REMAINDER OF PAGE LEFT BLANK]

IN WITNESS WHEREOF, the Compton Community College District, Los Angeles County, California, has caused this bond to be executed on behalf of the District and in their official capacities by the manual or facsimile signature of the Special State Trustee of the District, and to be countersigned by the manual or facsimile signature of the Chief Executive Officer of the District, all as of the date stated above.

COMPTON COMMUNITY COLLEGE DISTRICT

By: \_\_\_\_\_  
Thomas E. Henry, Special Trustee

COUNTERSIGNED:

\_\_\_\_\_  
Keith Curry, Chief Executive Officer

CERTIFICATE OF AUTHENTICATION

This bond is one of the bonds described in the Bond Resolution referred to herein which has been authenticated and registered on \_\_\_\_\_, 2013.

U.S. BANK NATIONAL ASSOCIATION, AS AGENT OF  
THE TREASURER AND TAX COLLECTOR OF LOS  
ANGELES COUNTY, as Paying Agent

\_\_\_\_\_  
Authorized Officer

ASSIGNMENT

For value received, the undersigned sells, assigns and transfers to (print or typewrite name, address and zip code of Transferee): \_\_\_\_\_ this bond and irrevocably constitutes and appoints attorney to transfer this bond on the books for registration thereof, with full power of substitution in the premises.

Dated: \_\_\_\_\_

Signature Guaranteed:  
  
\_\_\_\_\_

Notice: The assignor’s signature to this assignment must correspond with the name as it appears upon the within bond in every particular, without alteration or any change whatever, and the signature(s) must be guaranteed by an eligible guarantor institution.

Social Security Number, Taxpayer Identification Number or other identifying number of Assignee: \_\_\_\_\_

Unless this certificate is presented by an authorized representative of The Depository Trust Company to the issuer or its agent for registration of transfer, exchange or payment, and any certificate issued is registered in the name of Cede & Co. or such other name as requested by an authorized representative of The Depository Trust Company and any payment is made to Cede & Co., ANY TRANSFER, PLEDGE OR OTHER USE HEREOF FOR VALUE OR OTHERWISE BY OR TO ANY PERSON IS WRONGFUL since the registered owner hereof, Cede & Co., has an interest herein.

LEGAL OPINION

The following is a true copy of the opinion rendered by Stradling Yocca Carlson & Rauth, a Professional Corporation in connection with the issuance of, and dated as of the date of the original delivery of, the bonds. A signed copy is on file in my office.

\_\_\_\_\_  
Thomas E. Henry, Special Trustee



## **2B. STUDENT TRUSTEE CONFERENCE REQUEST**

It is recommended that the Special Trustee approves conference attendance and hotel for the following:

- 1) Student Trustee, Miguel Quintero
  - a. Community College League of California Annual Convention  
November 21-23, 2013; Hyatt Regency San Francisco Airport, Burlingame, CA.

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**Agenda for the Compton Community College District Board of Trustees**  
**from**  
**Administrative Services**  
**Felipe Lopez, CBO**

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**3A. CONTRACTS**

It is recommended that the Special Trustee, in accordance with Board Policy 6340 approve the following Contracts.

- 1. CONTRACTOR:** FIFTY AND FIVE LLC  
**SERVICES:** To provide specialized services to engage current and potential social media users regarding El Camino College Compton Center’s present and future programs and services. Services include advertising on various social media outlets  
**REQUESTING DEPT:** OFFICE OF THE CEO  
**FUNDING:** PUBLIC RELATIONS AND MARKETING  
**DATES:** 11/01/13 – 04/30/14  
**NTE:** \$18,000.00
  
- 2. CONTRACTOR:** FOUNDATION FOR CALIFORNIA COMMUNITY COLLEGES  
**SERVICES:** To provide foster youth, former foster youth and emancipated foster youth with mental health workshops and assistance by providing a basic mental health and wellness curriculum, supplemental mental health curriculum, facilitate ongoing peer-to-peer support group(s), utilize tools provided by the California Community College Student Mental Health-Transition Aged Foster Youth (CCC SMHP-TAFY) to build referral networks, and provide all trainings and materials free of charge to eligible participants  
**REQUESTING DEPT:** OUTREACH AND RELATIONS WITH SCHOOLS  
**FUNDING:** GRANT  
**DATES:** 07/01/13 – 06/30/14  
**NTE:** \$15,000.00 **REVENUE**
  
- 3. CONTRACTOR:** FOUNDATION FOR CALIFORNIA COMMUNITY COLLEGES  
**SERVICES:** To provide grant funding, fiscal management, and accountability for the Youth Empowerment Strategies for Success-Independent Living Program (YESS-ILP). Foster youth, former foster youth and emancipated foster youth participate in workshops and resources for foster care students ages 16-21, develop life skills while learning about academic and vocational programs, become self-sufficient, get assistance with high school diploma, gain scholarship/financial guidance, earn a college degree/certificate and start a rewarding career  
**REQUESTING DEPT:** OUTREACH AND RELATIONS WITH SCHOOLS  
**FUNDING:** GRANT  
**DATES:** 07/01/13 – 06/30/14  
**NTE:** \$22,500.00 **REVENUE**

**3B. BUDGET ADJUSTMENTS/AUGMENTATIONS/TRANSFERS**

It is recommended that the Special Trustee approve or ratify the following adjustments, augmentations, and transfers. The adjustments do not adversely affect the total District budget.

**FISCAL YEAR 2013-2014**

1. General Fund – Unrestricted
2. General Fund – Restricted
3. Child Development Center Fund
4. Measure CC Bond Fund
5. Measure CC Bond Fund Series 2012C

**1. General Fund – Unrestricted**

Fund Balance:

<u>Major Object</u>	<u>Description</u>	<b>-FROM-</b>	<u>Amount</u>
91XX	Cash		<b>\$ 10,000.00</b>

Expenditures:

<u>Major Object</u>	<u>Description</u>	<b>-FROM-</b>	<u>Amount</u>
2XXX	Classified and Other Nonacademic Salaries		<b>\$137,000.00</b>

<u>Major Object</u>	<u>Description</u>	<b>-TO-</b>	<u>Amount</u>
1XXX	Academic Salaries		\$47,000.00
4XXX	Supplies and Materials		43,630.00
5XXX	Other Operating Expenses and Services		55,370.00
6XXX	Capital Outlay		<u>1,000.00</u>
			<b>\$147,000.00</b>

**Summary Total \$ 0.00**  
 Total Transfer Amount - \$147,000

**Summary of Transfers:**

Funds from the Division Office of Student Services and Enrollment Management Classified and Other Nonacademic Salaries account were distributed to Public Relations and Marketing for multi-media advertising, Student Recruitment Project for non-instructional supplies, Student Services for contract services, and counselor and tutor salaries in the Counseling Department and Learning Center. The Transfer Center budget was adjusted to include funds for supplies, conferences and printing per the approved FY 2013-2014 Final Budget. Budget adjustments to provide funds for outstanding invoices (for uniform laundering) were made in Maintenance and Operations and in Business Services (for parking signs and new equipment maintenance).

## 2. General Fund – Restricted

Revenue:

**-FROM-**

<u>Major Object</u>	<u>Description</u>	<u>Amount</u>
88XX	Contract Services – Career and Technical Education Academy	\$160,189.00
88XX	Contract Services – Career Advancement Academy	34,944.00
81XX	Other Federal Revenues – Foster and Kinder Care Education	<u>(6,784.00)</u>
		<b>\$188,349.00</b>

Expenditures:

**-FROM-**

<u>Major Object</u>	<u>Description</u>	<u>Amount</u>
4XXX	Supplies and Materials	<b>\$ 6,057.00</b>

**-TO-**

<u>Major Object</u>	<u>Description</u>	<u>Amount</u>
1XXX	Academic Salaries	\$ 15,600.00
2XXX	Classified Salaries and Other Nonacademic Salaries	430.00
3XXX	Employee Benefits	6,000.00
5XXX	Other Operating Expenses and Services	16,244.00
6XXX	Capital Outlay	<u>156,132.00</u>
		<b>\$194,406.00</b>

**Summary Total \$0.00**

Total Transfer Amount - \$194,406

### Summary of Transfers:

FY 13-14 Career and Technical Education Academy and Career Advancement Academy contract amounts were budgeted and the Foster and Kinder Care Education budget was adjusted for the reduction in the allocation from the State. Reallocations made within EOPS /CARE and Upward Bound Math Science program budgets were to cover projected expenses, computer equipment, software, instructional supplies and professional organization memberships.

## 3. Child Development Center Fund

Revenue:

**-FROM-**

<u>Major Object</u>	<u>Description</u>	<u>Amount</u>
88XX	Contract Services – Los Angeles Universal Preschool	<b>\$252,500.00</b>

**-TO-**

<u>Major Object</u>	<u>Description</u>	<u>Amount</u>
1XXX	Academic Salaries	\$ 30,000.00
2XXX	Classified Salaries and Other Nonacademic Salaries	172,719.00
3XXX	Employee Benefits	18,652.00
4XXX	Supplies and Materials	5,000.00
5XXX	Other Operating Expenses and Services	17,625.00
6XXX	Capital Outlay	<u>8,504.00</u>
		<b>\$252,500.00</b>

**Summary Total \$0.00**

Total Transfer Amount - \$252,500

**Summary of Transfers:**

Los Angeles Universal Preschool new 2 year contract budget established.

**4. Measure CC Bond Fund**

Revenue:

**-FROM-**

<u>Major Object</u>	<u>Description</u>	<u>Amount</u>
5XXX	Other Operating Expenses and Services	\$ 311.00

**-TO-**

Expenditures:

<u>Major Object</u>	<u>Description</u>	<u>Amount</u>
4XXX	Supplies and Materials	\$ 311.00

**Summary Total \$ 0.00**

Total Transfer Amount - \$311

**Summary of Transfers:**

Transfer of funds to cover sales tax expense for supplies related to MIS Building Renovation.

**5. Measure CC Bond Fund Series 2012 C**

Fund Balance:

**-FROM-**

<u>Major Object</u>	<u>Description</u>	<u>Amount</u>
91XX	Cash	\$162,416.00

Expense:

**-TO-**

<u>Major Object</u>	<u>Description</u>	<u>Amount</u>
6XXX	Capital Outlay	\$162,416.00

**Summary Total \$0.00**

Total Transfer Amount - \$162,416

**Summary of Transfers:**

Geotek contract change order for Infrastructure Phase I project and Heider contract change order for Restroom Modernization project.

### **3C. PROPOSITION 30, EDUCATION PROTECTION ACCOUNT (EPA) FUNDING AND EXPENDITURES**

The spending determinations for the Compton Community College District's Proposition 30 (EPA) Funds is being presented in an open Board meeting on *Tuesday, October 22, 2013* in the Compton Community College District Boardroom located in the Administration Building at 1111 E. Artesia Boulevard, Compton, California 90221.

#### **BACKGROUND**

Proposition 30, the Schools and Local Public Safety Protection Act of 2012 passed in November 2012. This proposition temporarily raised the sales and use tax by .25 cents and raised the income tax rate for high income earners (\$250,000 for individuals and \$500,000 for couples) to provide continuing funding for local school districts and community colleges.

Per Proposition 30, a community college district has the sole authority to determine how the moneys received from the Education Protection Account (EPA) are spent, provided that the governing board shall make these spending determinations in open session of a public meeting of the governing board and shall not use any of the funds from the EPA for administrative salaries and benefits or any other administrative costs. Furthermore, each entity receiving funds shall annually publish on its Internet website an accounting of how much money was received from the EPA and how that money was spent. Additionally, the annual independent financial and compliance audit required of community colleges shall ascertain and verify whether the funds provided from the EPA have been properly disbursed and expended as required by law. Expenses incurred to comply with these additional audit requirements may be paid from the EPA.

The Compton Community College District received the State Apportionment report that provided an estimate of \$4,268,153 that we may receive from the EPA during the 2013-2014 fiscal year.

Since we now have the information needed to make a spending determination, in an open session to comply with the Proposition 30 provision we will make the spending determination in an open session of a public meeting of the governing board. The estimated EPA funds that our District will receive are \$4,268,153 and the entire amount will be spent on instructional salaries. The EPA funds are not additional funds but rather are components of the "computational revenue" calculation.

The Compton Community College District's intent is to use the estimated funds (\$4,268,153) to partially fund the District's instructional salaries which will be posted to the District's website to meet the website posting requirements. This same form will be updated at year-end with actual figures and will be audited as part of the Compton Community College District's Annual Financial and Compliance Audit.

#### **RECOMMENDATION**

It is recommended that the Special Trustee discuss and adopt the funding and expending determination in the amount of \$4,268,153.00 to be spent entirely on instructional salaries.

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**Agenda for the Compton Community College District Board of Trustees**  
**from**  
**Facilities, Planning & Development**  
**Felipe Lopez, CBO**

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**4A. CONTRACTS**

It is recommended that the Special Trustee, in accordance with Board Policy 6340 approve the following Contracts.

- 1. CONTRACTOR:** KEYGENT ADVISORY SERVICES  
**SERVICES:** To provide the financial advisory services related to the issuance of general obligation bonds. Financial Advisor shall make available for consultation with the District, District's attorneys, other District officials, and Bond Counsel to advise on all matters relating to the Bond Financing  
**REQUESTING DEPT:** ADMINISTRATIVE SERVICES  
**FUNDING:** COST OF ISSUANCE FROM BOND PROCEEDS  
**NTE:** \$40,000.00
- 2. CONTRACTOR:** SOUTHLAND INDUSTRIES  
**SERVICES:** To provide HVAC maintenance for the Math/Science, Vocational Technology, MIS, Child Development Center and the Central Plant  
**REQUESTING DEPT:** FACILITIES  
**FUNDING:** UNRESTRICTED GENERAL FUND  
**DATES:** 09/16/13 – 09/15/14  
**NTE:** \$74,700.00
- 3. CONTRACTOR:** VAVRINEK, TRINE, DAY & CO., LLP  
**SERVICES:** To provide arbitrage rebate calculation on the District's General Obligation Bond Series 2004A bond issuance of \$41M to determine bond issuance complies with arbitrage rate compliance (Section 148(f) of the IRS Code) and determine if the District is obligated to pay rebate  
**REQUESTING DEPT:** ADMINISTRATIVE SERVICES  
**FUNDING:** MEASURE CC BOND  
**DATES:** 10/01/13 – 06/30/14  
**NTE:** \$4,500.00

**B. AMENDMENTS**

- 1. CONTRACTOR:** DLR GROUP/WWCOT  
**SERVICES:** The original agreement was board approved on April 12, 2011. This is the third amendment to the agreement, is in the amount of \$46,820.00, for professional services relating to the preparation of re-bidding and negotiations services for the Allied Health Renovation project as noted in the ARCHITECT's proposal revised date of June 18, 2013 which shall be attached hereto and incorporated herein as Amendment #3 Exhibit A (Additional Service Fess for Re-Bid) and will increase the

maximum approved compensation from \$1,309,850.00 to \$1,356,670.00  
**REQUESTING DEPT:** FACILITIES  
**FUNDING:** BOND MEASURE CC  
**DATES:** 02/24/11 – 02/23/16  
**NTE:** \$1,356,670.00

2. **CONTRACTOR:** NTD ARCHITECTURE  
**SERVICES:** Original agreement was board approved on January 15, 2013. This is the first amendment to the agreement, it is in the amount of \$120,000.00 and will increase the maximum approved compensation from \$800,000 to \$920,000. The project is for an Architect of Record and Division of State Architect (DSA) closeout certifications for the following projects: Major League Baseball Urban Youth Academy project; the Math/Science Building project; the Vocational/Technology Building project; the Stadium Lighting project; the Child Development Center project; and the Library & Learning Resource Center (LRC) project.
- REQUESTING DEPT:** FACILITIES  
**FUNDING:** BOND MEASURE CC  
**NTE:** \$920,000.00



**4B. FACILITIES PLANNING AND DEVELOPMENT – CHANGE ORDER REPORTS FOR CAPITAL PROJECTS & MEASURE CC. PROP 39 PROJECTS**

**1. Minco Construction Change Order #5 – Utility Infrastructure Phase 2**

It is recommended that the Special Trustee approve the following change order for the Utility Infrastructure Phase 2 project. Additional work was not part of the original scope:

1. Change Order 1:  
Description: Provide material, labor and equipment to remove second asphalt layer in Segment 8. While grading, contractor discovered another level of asphalt layer under existing.  
Change Order Cost: \$4,596.62
2. Change Order 2:  
Description: Provide material, labor, and equipment to connect valve to proposed fire line and also install reducer for domestic water line connection. Per RFI 037, there was no stub out and valve to connect to fire lane and domestic water line.  
Change Order Cost: \$4,527.75
3. Change Order 3:  
Description: Provide material, labor, and equipment to change four light poles for cameras per Bulletin 10. Not on contract drawings.  
Change Order Cost: \$2,952.60
4. Change Order 4:  
Description: Provide material, labor, and equipment to power and communication duct banks between point of connection at Phase I and Phase II per Bulletin 8. Clarification of design.  
Change Order Cost: \$16,919.82
5. Change Event 5:  
Description: Provide material, labor, and equipment to pump water from Phase I power manhole and remove skunks. Skunks entered manhole and drowned. Necessary to remove.  
Change Order Cost: 351.23
6. Change Order 6:  
Description: Provide material, labor, and equipment to provide a valve and cap in the landscape area in Segment 7 per RFI 047. Drawings did not show the end of the gas pipe line.  
Change Order Cost: \$1,090.21
7. Change Order 7:  
Description: Provide material, labor, and equipment to construct a concrete pad for Call for Assistance phones per RFI 053 in Segment 5 and \*. Call for Assistance phone was located in the concrete planter ends in the parking lot which was not accessible for users. Creating a concrete pad would raise phone for accessibility.  
Change Order Cost: \$746.74

8. Change Order 8:  
Description: Provide material, labor, and equipment to install temporary fencing in the Quad area. New fencing was requested by the District to keep students off newly hydroseed lawn.  
Change Order Cost: \$8,450.95
9. Change Order 9:  
Description: Provide material, labor, and equipment to pave main Greenleaf entrance to provide access by start of fall semester. Accelerated contractors schedule to complete entrance by start of Fall semester August 26, 2013.  
Change Order Cost: \$36,378.72
10. Change Order 10:  
Description: Provide material, labor, and equipment to repair leaky fire water pipe in front of Admin Building Segment 7. Request by District. Water from leaky valve prevented installation of piping.  
Change Order Cost: \$3,010.64
11. Change Order 11:  
Description: Provide material, labor, and equipment to reroute 6: chilled pipe per RFI 057. To connect 2 t" chilled pipe to Phase I point of connections had to reroute to avoid electrical boxes.  
Change Order Cost: \$10,990.20
12. Change Order 12:  
Description: Provide material, labor, and equipment to repair leaky water pipe in D Row Building Segment 8. Leaking pipe interfered with work in this area.  
Change Order Cost: \$2,747.55

<b>Total Change Order 5 Amount</b>	\$92,763.03
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<b>Contractor: Minco Construction</b>	<b><u>Amount:</u></b>
Original Contract Amount	\$10,177,700.00
Net change by previous Change Orders (4)	(\$900,464.04)
Net sum prior to this Change Order	\$9,277,235.96
Amount of Change Order No. 5	\$92,763.03
Percentage of Change Order No. 5	.91%
New Contract Sum	\$9,369,998.99
Percentage of Change to contract, to Date	-7.94% %

<b>Adjustment to Contract Time:</b>	
Original Completion Date Amount	November 30, 2013
Adj Approved Previous Change Orders	0
Completion Date Prior to this Change Order	November 30, 2013
Adj Approved This Change Order (# days)	0
New Completion Date	November 30, 2013

2. **Pinner Construction Co., Inc. - Change Order #9 Central Plant/Stadium Lighting**

It is recommended that the Special Trustee approve the following change order for the Utility Infrastructure Phase 1 project. Additional work was not part of the original scope:

Pinner Construction Change Order #9 – Central Plant/Stadium Lighting

Contractor provided the following:

1. Change Order 1:

Description: Provide all material, labor and equipment to reroute existing fiber optic conduit at Building J. Existing fiber optic cable feeding Building J needed to be relocated because it was running through new building excavation.

Change Order Cost: \$2,411.96

2. Change Order 2:

Description: Provide all material, labor and equipment to pothole in the over-excavation area of Segment 5 and reroute the gas line. Potholing was necessary so grading contractor won't have to stop during excavation. Rerouting the gas line is necessary to keep the gas to the campus in Segment 5.

Change Order Cost: \$16,917.64

3. Change Order 3:

Description: Provide all material, labor and equipment to provide additional shut off valve at the Central Plant. Repair on the existing valve failed and the valve needed to be replaced.

Change Order Cost: \$3,240.65

4. Change Order 4:

Description: Provide all material, labor and equipment to cut the tube steel and weld a plate after installation per RFI 078R1. Structural engineer recommendations on 4/19/13 visit.

Change Order Cost: \$14,754.28

5. Change Order 5:

Description: Provide all material, labor and equipment to increase the size of Door 101A to accommodate revised switch gear. Reference Bulletin 12 and RFI 091  
Reason: Due to revisions added to switchgear (neutral bus bar as required by SCE), the door size had to be increased to accommodate switchgear.

Change Order Cost: \$15,851.79

6. Change Order 6:

Description: Provide all material, labor and equipment to provide compaction grouting on Pole E.1. Reference RFI 109. Pole E.1 was not shown on the contract drawings.

Change Order Cost: \$25,606.06

7. Change Order 7:

Description: Provide all material, labor and equipment to provide extra compaction grout on Pole 6 light fixture. Bid pricing on compaction grouting was based on information given per boring B2 in the soils report which is the location P-6 light fixture. Based on this, information, subcontractor estimated 7,000 cf of grout for all light fixture locations. P-6 location used 4,299.25 cf alone; almost double the estimated cost per fixture.

Change Order Cost: \$17,681.95

<b>Total Change Order 9 Amount</b>	<b><u>\$96,464.33</u></b>
------------------------------------	---------------------------

**Amount:**

**Contractor: Pinner Construction Co Inc.,**

Original Contract Amount	\$10,008,432.00
Net change by previous Change Orders (8)	(\$102,976.94)
Net sum prior to this Change Order	\$9,905,455.06
Amount of Change Order No. 9	\$96,464.33
New Contract Sum	\$10,001,919.39

Percentage of Change to contract, to Date	-0.07%
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**Adjustment to Contract Time:**

Original Completion Date Amount	October 3, 2013
Adj Approved Previous Change Orders	0
Completion Date Prior to this Change Order	October 3, 2013
Adj Approved This Change Order (# days)	0
New Completion Date	December 12, 2013

3. **Pinner Construction Co., Inc. - Change Order #11 Utility Infrastructure Phase 1**

It is recommended that the Special Trustee approve the following change order for the Utility Infrastructure Phase 1 project. Additional work was not part of the original scope:

8. Change Order 8:

Description: Provide all materials, labor, and equipment to replace asphalt with concrete at south end of Lot A per RFI 48R1. Transition from the contract scope of work to the existing sidewalk to remain did not align; design clarification.

Change Order Cost: \$4,765.97

9. Change Order 9:

Description: Provide all materials, labor, and equipment to replace all 17 wall caps at Artesia entrance and both sides of the drive per RFI 064. Wall caps were missing, loose or broken.

Change Order Cost: \$4,903.99

10. Change Order 10:  
Description: Provide all materials, labor and equipment to install speed humps on West Campus Road per Bulletin 10R. District requested to reduce speed on this road, speed humps need to be installed.

Change Order Cost: \$6,804.77

11. Change Order 11:  
Description: Provide all materials, labor and equipment to perform pothole services to reroute the storm drain and avoiding electrical duct bank in Segment 4.

Change Order Cost: \$1,871.88

12. Change Order 12:  
Description: Provide all materials, labor and equipment to pothole and locate an existing flange at the point of connection which is located at the public right of way (existing cul de sac). Reference RFI 053. Necessary to connect campus fire water loop to City main.

Change Order Cost: \$10,103.08

13. Change Order 13:  
Description: Provide all material, labor and equipment to add traffic control stop signs and striping at Greenleaf west entrance to campus, adjacent to new Central Plant yard per Bulletin 17R. No striping or signage was in the original scope of work.

Change Order Cost: \$6,690.72

14. Change Order 14:  
Description: Provide all material, labor and equipment to replace asphalt with concrete on the west side of LRC building. To maintain the harmonious architectural feature of the LRC sidewalk.

Change Order Cost: \$68,015.11

15. Change Order 15:  
Description: Provide all material, labor and equipment to replace existing backflow valve at Segment 7. During replacement of the 3" irrigation line, contractor discovered existing backflow valve to be tied into was leaking and needed to be replaced.

Change Order Cost: \$5,339.14

16. Change Order 16

Description: Provide all material, labor and equipment to install an underground piping infiltration system. Reference Bulletin 19. State approved and recommended.

Change Order Cost: \$1,288,827.03

Time Extension: 70 calendar days

**Total Change Order 11 Amount**

\$1,397,321.69

**Contractor: Pinner Construction Co Inc.,**

**Amount:**

Original Contract Amount	\$17,641,568.00
Net change by previous Change Orders (10)	(\$6,886.71)
Net sum prior to this Change Order	\$17,684,681.29
Amount of Change Order No. 11	\$1,397,321.69
Percentage of Change Order No. 11	7.92%
New Contract Sum	\$19,032,002.98

Percentage of Change to contract, to Date 7.88%

**Adjustment to Contract Time:**

Original Completion Date Amount	October 3, 2013
Adj Approved Previous Change Orders	0
Completion Date Prior to this Change Order	October 3, 2013
Adj Approved This Change Order (# days)	70
New Completion Date	December 12, 2013

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**Agenda for the Compton Community College District Board of Trustees**  
**from**  
**Human Resources Division**  
**Rachelle Sasser, Dean, Human Resources**

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**5A. RESOLUTION TO IMPLEMENT AN INTERNAL REVENUE CODE (IRC) SECTION 125 FLEXIBLE FRINGE BENEFITS PLAN**

It is recommended that the Special Trustee approve Resolution #10-22-2013B - To Implement an Internal Revenue Code (IRC) Section 125 Flexible Fringe Benefits Plan.

**COMPTON COMMUNITY COLLEGE DISTRICT**  
**Resolution No. 10-22-2013B**

Consider Adoption of the Resolution to Implement an  
Internal Revenue Code (IRC) Section 125 Flexible Fringe Benefits Plan

WHEREAS, an Internal Revenue Code (IRC) Section 125 Flexible Fringe Benefits Plan allows employees to direct a part of their pay, on a pre-tax basis, into special accounts that can be used throughout the year for reimbursement of certain out-of-pocket medical, dental and/or dependent day care expenses; and

WHEREAS, the district has negotiated for the implementation of an IRC Section 125 Flexible Fringe Benefits Plan. The effective implementation date is January 1, 2014; and

WHEREAS, the Plan administrator will hold a group orientation and receive enrollment election forms October 14, 2013 – October 26, 2013.

NOW, THEREFORE, BE IT RESOLVED that the Plan will provide the opportunity for district employees to maximize their health care benefits with tax-sheltered funds or to select other insurance products, which would be beneficial according to the needs of a particular family.

BE IT FURTHER RESOLVED that the district shall implement an IRC Section 125 Flexible Fringe Benefits Plan. American Fidelity Assurance Company has been selected as the plan provider for this benefit.

PASSED AND ADOPTED by the following vote of the Special Trustee of the Compton Community College District, of Los Angeles County, State of California, this 22<sup>nd</sup> day of October 2013.

COMPTON COMMUNITY COLLEGE DISTRICT

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Thomas E. Henry, Special Trustee                      Date

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Keith Curry, Chief Executive Officer                      Date

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**Agenda for the Compton Community College District Board of Trustees**  
**from**  
**Office of the CEO**  
**Keith Curry**

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**XVII. Discussion/Information Items**

1. Office of the Special Trustee
  - A. Special Trustee's Advisory Committee Application
  - B. Special Trustee's Advisory Committee Meeting Dates
  - C. Special Trustee's Advisory Committee Meeting Minutes of June 17, 2013
  
2. Office of the CEO
  - A. Board of Trustees Presentations and Reports Schedule for 2013
  - B. BP 4245 – Academic Rank (First Reading)
  - C. AR 6450 – Wireless or Cellular Telephone Use
  - D. AR 6250 – Fixed Asset Security and Accounting
  - E. Measure CC Bond Oversight Committee Application
  - F. Measure CC Citizens' Bond Oversight Committee Meeting Dates
  - G. Measure CC Citizens' Bond Oversight Committee Meeting Minutes of June 27, 2013
  
3. Administrative Services
  - A. Actual Enrollment Fee Revenue Report 323, California Community Colleges
  - B. Measure CC Bond Fund Category Budgets and Balances



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**Agenda for the Compton Community College District Board of Trustees  
from  
Office of the Special Trustee  
Thomas E. Henry**

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**1A. SPECIAL TRUSTEE'S ADVISORY COMMITTEE APPLICATION**

It is recommended the Special Trustee discuss the Special Trustee's Advisory Committee Application.



**COMPTON COMMUNITY COLLEGE DISTRICT  
SPECIAL TRUSTEE'S ADVISORY COMMITTEE**

**APPLICATION**

The Special Trustee's Advisory Committee is the result of legislation (Assembly Bill 318 (2006), Chapter 50 of 2006). The authority to appoint an Advisory Committee is codified in Education Code Section 71093 (f)(5).

**SPECIAL TRUSTEE'S ADVISORY COMMITTEE NOMINATION FORM**

The Advisory Committee provides advice to the Special Trustee of the Compton Community College District. Membership on the committee will provide an opportunity to network with others within and outside the Compton Community College District community. The Committee will learn about issues affecting Compton Community College District, become familiar with a range of resources available to assist the District in addressing local and regional issues. The Committee will learn how resources will be used to do the most good for the District in sustaining fiscal stability, quality instruction, educational mission and ultimately accreditation.

**QUALIFICATIONS FOR SPECIAL TRUSTEE'S ADVISORY COMMITTEE MEMBERSHIP**

- This advisory committee may include residents of the communities served by the Compton Community College District.
- Any outside experts deemed appropriate by the Special Trustee

**POTENTIAL APPLICANTS' INFORMATION SESSION**

- Thursday, December 5, 2013; 5:00 p.m.  
Compton Community College District Board Room  
1111 E. Artesia Boulevard  
Compton, California 90221

**No member of the advisory committee shall receive any compensation or benefits for his or her services as a member of the advisory committee.**



**COMPTON COMMUNITY COLLEGE DISTRICT  
APPLICATION FOR APPOINTMENT TO THE  
SPECIAL TRUSTEE'S ADVISORY COMMITTEE**

**INSTRUCTIONS**

Provide all information requested; use black ink; any attachments must be single-sided on 8.5" x 11" paper. If you will be representing an organization, you must include a letter from the organization recommending you as their representative.

**IMPORTANT:** Any letter(s) of recommendation must be made part of this application and submitted together. For further information, please call (310) 900-1600, ext. 2000. Please answer all questions and return completed application to:

Keith Curry, Chief Executive Officer  
Compton Community College District  
1111 E. Artesia Boulevard  
Compton, California 90221

**Deadline for Submission: December 13, 2013 – 4:30 p.m.**

**Applicant's Information:**

Applicant Name: \_\_\_\_\_  
Last
First
Middle

Home Address: \_\_\_\_\_  
Street Address
City
State
Zip Code

Telephone: \_\_\_\_\_ Email: \_\_\_\_\_

Mailing Address (*if different from home address*):

\_\_\_\_\_

**Employer's Information:**

Name of Employer: \_\_\_\_\_

Employer Address: \_\_\_\_\_  
Street Address
City
State
Zip Code

Employer Telephone: \_\_\_\_\_ Employer Email: \_\_\_\_\_

Applicant Name: \_\_\_\_\_

**BACKGROUND INFORMATION**

You may also attach a resume reflecting experience, community activities or other qualifications not listed below that would be helpful in evaluating your application.

Have you previously served on an advisory committee?                      Yes \_\_\_\_                      No \_\_\_\_

If yes, please explain: \_\_\_\_\_

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Please state the reasons you would like to be a member of this advisory committee. \_\_\_\_\_

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Do you or an immediate family member have any relationship (professional, financial, other) that may represent a potential conflict of interest for this advisory committee?                      Yes \_\_\_\_                      No \_\_\_\_

If yes, please explain: \_\_\_\_\_

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What do you feel are the issues most greatly affecting college students in your area?

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Applicant Name: \_\_\_\_\_

**BACKGROUND INFORMATION** (continued)

Are there particular perspectives that you would contribute as a member of the Special Trustee’s Advisory Committee?

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Indicate experiences or factors (*up to three*) that you believe have prepared you for service on the Advisory Committee:

1. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
2. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
3. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Education Institutions (*limit to three*); Degree (*if applicable*); Field of Study

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_



## COMPTON COMMUNITY COLLEGE DISTRICT SPECIAL TRUSTEE'S ADVISORY COMMITTEE

### **I. Role of the Special Trustee Advisory Committee:**

- A. The Special Trustee Advisory Committee of the Compton Community College District is organized exclusively to advise the Special Trustee with respect to the management of the Compton Community College District.
- B. To carry out its role, the Special Trustee Advisory Committee may:
  1. Receive copies of audits, reports, surveys and similar materials, or summaries of such documents;
  2. Examine existing and proposed District policies in order to provide the Special Trustee with comments about the desirability and effectiveness from a community perspective;
  3. Engage in study sessions, workshops and similar activities to learn about policy matters affecting California Community Colleges in general and the Compton Community College District in particular; and
  4. With the assent of, and in conjunction with the Special Trustee and elected Board of Trustees, sponsor activities or events aimed at enhancing community awareness of and support for the District and the El Camino College Compton Center.

### **II. Members:**

- A. The Special Trustee Advisory Committee shall consist of at least seven members appointed by the Special Trustee of the Compton Community College District;
- B. The membership may include residents of the communities served by Compton Community College District and any other outside experts deemed appropriate by the Special Trustee.
- C. Four of the members may be residents of the Compton Community College District.
- D. One member may be a current or former community college educator.
- E. One member may be enrolled as a student at the El Camino College Compton Center and active in the associated students' organization or another bona fide student group.

### **III. Length of Membership and Removal from Membership**

- A. Members of the Special Trustee Advisory Committee shall serve for an initial term of two years. At the conclusion of two years, members may be reappointed for a one year term. There is no limit on the number of one-year reappointments.
- B. Members serve at the pleasure of the Special Trustee and may be removed at any time at the sole discretion of the Special Trustee, including failure to attend two consecutive Special Trustee Advisory Committee meetings without reasonable excuse. Upon a member's removal, his or her seat shall be declared vacant.

**IV. Officers and Meetings:**

- A. The Special Trustee Advisory Committee shall elect its own Chairperson. The Chairperson shall preside at all meetings and, in consultation with the CEO and Special Trustee, develop the agenda for each of the meetings.
- B. The Special Trustee Advisory Committee may elect a Vice Chairperson.
- C. The Special Trustee Advisory Committee shall meet at least quarterly, but it may meet more often if additional meetings are deemed advisable by the Special Trustee.
- D. A quorum of the Special Trustee Advisory Committee shall consist of any four members. Or majority of the full membership if the membership is greater than 7.
- E. All meetings of the Special Trustee Advisory Committee shall be open to the public.
- F. Minutes of the proceedings of the Special Trustee Advisory Committee and all documents received and reports issued shall be a matter of public record. The Special Trustee Advisory Committee shall ensure that the public has appropriate access to those materials.

**V. Miscellaneous Provisions:**

- A. The Special Trustee Advisory Committee is an Advisory Committee to the Special Trustee. It is not a legal entity separate from the Compton Community College District, and does not have any legal capacity independent of the District.
- B. Information provided by the Special Trustee or the District's staff to one member of the Special Trustee Advisory Committee shall be provided to all members of the Special Trustee Advisory Committee in accordance with the directions of the Special Trustee or his/her designee.
- C. No individual member shall have the authority to act or speak for the Special Trustee Advisory Committee or proceed in any other manner, unless the CEO and special Trustee along with the Special Trustee Advisory Committee has, by majority vote, given the member express authority to do so. Each member, however, retains the right to communicate with the Special Trustee as an individual.
- D. The Compton Community College District has a duty to defend and indemnify volunteers acting within the course and scope of their volunteer duties. In the event of litigation naming the Special Trustee Advisory Committee or its individual members, the District shall provide counsel for its individual members, with the qualification that the District may decline to defend and indemnify any member if his/her alleged wrongful actions were not within the course and scope of his/her duties as a member, or were the result of fraud, malice or actual corruption.

## **1B. SPECIAL TRUSTEE’S ADVISORY COMMITTEE MEETING DATES FOR 2013**

It is recommended that the Special Trustee receives for information the Special Trustee’s Advisory Committee meeting dates and times. All committee meetings will be held in the District Board Room at 6:30 p.m.

Monday, October 21, 2013

Monday, December 16, 2013

## **1C. SPECIAL TRUSTEE’S ADVISORY COMMITTEE MEETING MINUTES FOR JUNE 17, 2013**

It is recommended the Special Trustee receives for information the approved Special Trustee’s Advisory Committee June 17, 2013 Meeting Minutes.

I. The Special Trustee’s Advisory Committee Meeting was called to order at 5:15 p.m.

### **II. Roll Call – Members Present**

Lestean Johnson, Chair

Amirah Hendrickson, Vice Chair

Domitila Aguilar

Amelia Apple

Marie Hollis

Cynthia Macon

Olivia Verrett

Keith Curry, CEO

Thomas Henry, Special Trustee

Member Absent:

Cynthia Macon

### **III. Pledge of Allegiance - All**

### **IV. Approval of Agenda**

Motion made by Dr. Johnson to approve the Agenda

Seconded by Ms. Verrett

**Approved**

### **V. Public Comments on Agenda/Non-Agenda Items:**

No Requests

### **VI. Brown Act Training Session:**

Brown Act Training Session was conducted by Mr. Warren Kinsler, partner Atkinson, Andelson, Loya, Ruud & Romo, LLP.

Mr. Kinsler distributed copies of the Brown Act and reviewed and discussed the rules contained in the Brown Act with the committee.

The meeting was adjourned at 6:50 to the regularly scheduled public session.

## **SPECIAL TRUSTEE'S ADVISORY COMMITTEE MEETING MINUTES**

**Monday, June 17, 2013 – 6:30 P.M.**

I. The Special Trustee's Advisory Committee Meeting was called to order at 6:42 p.m.

II. **Roll Call** – Members Present

Lestean Johnson, Chair  
Amirah Hendrickson, Vice Chair  
Domitila Aguilar  
Amelia Apple  
Marie Hollis  
Cynthia Macon  
Olivia Verrett  
Keith Curry, CEO  
Thomas Henry, Special Trustee

III. **Pledge of Allegiance** - All

IV. **Approval of Agenda**

Motion made by Olivia Verrett to approve the Agenda  
Seconded  
**Approved**

V. **Review/Approval of Minutes of April 15, 2013**

Motion made by Olivia Verrett to accept minutes  
Seconded  
**Approved**

VI. **Public Comments on Agenda/Non-Agenda Items:**

Nehasi Lee, Student – Explained his intention of comments made at the last Advisory Committee meeting; commented on the District budget.

Lorraine Cervantes, Trustee – Commented on budget and Mr. Lopez's availability to answer any questions regarding the budget.

VII. **Presentation:**

2013-2014 Compton Community College District Tentative Budget

Mr. Lopez distributed copies of and reviewed the 2013-2014 CCCD Tentative Budget, highlighting the state budget, budget assumptions, and the tentative budget. Question and answer session followed.

VIII. **Discussion/Information Items:**

1. Special Trustee's Advisory Committee 2013 Goals  
Dr. Curry reported that the committee is doing well in meeting goals #3, 4, 5. Goals #1 and #2 need more work.
2. Accreditation Basics Workshop & Ethics Training  
Dr. Curry that only two members have completed these workshops and requested that the other members comply as soon as possible. Some members reported having trouble on the websites. Dr. Curry and Dr. Johnson will work with them to resolve these issues.



The Committee agreed to complete the Accreditation Basics Workshop by July 19, 2013, and the Ethics Training by June 30, 2013.

- 3. Special Trustee’s Advisory Committee Community Meetings Talking Points  
Dr. Curry stated that this item is referenced in the Committee Goals, Item #1. A list of talking points has been formulated for presentation to the community. The topics for presentation include the Partnership Agreement with El Camino; the Bond Oversight Committee, the Facilities Master Plan and construction projects on campus; Redistricting; the November 2013 Board Elections, Candidates Information Forum, and Community Engagement projects.

Dr. Johnson interjected that Member Cynthia Macon needs to leave early, but was interested in information on the timeline after 2016 in regards to accreditation and how do we move forward. Dr. Curry stated that the goal is to submit the application for accreditation in 2014, but does not want to submit it until we are sure everything is right and submit a quality eligibility application, and have completed the self-evaluation study.

- 4. Agreement between the El Camino Community College District and the Compton Community College District (Partnership Agreement); this item was included as an information only item.

**IX. Future Agenda Items**

- 1. Requesting Barbara Beno (ACCJC) to speak at an Advisory Committee meeting
- 2. Presentation on Accreditation Report
- 3. Bond Expenditures
- 4. Advisory Committee Training Sessions update

**X. Comments:**

- 1. CEO Update – Board Meeting will be held tomorrow night, April 15, 2013. We have special designated parking for the next meeting and will have shuttles tonight and at our next meeting for your convenience.
- 2. Elected Board – Trustee Lorraine Cervantes expressed concerns about agenda and budget presentation.
- 3. Advisory Committee
  - i. Ms. Apple: Expressed concerns about Compton Center promotional ads, and website.
  - ii. Ms. Verrett: Thanked Administration for providing the very informative Brown Act Training.
  - iii. Ms. Hollis: Happy to see the progress of construction on campus. Also relayed community concerns about class offerings no longer available at Compton Center.
  - iv. Special Trustee Henry thanked the Committee for their commitment and participation.

**XI. Meeting Adjourned at 8:50 p.m.**

Next Scheduled Meeting: August 19, 2013; 6:30 p.m.

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*Compton Community College District – 1111 East Artesia Boulevard -- Compton, California 90220*

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**Agenda for the Compton Community College District Board of Trustees**  
**from**  
**Office of the CEO**  
**Keith Curry**

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**2A. BOARD OF TRUSTEES PRESENTATIONS AND REPORTS SCHEDULE FOR 2013**

It is recommended that the Special Trustee receives for information the Board Presentations and Reports Schedule 2013.

**Board of Trustees Presentations and Reports Schedule for 2013**

<b>MONTH</b>	<b>PRESENTATION</b>	<b>REPORT</b>
<b>January 2013</b>	Facilities Projects	
<b>February 2013</b>	2011-2012 CCCD Financial Audit Report 2011-2012 Measure CC General Obligation Bond Audit Report	2012-2013 Budget Update FTES Compton Center 2012 Annual Fact Book
<b>March 2013</b>		Quarterly Fiscal Status Report
<b>April 2013</b>	El Camino College Compton Center Student Success Scorecard 2013-2014 Budget Assumptions	
<b>May 2013</b>	2013-2014 Budget Workshop Student Learning Outcomes	Notice of Public Hearing
<b>June 2013</b>	2013-2014 Tentative Budget	2013-2014 Tentative Budget Quarterly Fiscal Status Report
<b>July 2013</b>	Facilities Projects	
<b>August 2013</b>		Notice of Public Hearing FTES Compton Center
<b>September 2013</b>	2013-2014 District Budget Community Survey	Quarterly Fiscal Status Report Budget Adoption
<b>October 2013</b>	<b>FCMAT</b>	Faculty/Staff Professional Development
<b>November 2013</b>	<b>Measure CC Bond Oversight Committee Annual Report 2011-2012</b> First Year Experience Program	<b>Accreditation Update</b>
<b>December 2013</b>	<b>Financial Aid</b> <b>Special Trustee's Advisory Committee</b>	Quarterly Fiscal Status Report

*Revised: 10.09.2013*

## **2B. BOARD POLICY - BP 4245 Academic Rank**

It is recommended that the Special Trustee receives for the first reading Compton Community College District Board Policy BP 4245 for Academic Rank. Board Policy 6750 would be implemented on January 1, 2014.



### **COMPTON COMMUNITY COLLEGE DISTRICT BOARD OF TRUSTEES POLICIES**

**BP 4245 ACADEMIC RANK**

**ISSUED: October 1, 2013  
REVISED:**

**Reference:**

Education Code Sections

Academic Rank for faculty employees is recognized by the Board of Trustees.

Qualifications and procedures to obtain rank shall be recommended by the Academic Senate, approved by the CEO, and published in District publications, including the Faculty Handbook.

Academic rank for faculty members of the Compton Community College District shall be awarded as follows and upon the recommendation of the CEO, it being understood that academic rank shall in no way involve salary consideration.

- A. The title of "LECTURER" shall be applied to all part-time faculty.
- B. The title of full-time faculty members in non-tenured status shall be "INSTRUCTOR".
- C. The rank of "ASSISTANT PROFESSOR" shall be granted upon the receipt of tenure at the District.
- D. The rank of "ASSOCIATE PROFESSOR" shall be granted upon the receipt of tenure and the completion of seven years of full-time service for the District.
- E. The rank of "PROFESSOR" shall be granted upon the receipt of tenure and ten years of full-time service for the District.
- F. Emeritus faculty shall be ranked as "FACULTY EMERITUS" who is, upon retirement, in good standing as a tenured faculty member.
- G. Any individual joining the faculty from another accredited institution will not suffer any reduction in rank.

## **2C. ADMINISTRATIVE REGULATION - AR 6450 WIRELESS OR CELLULAR TELEPHONE USE**

It is recommended that the Special Trustee receives the Compton Community College District Administrative Regulation AR 6450: Wireless or Cellular Telephone Use



### **COMPTON COMMUNITY COLLEGE DISTRICT BOARD OF TRUSTEES POLICIES**

**AR 6450: Mobile Communication Device**

**Issued: September 6, 2013**

#### **Purpose**

To establish procedures for the assignment of the use of cellular telephones and other wireless handheld mobile communication devices (collectively, "cell phones").

#### **Eligibility**

Members of the chief executive officer's (CEO) cabinet are automatically eligible for a cellular or wireless mobile device under this policy. All other employees will be eligible on a case-by-case determination by the Chief Business Officer, Business and Administrative Services, and the recommendation of the employee's department dean based upon the nature of work the employee performs and if the need to maintain contact with the employee during work hours and non-work hours is best accomplished by using a cell phone. Eligibility is not based on job title or personal convenience. Employees meeting the following criteria will generally be considered eligible:

- A. Facilities and critical systems employees subject to afterhours call out.
- B. Emergency responders (Incident Command System command staff and general staff, primary and first alternates only).
- C. Employees who routinely are responsible for the health, safety, and well-being of students away from the district and/or access to other communication services.
- D. Non-instructional employees who spend a considerable amount of time out of the office (more than 50 percent of the normal work week) on district business.

Eligibility for a cell-phone device with advanced capabilities that enables routine transmission of e-mails and other data will be determined separately from a standard cell phone based on the need for an employee to have frequent access to district e-mail and calendaring software while out of the office, where using a desktop or laptop PC is not practical.

This administrative procedure is not intended to apply to PC wireless broadband cards (air cards). The district will continue to be responsible for both the initial and recurring costs associated with air cards for those employees requiring remote connectivity from a laptop to the Internet. Air cards, like laptops and PCs, are considered to be district property. As such, all payments for the initial purchase and the monthly service charge will be made by the district directly to the vendor. Eligibility for an air card is determined by the department head.

This administrative procedure is intended to accommodate changes and advances in wireless handheld-communication technology and to limit the number of eligible employees to those where a business necessity exists.

Eligibility will be determined on an annual basis. Requests for modifying an employee's level of eligibility will also be on an annual basis.

### **Usage and Responsibility**

This procedure shall apply to district mobile cell phones:

- A. The phone should not have sensitive information such as employee and social security numbers, district confidential documents and any other sensitive information.
- B. They should be secured at all times.
- C. No personal calls are permitted except in an emergency.
- D. The purpose of the phone is to communicate within the district in lieu of alternative methods.

Lost or stolen cell phones, as well as problems with the service or equipment, should be reported to District Management Information Systems services. Replacement of lost or stolen cell phones require the completion of a Cell Phone Request Authorization form.

Employees shall comply with federal and state laws including not driving a motor vehicle while using a cell phone unless the device is enabled to allow hands-free listening and talking and is used in that manner while driving.

### **Authorization**

The department head must authorize the use of cell phones by completing and signing a Cell Phone Request Authorization form and submitting it through normal channels up to his/her appropriate dean. After divisional approval, the form will be routed to the appropriate Chief Executive Officer's cabinet member, for final authorization.

### **Reference:**

Board of Trustees Policy: BP 6450 Wireless or Cellular Telephone Use

## **2D. ADMINISTRATIVE REGULATION - AR 6520 FIXED ASSET SECURITY AND ACCOUNTING**

It is recommended that the Special Trustee receives the Compton Community College District Administrative Regulation AR 6520 Fixed Asset Security and Accounting



### **COMPTON COMMUNITY COLLEGE DISTRICT BOARD OF TRUSTEES POLICIES**

#### **AR 6520 Fixed Asset Security and Accounting**

**Issued: September 6, 2013**

The CEO directs that the following regulations are to apply to the security of District personnel and property at the Compton Community College District:

#### **A. Security for District Personnel and Visitors**

The Chief Executive Officer delegates the primary responsibilities for patrolling District property to the El Camino College Police Department (Police Department). The El Camino College Police Department is committed to the safety and security of all students, staff, and faculty attending or visiting Compton Center and District. The Police Department is responsible for patrolling District grounds, facilities, and parking lots to protect persons and property and enforce applicable laws and ordinances. The Police Department's jurisdiction includes other grounds or properties owned, operated, controlled, or administered on behalf of the Compton Community College District as outlined in the Education Code, Section 72330.

Office of Primary Responsibility: Chief Executive Officer and/or Chief Business Officer

#### **B. Security for District Property**

Each member of the District staff shall be responsible for equipment under his or her control. Loss of equipment and unauthorized removal of equipment should be reported immediately to the appropriate administrator. An inventory record shall be kept of all District property with an acquisition cost in excess of limits established by Education Code. The District will maintain a fixed asset inventory system. Fixed assets include furniture, computers, vehicles, etc. costing in excess of \$5,000. In the event that fixed assets are moved within District boundaries, the manager in each respective area must approve the request. In addition, notice must be sent to the Purchasing Department. The Purchasing Department will be responsible for ensuring that the inventory system is properly updated.

All requests to borrow or to remove District property for educational or District-related purposes must be in writing and be cleared through the appropriate Administrator of the area. District equipment shall not be loaned to persons not employed by or enrolled in the District. Equipment shall only be removed from campus with proper authorization(s).

#### **C. Key Control Process**

The District has delegated the issuance and control of all District keys to the District's Police Department and Chief Business Officer. All District buildings, perimeter fencing, furniture and equipment are subject to this key control procedure. The District issues and maintains keys for control purposes. Issuance of Grand Master Keys must be approved by the Chief Business Officer.

Keys will be issued only to employees of the District and to outside regular contractors/vendors who must have access to service areas, when approved by Director of Facilities, Planning and Operations and the Chief Business Officer. Keys shall not be issued to students, student hourly or adult hourly. Exceptions to this procedure can only be made by the Chief Business Officer. In compliance with federal law (Rehabilitation Act of 1973, Section 504), keys may be issued in order to provide reasonable accommodations to individuals with disabilities. Allowable facilities are selected elevators and restrooms. Accommodation need must be officially verified by Disabled Student Programs and Services (DSPS). Requests shall require the signed approval of the Dean of DSPS or an Administrator, or designee, on a form for such purposes. Approved requests shall be fulfilled within five (5) working days. There shall be no charge to the individual with a disability for these accommodations. No keys will be issued without a signature of the employee, approval of their manager, and/or Division Dean where applicable, and approval of the Chief Business Officer.

Upon transfer of assignment within the District, employees shall turn in any keys no longer needed and sign out the required new keys.

Unauthorized use of, or duplication of District keys may be grounds for termination.

Under no circumstances shall keys be loaned to an unauthorized person.

Procedures on key control shall be included in the Faculty and Classified Handbook.

Part-time faculty shall turn keys in to the Division Office at the end of the first semester (if not returning second semester); and at the end of the second semester (if not teaching summer session) at the discretion of the responsible manager. District employees on sabbatical or prolonged leave of absence in excess of six (6) months shall turn keys in to Payroll. Exceptions to this require approval of the responsible manager and administrator.

Campus Police is responsible for opening and securing all exterior building corridor doors.

Lost keys must be reported immediately to Campus Police and the Business Services Office.

**Reference:**

Board of Trustees Policy: BP 6520 Fixed Asset Security and Accounting  
Accreditation Standard III.B.1;  
Education Code, Sections 70902, 72330, and 84674;  
Penal Code, Section 439;  
Title 5, Section 58311(2)

## 2E. MEASURE CC BOND OVERSIGHT COMMITTEE APPLICATION

It is recommended the Special Trustee discuss the Measure CC Bond Oversight Committee Application.



### COMPTON COMMUNITY COLLEGE DISTRICT MEASURE CC CITIZENS' BOND OVERSIGHT COMMITTEE

#### **APPLICATION**

The purposes of the Committee are set forth in Proposition 39, and these Bylaws are specifically made subject to the applicable provisions of Proposition 39 as to the duties and rights of the Committee. The Committee shall be deemed to be subject to the *Ralph M. Brown Public Meetings Act* of the State of California and shall conduct its meetings in accordance with the provisions thereof. The District shall provide necessary administrative support to the Committee as shall be consistent with the Committee's purposes, as set forth in Proposition 39.

#### **MEASURE CC CITIZENS' BOND OVERSIGHT COMMITTEE NOMINATION FORM**

The committee shall inform the public concerning the district's expenditure of bond proceeds. In fulfilling this duty, all official communications to either the board or the public shall come from the chair acting on behalf of the committee. The chair shall only release information that reflects the majority view of the committee

The committee shall review expenditure reports produced by the district to ensure that (a) bond proceeds were expended only for the purposes set forth in the measure cc; and (b) no bond proceeds were used for any teacher or administrative salaries or other operating expenses, in accordance with attorney general opinion 04-110 issued on November 9, 2004.

The committee shall present to the board, in public session, an annual written report.

#### **QUALIFICATIONS FOR MEASURE CC CITIZENS' BOND OVERSIGHT COMMITTEE MEMBERSHIP**

- To be a qualified person, he or she must be at least 18 years of age.
- The Committee may not include any employee, official of the District or any vendor, contractor or consultant of the District.



**POTENTIAL APPLICANTS' INFORMATION SESSION:**

- **Tuesday, December 3, 2013; 5:00 p.m.**  
Compton Community College District Board Room  
1111 E. Artesia Boulevard  
Compton, California 90221

**Instructions**

Please provide all information requested. Use black ink. Any attachments must be single-sided on 8.5" x 11" paper.

Important: Any letters of support or recommendation must be made a part of this application and submitted together with the application form.

**APPLICANT'S INFORMATION**

Applicant Name: \_\_\_\_\_

Permanent Address: \_\_\_\_\_

Telephone: [Daytime] \_\_\_\_\_ [Evening] \_\_\_\_\_

Email Address: \_\_\_\_\_

Mailing Address (if different from above) \_\_\_\_\_

Under which category of membership does the applicant meet the qualifications for membership?

- A member active in a business organization representing the business community located within the district;
- A member who is a resident of the district and active in a senior citizen's organization;
- A member who is a resident of the district and active in a bona fide taxpayer's organization;
- A member enrolled as a student at the El Camino College Compton Community Educational Center and active in a campus group; and
- A member active in an organization supporting the district, such as the foundation.

APPLICANT'S NAME: \_\_\_\_\_

**Employment**

Employer: \_\_\_\_\_

Employer's Address: \_\_\_\_\_

Employer's Telephone Number: \_\_\_\_\_

**Other Information**

You may also attach a resume reflecting your experience, community activities or other qualifications not listed below that would be helpful in evaluating your application.

Have you served on an advisory committee before?      Yes\_\_\_      No\_\_\_

If yes, please explain: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Please explain why you would like to be a member of the Bond Oversight Committee:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Do you or an immediate family member have any relationship (professional, financial or other) that might create a potential conflict of interest for you if you were to serve as a member of the Bond Oversight Committee?      Yes \_\_\_      No \_\_\_

If yes, please explain:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**APPLICANT'S NAME:** \_\_\_\_\_

What particular talent or perspective, if any, would you bring to your service as a member?

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Please list any experience or other relevant factors (up to three) that you believe prepare you for service on the Bond Oversight Committee:

1. \_\_\_\_\_

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2. \_\_\_\_\_

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3. \_\_\_\_\_

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Educational Institutions Attended

Degree (if applicable)

Field of Study

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

Return this application to:  
Keith Curry  
Chief Executive Officer  
Compton Community College District  
1111 E. Artesia Blvd.  
Compton, CA 90221

**DEADLINE FOR SUBMISSION: DECEMBER 13, 2013 – 4:30 P.M.**



**COMPTON COMMUNITY COLLEGE DISTRICT  
APPLICATION FOR APPOINTMENT TO THE  
MEASURE CC CITIZENS' BOND OVERSIGHT COMMITTEE**

**CITIZENS' BOND OVERSIGHT COMMITTEE  
AMENDED AND RESTATED BYLAWS**

**Section 1. Committee Established.** The Compton Community College District (the "District") was successful at the election conducted on November 5, 2002 (the "Election"), in obtaining authorization from the District's voters to issue up to \$100,000,000 aggregate principal amount of the District's general obligation bonds ("Measure CC"). The election was conducted under Proposition 39, being chaptered as the Strict Accountability in Local School Construction Bonds Act of 2000, at Section 15264 *et seq.* of the Education Code of the State ("Prop 39"). Pursuant to Section 15278 of the Education Code, the District is obligated to establish the Committee in order to satisfy the accountability requirements of Prop 39. The State Special Trustee (the "Board") hereby establishes the Citizens' Bond Oversight Committee (the "Committee") which shall have the duties and rights set forth in these Bylaws. The Committee does not have independent legal capacity from the District.

**Section 2. Purposes.** The purposes of the Committee are set forth in Prop 39, and these Bylaws are specifically made subject to the applicable provisions of Prop 39 as to the duties and rights of the Committee. The Committee shall be deemed to be subject to the *Ralph M. Brown Public Meetings Act* of the State of California and shall conduct its meetings in accordance with the provisions thereof. The District shall provide necessary administrative support to the Committee as shall be consistent with the Committee's purposes, as set forth in Prop 39.

The proceeds of general obligation bonds issued pursuant to the Election are hereinafter referred to as "bond proceeds." The Committee shall confine itself specifically to bond proceeds generated under Measure CC. Regular and deferred maintenance projects and all monies generated under other sources shall fall outside the scope of the Committee's review.

**Section 3. Duties.** To carry out its stated purposes, the Committee shall perform the duties set forth in Section 3.1, 3.2 and 3.3 and shall refrain from those activities set forth in Sections 3.4 and 3.5:

3.1 **Inform the Public.** The committee shall inform the public concerning the district's expenditure of bond proceeds. In fulfilling this duty, all official communications to either the board or the public shall come from the chair acting on behalf of the committee. The chair shall only release information that reflects the majority view of the committee

3.2 **Review Expenditures.** The committee shall review expenditure reports produced by the district to ensure that (a) bond proceeds were expended only for the purposes set forth in the measure cc; and (b) no bond proceeds were used for any teacher or administrative salaries or other operating expenses, in accordance with attorney general opinion 04-110 issued on November 9, 2004.

3.3 **Annual Report.** The committee shall present to the board, in public session, an annual written report which shall include the following:

- (a) A statement indicating whether the District is in compliance with the requirements of Article XIII A, Section 1(b)(3) of the California Constitution; and
- (b) A summary of the Committee's proceedings and activities for the preceding year.

3.4 Duties of the Board/Chief Executive Officer. Either the Board or the Chief Executive Officer, as the Board shall determine, shall have the following powers reserved to it, and the committee shall have no jurisdiction over the following types of activities:

- (i) Approval of projects funded with Measure CC.
- (ii) Approval of contracts
- (iii) Approval of change orders
- (iv) Appropriation of bond funds
- (v) Handling of all legal matters
- (vi) Approval of bond project plans and schedules
- (vii) Approval of Deferred Maintenance Plan
- (viii) Approval of the sale of bonds

3.5 Measure CC Projects Only. In recognition of the fact that the Committee is charged with overseeing the expenditure of bond proceeds, the Board has not charged the Committee with responsibility for:

(a) Projects financed through the State of California, developer fees, redevelopment tax increment, certificates of participation, lease/revenue bonds, the general fund or the sale of surplus property without bond proceeds shall be outside the authority of the Committee.

(b) The establishment of priorities and order of implementation for the bond projects, which shall be made by the Board in its sole discretion.

(c) The selection of architects, engineers, soils engineers, construction managers, project managers, CEQA consultants and such other professional service firms as are required to complete the project based on District criteria established by the Board in its sole discretion.

(d) The approval of the design for each project including exterior materials, paint color, interior finishes, site plan and construction methods (modular vs. permanent) by the Board in its sole discretion.

(e) The selection of independent audit firm(s), performance audit consultants and such other consultants as are necessary to support the activities of the Committee.

(f) The approval of an annual budget for the Committee that is sufficient to carry out the activities set forth in Prop 39 and included herein.

(g) The appointment or reappointment of qualified applicants to serve on the Committee, subject to legal limitations, and based on criteria adopted in the Board's sole discretion as part of carrying out its function under Prop 39.

#### **Section 4. Authorized Activities**

4.1 In order to perform the duties set forth in section 3.0, the committee may engage in the following authorized activities:

(a) Receive and review copies of the District's annual independent performance audit and annual independent financial audit, required by Article XIII A of the California Constitution.

(b) Inspect college facilities and grounds for which bond proceeds have been or will be expended, in accordance with any access procedure established by the District's Chief Executive Officer.

(c) Review copies of deferred (scheduled) maintenance proposals or plans developed by the District.

(d) Review efforts by the District to maximize bond proceeds by implementing various cost-saving measures.

## **Section 5. Membership**

### **5.1 Number**

The Committee shall consist of at least seven (7) members appointed by the Board from a list of candidates submitting written applications, and based on criteria established by Prop 39, to wit:

- One (1) student enrolled and active in a community college support group, such as student government.
- One (1) member active in a business organization representing the business community located in the District.
- One (1) member active in a senior citizen's organization.
- One (1) member active in a bona-fide taxpayers association.
- One (1) member active in a support organization for the college, such as a foundation.
- Two (2) members of the community at-large appointed by the Board.

### **5.2 Qualification Standards.**

(a) To be a qualified person, he or she must be at least 18 years of age.

(b) The Committee may not include any employee, official of the District or any vendor, contractor or consultant of the District.

5.3 Ethics: Conflicts Of Interest. Members of the Committee, are not subject to Articles 4 (commencing with Section 1090) and 4.7 (commencing with Section 1125) of Division 4 of Title 1 of the Government Code and the Political Reform Act (Gov. Code §§ 81000 et seq.), and are not required to complete the Form 700. However, each member shall comply with the Committee Ethics Policy attached as "Attachment A" to these Amended and Restated Bylaws.

5.4 Term. Except as otherwise provided herein, each member shall serve a term of two (2) years, commencing as of the date of appointment by the Board. No member may serve more than two (2) consecutive terms. At the Committee's first meeting, members will draw lots or otherwise select a minimum of two members to serve for an initial one (1) year term and the remaining members for an initial two (2) year term. Members whose term has expired may continue to serve on the Committee until a successor has been appointed.

5.5 Appointment. Members of the Committee shall be appointed by the Board through the following process: (a) appropriate local groups will be solicited for applications; (b) the chief executive officer or his or her designee will review the applications; and (c) the chief executive officer or his or her designee will make recommendations to the board.

5.6 Removal: Vacancy. The board may remove any committee member for any reason, including failure to attend two consecutive committee meetings without reasonable excuse or for failure to comply with the committee ethics policy. Upon a member's removal, his or her seat shall be declared vacant. The board, in accordance with the established appointment process shall fill any vacancies on the committee. Vacancies shall be sought to be filled within 180 days of a vacancy. Members whose terms have expired may continue to serve on the committee until their successor has been appointed.

5.7 Compensation. The Committee members shall not be compensated for their services.

5.8 Authority of Members. (a) Committee members shall not have the authority to direct staff of the District, (b) individual members of the Committee retain the right to address the Board, as an individual, and (c) Committee and its members shall have the right to request and receive copies of reports and records relating to Measure CC projects which have been prepared for the Board and which have become a public record.

## **Section 6. Meetings of the Committee.**

6.1 Regular Meetings. The committee shall meet at least once a year including an annual organizational meeting, but no more frequently than quarterly.

6.2 Location. All meetings shall be held within the jurisdiction of the Compton Community College District.

6.3 Procedures. All meetings shall be open to the public in accordance with the *Ralph M. Brown Act*, Government Code Section 54950 *et seq.* Meetings shall be conducted according to such additional procedural rules as the committee may adopt. A majority of the number of Committee members shall constitute a quorum for the transaction of any business of the Committee.

## **Section 7. District Support**

7.1 The District shall provide to the Committee necessary technical and administrative assistance as follows:

(a) Preparation of and posting of public notices as required by the *Brown Act*, ensuring that all notices to the public are provided in the same manner as notices regarding meetings of the District Board;

(b) Provision of a meeting room, including any necessary audio/visual equipment;

(c) Preparation and copies of any documentary meeting materials, such as agendas and reports; and

(d) Retention of all Committee records, and providing public access to such records on an Internet website maintained by the District.

7.2 District staff and/or District consultants shall attend Committee proceedings in order to report on the status of projects and the expenditures of bond proceeds.

**Section 8. Reports.** In addition to the Annual Report required in Section 3.3, the Committee may report to the Board from time to time in order to advise the Board on the activities of the Committee. Such report shall be in writing and shall summarize the proceedings and activities conducted by the Committee.

**Section 9. Officers.** The Board, upon the recommendation of the Chief Executive Officer shall appoint the initial Chair of the Committee. Thereafter the Committee shall elect the Chair and a vice-chair who shall act as chair only when the chair is absent. No person shall serve as chair for more than two consecutive one-year terms.

**Section 10. Amendment of Bylaws.** Any amendment to these Bylaws shall be approved by the Board.

**Section 11. Termination.** The Committee shall automatically terminate and disband concurrently with the Committee's submission of its final Annual Report which reflects the final accounting of the expenditure of all Measure CC monies.



## **CITIZENS' BOND OVERSIGHT COMMITTEE ETHICS POLICY STATEMENT**

This Ethics Policy Statement provides general guidelines for Committee members to follow in carrying out their roles. Not all ethical issues that Committee members face are covered in this Statement. However, this Statement captures some of the critical areas that help define ethical and professional conduct for Committee members. The provisions of this Statement were developed from existing laws, rules, policies and procedures as well as from concepts that define generally accepted good business practices. Committee members are expected to strictly adhere to the provisions of this Ethics Policy.

### **POLICY**

- **CONFLICT OF INTEREST.** A Committee member shall not make or influence a District decision related to: (1) any contract funded by bond proceeds or (2) any construction project which will benefit the Committee member's outside employment, business, or a personal finance or benefit an immediate family member, such as a spouse, child or parent.

- **OUTSIDE EMPLOYMENT.** A Committee member shall not use his or her authority over a particular matter to negotiate future employment with any person or organization that relates to: (1) any contract funded by bond proceeds, or (2) any construction project. A Committee member shall not make or influence a District decision related to any construction project involving the interest of a person with whom the member has an agreement concerning current or future employment, or remuneration of any kind. For a period of two (2) years after leaving the Committee, a former Committee member may not represent any person or organization for compensation in connection with any matter pending before the District that, as a Committee member, he or she participated in personally and substantially. Specifically, for a period of two (2) years after leaving the Committee, a former Committee member and the companies and businesses for which the member works shall be prohibited from contracting with the District with respect to: (1) bidding on projects funded by the bond proceeds; and (2) any construction project.

- **COMMITMENT TO UPHOLD LAW.** A Committee member shall uphold the federal and California Constitutions, the laws and regulations of the United States and the State of California (particularly the Education Code) and all other applicable government entities, and the policies, procedures, rules and regulations of the Compton Community College District;

- **COMMITMENT TO DISTRICT.** A Committee member shall place the interests of the District above any personal or business interest of the member.

## **2F. MEASURE CC BOND OVERSIGHT COMMITTEE MEETING DATES**

It is recommended that the Special Trustee receives for information the Measure CC Bond Oversight Committee meeting dates and times. All committee meetings will be held in the District Board Room.

October 24, 2013 @ 5:00 p.m.

February 27, 2014 @ 5:00 p.m.

April 24, 2014 @ 5:00 p.m.

June 26, 2014 @ 5:00 p.m.

## **2G. MEASURE CC BOND OVERSIGHT COMMITTEE MEETING MINUTES FOR JUNE 27, 2013**

It is recommended the Special Trustee receives for information the Measure CC Bond Oversight Committee June 27, 2013 Meeting Minutes.

### **MEETING MINUTES - June 27, 2013**

- I. The Citizens' Bond Oversight Committee Meeting was called to order at 5:24 p.m.
- II. Roll Call – Members Present:
  - Martha Brown, Chair
  - Larry Edmonds, Vice Chair
  - Arturo Ramos
  - Irene Shandell
  - Anthony Yanes
  - Mr. Felipe Lopez, Chief Business Officer

Members Absent:

  - L.C. Green
  - Paul Simpson
- III. Pledge of Allegiance – All
- IV. Approval of Agenda – **Approved**
  - Motion to Approve – Anthony Yanes
  - Seconded – Larry Edmonds
  - Mr. Edmonds asked that these items be added to the agenda: 1) the design approval of the IT Building and; 2) if anyone has any knowledge of a universal survey concerning Compton College District and El Camino College as it relates to the bond and also to accreditation?
- V. Public Comments on Agenda/Non-Agenda Items – No Requests

- VI. Review/Approval of February 28, 2013 Meeting Minutes – **Approved**  
Motion to Approve – Mr. Edmonds  
Seconded – Mr. Ramos

Review/Approval of April 25, 2013 Meeting Minutes – **Approved**  
Motion to Approve – Mr. Yanes  
Seconded – Mr. Edmonds

- VII. Presentation – El Camino College Compton Center Facilities Projects - Update presented by Ms. Linda Owens, Director of Facilities Planning and Operations. Ms. Owens distributed and discussed a document updating the status of the Capital Facilities and Measure CC Project, and the Compton Community College District/State Projects.

Mr. Lopez further discussed some of the construction projects, concerns and issues.

- VIII. Discussion/Action Items:
  - 1. 2011-2012 Measure CC Citizens’ Bond Oversight Committee Annual Report  
Mr. Lopez updated the committee on the status of the Oversight Committee Annual Report, and stated that he plans to have a draft of the Annual Report by the next regular meeting.
  - 2. Meeting Dates for 2013-2014
    - July 25, 2013 – Organizational Meeting @ 5:00 p.m.
    - September 26, 2013 @ 5:00 p.m.
    - November 21, 2013 @ 5:00 p.m.
    - February 27, 2013 @ 5:00 p.m.
    - April 24, 2014 @ 5:00 p.m.
    - June 26, 2014 @ 5:00 p.m.
  - 3. Future Agenda Items  
Mr. Lopez reported that a presentation on Proposition 39 has been scheduled for the next meeting.

- IX. Information Items:  
Compton Community College District Measure CC Bond Expenditures July 1, 2012 through March 31, 2013.  
Document reviewed by Felipe Lopez

- X. Next scheduled Oversight Committee Meeting:  
Thursday, July 25, 2013 @ 5:00 p.m. – Organizational Meeting

- XI. Meeting Adjourned @ 6:33 p.m.

\*\*\*\*\*

*Compton Community College District – 1111 East Artesia Boulevard -- Compton, California 90220*

**Agenda for the Compton Community College District Board of Trustees  
from  
Administrative Services  
Felipe Lopez, CBO**

**3A. ACTUAL ENROLLMENT FEE REVENUE REPORT 323, CALIFORNIA COMMUNITY COLLEGES**

This report is required by the California Community Colleges to be filed each quarter on the District's Financial Status.

Estimated Enrollment Fee

1/15/2012 Report - Recalculation

	<u>Fees Paid</u>	<u>Receivables</u>	<u>Total Fees</u>
Enrollment Fee Revenue 1 (ECS 76300)	\$746,037.00	\$314,034.00	\$1,060,071.00
ECS 76140(k) Enrollment Fee Revenue 2 (Students from bordering states)	0	0	0
<b>Total</b>	<b>\$746,037.00</b>	<b>\$314,034.00</b>	<b>\$1,060,071.00</b>

**3B. MEASURE CC BOND FUND CATEGORY BUDGETS AND BALANCES**

It is recommended that the Special Trustee receives for information the following Compton Community College District Measure CC Bond Fund Category Budgets and Balances.

The following table reports Measure CC Bond expenditures by project through August 31, 2013

<b>PROJECT</b>	<b>BUDGET</b>	<b>EXPENDED</b>	<b>BALANCE</b>
Unassigned	\$ 985,750	-	\$ 985,750
Learning Resource Center	4,400,000	198,404	4,201,596
Master Planning	122,000	-	122,000
Utilities Master Plan	218,000	-	218,000
Allied Health Building *	1,000,000	-	1,000,000
MIS Building Renovation Phase 1	50,000	-	50,000
Campus Wide Improvement	125,000	15,172	109,828
Infrastructure Phase 1 *;**	5,000,000	1,354,478	3,645,522
Music	1,124,250	1,750	1,122,500
Infrastructure Phase 2*	4,150,000	993,021	3,156,979
	<b>17,175,000</b>	<b>\$ 2,562,825</b>	<b>\$ 14,612,175</b>

\* These projects are funded by State Capital Outlay funds (~90%) and Measure CC Bond funds (~10%)

\*\* All costs expended to date will be replenished by State Capital Outlay funds once reimbursement by the State